CPSC 213

Introduction to Computer Systems

Unit 1c

Instance Variables and Dynamic Allocation

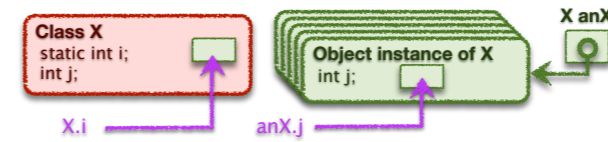## Reading For Next 3 Lectures

- Companion
  - 2.4.4-2.4.5
- Textbook
  - Structures, Dynamic Memory Allocation, Understanding Pointers
  - 2nd edition: 3.9.1, 9.9, 3.10
  - 1st edition: 3.9.1, 10.9, 3.11

Instance Variables

- Variables that are an instance of a class or struct
  - created dynamically
  - many instances of the same variable can co-exist
- Java vs C
  - Java: **objects** are instances of non-static variables of a **class**
  - C: **structs** are named variable groups, instance is also called a struct
- Accessing an instance variable
  - requires a reference to a particular object (pointer to a struct)
  - then variable name chooses a variable in that object (struct)

Structs in C (S4-instance-var)

```
struct D {        class D {
  int e;            public int e;
  int f;     ≈      public int f;
};                }
```

- A struct is a
  - collection of variables of arbitrary type, allocated and accessed together
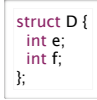- Declaration
  - similar to declaring a Java class without methods
  - name is "struct" plus name provided by programer
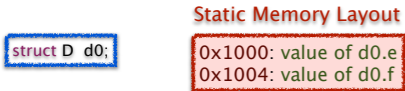 - static   `struct D  d0;`
  - dynamic   `struct D* d1;`
- Access
  - static   `d0.e = d0.f;`
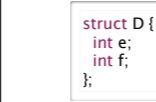 - dynamic   `d1->e = d1->f;`

Struct Allocation

```
struct D {
  int e;
  int f;
};
```

- Static structs are allocated by the compiler

  Static Memory Layout

  `struct D  d0;`   `0x1000: value of d0.e`
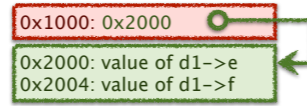   `0x1004: value of d0.f`

- Dynamic structs are allocated at runtime
  - the variable that stores the struct pointer may be static or dynamic
  - the struct itself is allocated when the program calls **malloc**

  Static Memory Layout

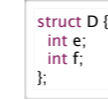 `struct D* d1;`   `0x1000: value of d1`

- runtime allocation of dynamic struct

```
void foo () {
  d1 = (struct D*) malloc (sizeof(struct D));
}
```

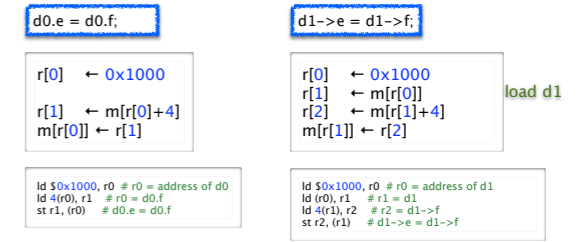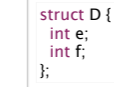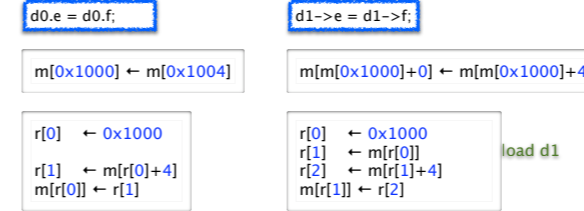- assume that this code allocates the struct at address 0x2000

  `0x1000: 0x2000`

  `0x2000: value of d1->e`
  `0x2004: value of d1->f`

Struct Access

```
struct D {
  int e;
  int f;
};
```

- Static and dynamic differ by an extra memory access
  - dynamic structs have dynamic address that must be read from memory
  - in both cases the offset to variable from base of struct is static

  `d0.e = d0.f;`   `d1->e = d1->f;`

  `m[0x1000] ← m[0x1004]`   `m[m[0x1000]+0] ← m[m[0x1000]+4]`

  `r[0]  ← 0x1000`   `r[0]  ← 0x1000`
  `r[1]  ← m[r[0]+4]`   `r[1]  ← m[r[0]]`
  `m[r[0]] ← r[1]`   `r[2]  ← m[r[1]+4]`
                                  `m[r[1]] ← r[2]`   load d1

```
struct D {
  int e;
  int f;
};
```

  `d0.e = d0.f;`   `d1->e = d1->f;`

  `r[0]  ← 0x1000`   `r[0]  ← 0x1000`
  `r[1]  ← m[r[0]+4]`   `r[1]  ← m[r[0]]`
  `m[r[0]] ← r[1]`   `r[2]  ← m[r[1]+4]`   load d1
                                          `m[r[1]] ← r[2]`

```
ld $0x1000, r0  # r0 = address of d0    ld $0x1000, r0  # r0 = address of d1
ld 4(r0), r1    # r1 = d0.f             ld (r0), r1     # r1 = d1
st r1, (r0)     # d0.e = d0.f           ld 4(r1), r2    # r2 = d1->f
                                        st r2, (r1)     # d1->e = d1->f
```

