

CPSC 213

Introduction to Computer Systems

Unit 0 Introduction

Overview of the course

- ▶ Hardware context of a single executing program
 - hardware context is CPU and Main Memory
 - develop CPU architecture to implement C and Java
 - differentiate compiler (static) and runtime (dynamic) computation
- ▶ System context of multiple executing programs with IO
 - extend context to add IO, concurrency and system software
 - thread abstraction to hide IO asynchrony and to express concurrency
 - synchronization to manage concurrency
 - virtual memory to provide multi-program, single-system model
 - hardware protection to encapsulate operating system
 - message-passing to communicate between processes and machines

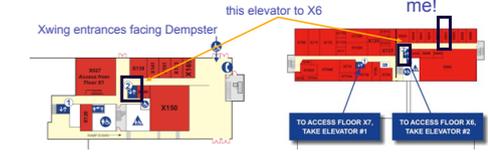
GOAL: To develop a model of computation that is rooted in what really happens when programs execute.

What you will get out of this ...

- ▶ Become a better programmer by
 - deepening your understand of how programs execute
 - learning to build concurrent and distributed programs
- ▶ Learn to design real systems by
 - evaluating design trade-offs through examples
 - distinguish static and dynamic system components and techniques
- ▶ Impress your friends and family by
 - telling them what a program *really* is

About the Course - Logistics

- ▶ it's all on the web page ...
 - <http://www.ugrad.cs.ubc.ca/~cs213/winter12t1>
 - news, admin details, schedule and readings
 - lecture slides (always posted before class)
 - 213 Companion (free PDF)
 - Piazza for discussion
 - marks (coming soon) secure download
 - updated often, don't forget to reload page!
- ▶ me
 - instructor: Tamara Munzner
 - call me Tamara or Dr. Munzner, as you like
 - office hours in X661 Mon/Fri 9-10am or by appointment



Reading

- ▶ see web page for exact schedule
- ▶ textbook: Bryant and O'Hallaron
 - also used in CPSC 313 followon course
 - ok to use either 1st or 2nd edition (very little difference for us)
- ▶ 213 Companion
 - additional reading; PDF posted on web page

Course Policies

- ▶ read <http://www.ugrad.cs.ubc.ca/~cs213/policies.html>
- ▶ marking
 - assignments: 20%
 - 9 labs/assignments (same thing, no separate lab material)
 - usually one week for each, out Monday morning and due next Monday 6pm
 - exceptions for exam weeks, to give you time for studying
 - quizzes: 30%
 - Oct 15, Nov 5
 - final: 50%
 - date TBD, do not book tickets out of town until announced!
 - must pass labs and quizzes and final (50% or better) to pass course
- ▶ assignments
 - critical for learning material
 - they build on each other; don't fall behind
 - come get help if you get stuck - labs, office hours...

Scaling and Regrading

- ▶ I often scale exams
 - so don't panic if it seems hard while you're taking it!
- ▶ regrading
 - detailed argument in writing required (email or paper)
 - read through solutions first; no requests accepted until 24 hours after work is returned
 - email TA first for assignments, then instructor if not resolved
 - bring paper to instructor for quizzes/midterms

Late/Missed Work, Illness

- ▶ late work penalty
 - 25% first day (or fraction of day)
 - 50% second day (or fraction thereof)
 - no late work accepted after 48 hrs
 - no exceptions
 - handin drafts early, handin often: do not wait until last minute!
 - check what you have handed in!
- ▶ email me immediately if you'll miss lab/exam from illness
- ▶ written documentation due within 7 days after you return to school
 - copy of doctor's note or other proof (ICBC accident report, etc)
 - written cover sheet with dates of absence and list of work missed
- ▶ I'll decide on how to handle
 - might give extension if solutions not out yet
 - might grade you only on completed work

Cheating: Things I Never Want To Hear

- ▶ read <http://www.ugrad.cs.ubc.ca/~cs213/cheat.html>
- ▶ Cheating: The List Of Things I Never Want To Hear Again
 - read this page, ask if you have any questions!
 - you must sign statement that you have read and completely understood this page before turning in assignments
 - <http://www.cs.ubc.ca/~tmm/courses/cheat.html>
- ▶ the bottom line
 - the fundamental reason not to cheat is you don't learn the material
 - you need to work through the labs yourself to learn this stuff!
 - if you cheat on the labs, you will fail the exams

Course-Specific Guidelines

- ▶ work together and help each other - **but don't cheat!**
 - never present anyone else's work as your own
 - but, don't let this stop you from helping each other learn...
 - general discussion always fine
 - one-hour context switch rule for specific discussions (Gilligan's Island rule)
 - don't take written notes
 - do something else for an hour
 - then sit down to do the work on your own
 - proper attribution
 - include list of names if you had significant discussions with others
 - not allowed
 - working as a team and handing in joint work as your own
 - looking at somebody else's paper or smuggling notes into exam
 - getting or giving code, electronically or hardcopy
 - typing in code from somebody else's screen
 - using code from previous terms
 - paying somebody to write your code
 - it's a bad idea: you don't learn the stuff, and we'll probably catch you
 - I do prosecute, so that it's a level playing field for everybody else
 - possible penalties: 0 for the work, 0 for the course, permanent notation in transcript, suspended...

What do you know now?

What happens when a program runs

- ▶ Here's a program

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```
- ▶ What do you understand about the execution of **insert**?

▶ Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called
- ▶ Data structures
 - draw a diagram of the data structures
 - as they exist just before insert is called

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

▶ Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called
- ▶ Data structures
 - draw a diagram of the data structures
 - as they exist just before insert is called

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```



▶ Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called
- ▶ Data structures
 - draw a diagram of the data structures
 - as they exist just before insert is called

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```



▶ Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called
- ▶ Data structures
 - draw a diagram of the data structures
 - as they exist just before insert is called

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```



Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called

Data structures

- draw a diagram of the data structures
- as they exist just before insert is called

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

assuming list[] was initialized to store 10 elements:
list = new Integer[10];

Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called

Data structures

- draw a diagram of the data structures
- as they exist just before insert is called

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

assuming list[] was initialized to store 10 elements:
list = new Integer[10];

Data structures

- let's dig a little deeper
- which of these existed before program started?
 - these are the **static** features of the program
- which were created by execution of program?
 - these are the **dynamic** features of the program

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

Data structures

- let's dig a little deeper
- which of these existed before program started?
 - these are the **static** features of the program
- which were created by execution of program?
 - these are the **dynamic** features of the program

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

SortedList Class
aList

Static:
* class and aList variable (sort of - clearer in C)

Data structures

- let's dig a little deeper
- which of these existed before program started?
 - these are the **static** features of the program
- which were created by execution of program?
 - these are the **dynamic** features of the program

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

SortedList Class
aList

Static:
* class and aList variable (sort of - clearer in C)

a SortedList Object
size 5
list

Dynamic:
* SortedList object
* size and list variables
* value of aList, size and list
* list of 10 integers

Execution of insert

- how would you describe this execution?
- carefully, step by step?

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

Execution of insert

- how would you describe this execution?
- carefully, step by step?

Sequence of Instructions

- * program order
- * changed by control-flow structures

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

Execution of insert

- how would you describe this execution?
- carefully, step by step?

Sequence of Instructions

- * program order
- * changed by control-flow structures

```
[execute SortedList.aList.insert(6)]
aValue = 6
i = 0
if list[i]>aValue goto end-while (1>6)
i = 0+1 (1)
if list[i]>aValue goto end-while (3>6)
i = 1+1 (2)
if list[i]>aValue goto end-while (5>6)
i = 2+1 (3)
if list[i]>aValue goto end-while (7>6)
end-while: j = size-1 (4)
if j<i goto end-for (4<3)
list[j+1] = list[j] (list[5]=9)
j = 4-1 (3)
if j<i goto end-for (3<3)
list[j+1] = list[j] (list[4]=7)
j = 3-1 (2)
if j<i goto end-for (2<3)
list[j] = aValue (list[3] = 6)
size = size+1 (6)
[statement after SortedList.aList.insert(6)]
```

Execution of insert

- how would you describe this execution?
- carefully, step by step?

Sequence of Instructions

- * program order
- * changed by control-flow structures

```
[execute SortedList.aList.insert(6)]
aValue = 6
i = 0
if list[i]>aValue goto end-while (1>6)
i = 0+1 (1)
if list[i]>aValue goto end-while (3>6)
i = 1+1 (2)
if list[i]>aValue goto end-while (5>6)
i = 2+1 (3)
if list[i]>aValue goto end-while (7>6)
end-while: j = size-1 (4)
if j<i goto end-for (4<3)
list[j+1] = list[j] (list[5]=9)
j = 4-1 (3)
if j<i goto end-for (3<3)
list[j+1] = list[j] (list[4]=7)
j = 3-1 (2)
if j<i goto end-for (2<3)
list[j] = aValue (list[3] = 6)
size = size+1 (6)
[statement after SortedList.aList.insert(6)]
```

Instruction Types?

Execution of insert

- how would you describe this execution?
- carefully, step by step?

Sequence of Instructions

- * program order
- * changed by control-flow structures

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

Instruction Types?

- * read/write variable
- * arithmetic
- * conditional goto

Execution: What you Already Knew

- Data structures**
 - variables have a storage location and a value
 - some variables are created before the program starts
 - some variables are created by the program while it runs
 - variable values can be set before program runs or by the execution
- Execution of program statements**
 - execution is a sequence of steps
 - sequence-order can be changed by certain program statements
 - each step executes an instruction
 - instructions access variables, do arithmetic, or change control flow

An Overview of Computation

Reading

- Companion**
 - 1, 2.1

Phases of Computation

- Human creation**
 - design program and describe it in high-level language
- Compilation**
 - convert high-level, human description into machine-executable text
- Execution**
 - a physical machine executes the text
 - parameterized by input values that are unknown at compilation
 - producing output values that are unknowable at compilation
- Two important initial definitions**
 - anything that can be determined **before execution** is called **static**
 - anything that can only be determined **during execution** is called **dynamic**

Phases of Computation

- Human creation**
 - design program and describe it in high-level language
- Compilation**
 - convert high-level, human description into machine-executable text
- Execution**
 - a physical machine executes the text
 - parameterized by input values that are unknown at compilation
 - producing output values that are unknowable at compilation
- Two important initial definitions**
 - anything that can be determined **before execution** is called **static**
 - anything that can only be determined **during execution** is called **dynamic**

Examples of Static vs Dynamic State

- Static state in Java**
- Dynamic state in Java**

A Simple Machine that can Compute

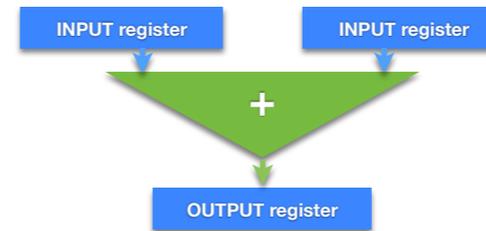


- ▶ **Memory**
 - stores programs and data
 - everything in memory has a unique name: its memory location (**address**)
 - two operations: read or write value at location X
- ▶ **CPU**
 - machine that executes programs to transform memory state
 - reads program from memory on demand one step at a time
 - each step may also read or write memory
- ▶ **Not in the Simple Machine**
 - I/O Devices such as mouse, keyboard, graphics, disk and network
 - we will deal with these other things in the second half of the course

The Simple Machine Model A Closer Look

How do we start?

- ▶ One thing we need to do is add integers
 - you already know how to do this from 121 (hopefully :))
- ▶ A 32-bit Adder
 - implemented using logic gates implemented by transistors
 - it adds bits one at a time, with carry-out, just like in grade 2.

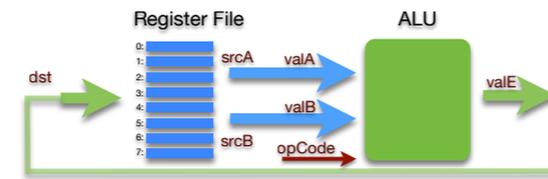


Generalizing the Adder

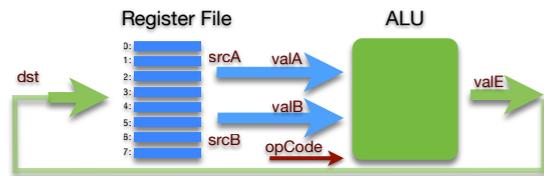
- ▶ What other things do we want to do with Integers
- ▶ What do we do with the value in the output register

Register File and ALU

- ▶ **Arithmetic and Logic Unit (ALU)**
 - generalizes ADDER to perform many **operations** on integers
 - three inputs: two source **operands** (valA, valB) and an **operation code** (opCode)
 - output value (valE) = operation-code (operand₀, operand₁)
- ▶ **Register File**
 - generalizes input and output registers of ADDER
 - a single bank of registers that can be used for input or output
 - registers **named** by **numbers**: two source (srcA, srcB) and one destination (dst)

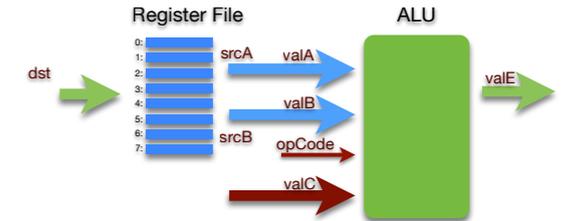
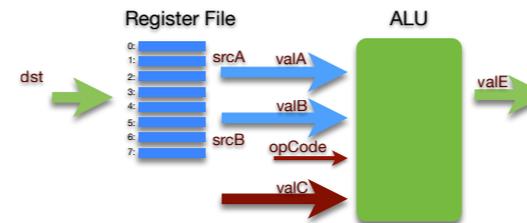


- ▶ **Functional View**
 - input for one step: opCode, srcA, srcB, and dst
 - a program is a sequence of these steps (and others)



Putting Initial Values into Registers

- ▶ Current model is too restrictive
 - to add two numbers the numbers must be in registers
 - programs must specify values explicitly
- ▶ Extend model to include **immediates**
 - an **immediate value** is a constant specified by a program instruction
 - extend model to allow some instructions to specify an immediate (valC)



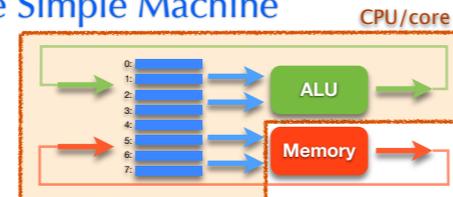
- ▶ **Functional View**
 - we now have an additional input, the immediate value, valC

Memory Access

- ▶ **Memory is**
 - an array of bytes, indexed by byte **address**
- ▶ **Memory access is**
 - restricted to a transfer between registers and memory
 - the ALU is thus unchanged, it still takes operands from registers
 - *this is approach taken by Reduced Instruction Set Computers (RISC)*
- ▶ **Extending model to include RISC-like memory access**
 - opcode selects from set of memory-access and ALU operations
 - memory address and value are in registers

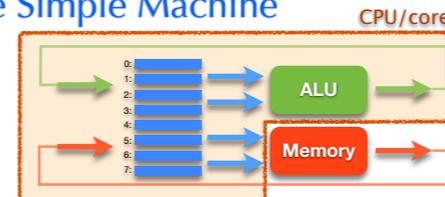


The Simple Machine

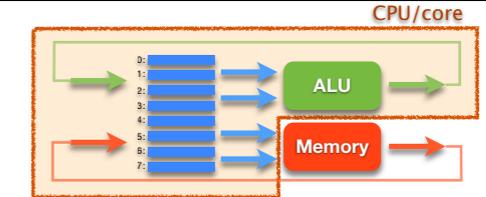


- ▶ **Central Processing Unit or Core (CPU)**
 - a register file
 - logic for ALU, memory access and control flow
 - a clock to sequence instructions
 - memory **cache** of some active parts of memory (e.g., instructions)
- ▶ **Memory**
 - is too big to fit on the CPU chip, so it is stored off chip
 - much slower than registers or cache (200 x slower than registers)

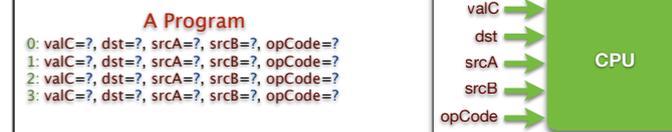
The Simple Machine



- ▶ **Central Processing Unit or Core (CPU)**
 - a register file
 - logic for ALU, memory access and control flow
 - a clock to sequence instructions
 - memory **cache** of some active parts of memory (e.g., instructions)
- ▶ **Memory**
 - is too big to fit on the CPU chip, so it is stored off chip
 - much slower than registers or cache (200 x slower than registers)



- ▶ **A Program**
 - sequence of instructions stored in memory
- ▶ **An Instruction**
 - does one thing: math, memory-register transfer, or flow control
 - specifies a value for each of the functional inputs

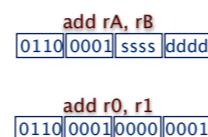


Instruction Set Architecture (ISA)

- ▶ The ISA is the “interface” to a processor implementation
 - defines the instructions the processor implements
 - defines the format of each instruction
- ▶ **Instruction format**
 - is a set of bits (a number)
 - an opcode and set of operand values
- ▶ **Types of instruction**
 - math
 - memory access
 - control transfer (gotos and conditional gotos)
- ▶ **Design alternatives**
 - simplify compiler design (CISC such as Intel Architecture 32)
 - simplify processor implementation (RISC)
- ▶ **Assembly language**
 - symbolic representation of machine code

Example Instruction: ADD

- ▶ **Description**
 - **opCode = 61**
 - two source operands in registers: srcA = rA, srcB = rB
 - put destination in register: dst = rB
- ▶ **Assembly language**
 - general form: **add rA, rB**
 - e.g., **add r0, r1**
- ▶ **Instruction format**
 - 16 bit number, divided into 4-bit chunks: 61sd
 - high-order 8 bits are opCode (61)
 - next 4 bits are srcA (s)
 - next 4 bits are srcB/dst (d)



Simulating a Processor Implementation

- ▶ **Java simulator**
 - edit/execute assembly-language
 - see register file, memory, etc.
- ▶ **You will implement**
 - the **fetch + execute** logic
 - for every instruction in SM213 ISA
- ▶ **SM213 ISA**
 - developed as we progress through key language features
 - patterned after *MIPS* ISA, one of the 2 first RISC architectures