- The revised load/store base plus offset instructions
  - dynamic base address in a register plus a static offset (displacement)

  `ld 4(r1), r2`

The Revised Load-Store ISA

- Machine format for base + offset
  - note that the offset will in our case always be a multiple of 4
  - also note that we only have a single instruction byte to store it
  - and so, we will store offset / 4 in the instruction
- The Revised ISA

Name	Semantics	Assembly	Machine
load immediate	r[d] ← v	ld $v, rd	0d-- vvvvvvvv
load base+offset	r[d] ← m[r[s]+(o=p*4)]	ld o(rs), rd	1psd
load indexed	r[d] ← m[r[s]+4*r[i]]	ld (rs,ri,4), rd	2sid
store base+offset	m[r[d]+(o=p*4)] ← r[s]	st rs, o(rd)	3spd
store indexed	m[r[d]+4*r[i]] ← r[s]	st rs, (rd,ri,4)	4sdi

Dynamic Allocation

Dynamic Allocation in C and Java

- Programs can allocate memory dynamically
  - allocation reserves a range of memory for a purpose
  - in Java, instances of classes are allocated by the **new** statement
  - in C, byte ranges are allocated by call to **malloc** procedure
- Wise management of memory requires deallocation
  - memory is a scare resource
  - deallocation frees previously allocated memory for later re-use
  - Java and C take different approaches to deallocation
- How is memory deallocated in Java?

- Deallocation in C
  - programs must explicitly deallocate memory by calling the **free** procedure
  - **free** frees the memory immediately, with no check to see if its still in use

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Considering Explicit Delete

- Lets look at this example

```
struct MBuf * receive () {
  struct MBuf* mBuf = (struct MBuf*) malloc (sizeof (struct MBuf));
  ...
  return mBuf;
}

void foo () {
  struct MBuf* mb = receive ();
  bar (mb);
  free (mb);          ←
}
```

- is it safe to free mb where it is freed?
- what bad thing can happen?

Lets extend the example to see

- what might happen in bar()
- and why a subsequent call to bat() would expose a serious bug

```
struct MBuf * receive () {
  struct MBuf* mBuf = (struct MBuf*) malloc (sizeof (struct MBuf));
  ...
  return mBuf;
}

void foo () {
  struct MBuf* mb = receive ();
  bar (mb);
  free (mb);
}

void MBuf* aMB;

void bar (MBuf* mb) {
  aMB = mb;
}

void bat () {
  aMB->x = 0;
}
```

This statement writes to unallocated (or re-allocated) memory.

Dangling Pointers

- A dangling pointer is
  - a pointer to an object that has been freed
  - could point to unallocated memory or to another object
- Why they are a problem
  - program thinks its writing to object of type X, but isn't
  - it may be writing to an object of type Y, consider this sequence of events

  (1) Before free:
  `aMB: 0x2000`
  `0x2000: a struct mbuf`

  (2) After free:
  `aMB: 0x2000`
  `0x2000: free memory`   dangling pointer

  (3) After another malloc:
  `aMB: 0x2000`
  `0x2000: another thing`   dangling pointer that is really dangerous

Avoiding Dangling Pointers in C

- Understand the problem
  - when allocation and free appear in different places in your code
  - for example, when a procedure returns a pointer to something it allocates
- Avoid the problem cases, if possible
  - restrict dynamic allocation/free to single procedure, if possible
  - don't write procedures that return pointers, if possible
  - use local variables instead, where possible
    - we'll see later that local variables are automatically allocated on call and freed on return
- Engineer for memory management, if necessary
  - define rules for which procedure is responsible for deallocation, if possible
  - implement explicit reference counting if multiple potential deallocators
  - define rules for which pointers can be stored in data structures
  - use coding conventions and documentation to ensure rules are followed

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Avoiding dynamic allocation

- If procedure returns value of dynamically allocated object
  - allocate that object in **caller** and pass pointer to it to **callee**
  - good if caller can allocate on stack or can do both malloc / free itself

```
struct MBuf * receive () {
  struct MBuf* mBuf = (struct MBuf*) malloc (sizeof (struct MBuf));
  ...
  return mBuf;
}

void foo () {
  struct MBuf* mb = receive ();
  bar (mb);
  free (mb);
}
```

```
void receive (struct MBuf* mBuf) {
  ...
}

void foo () {
  struct MBuf mb;
  receive (&mb);
  bar (mb);
}
```

Reference Counting

▸ Use reference counting to track object use
- any procedure that stores a reference increments the count
- any procedure that discards a reference decrements the count
- the object is freed when count goes to zero

```c
struct MBuf* malloc_Mbuf () {
  struct MBuf* mb = (struct MBuf* mb) malloc (sizeof (struct MBuf));
  mb->ref_count = 1;
  return mb;
}

void keep_reference (struct MBuf* mb) {
  mb->ref_count ++;
}

void free_reference (struct MBuf* mb) {
  mb->ref_count --;
  if (mb->ref_count==0)
    free (mb);
}
```

▸ The example code then uses reference counting like this

```c
struct MBuf * receive () {
  struct MBuf* mBuf = malloc_Mbuf ();
  ...
  return mBuf;
}

void foo () {
  struct MBuf* mb = receive ();
  bar (mb);
  free_reference (mb);
}

void MBuf* aMB = 0;

void bar (MBuf* mb) {
  if (aMB != 0)
    free_reference (aMB);
  aMB = mb;
  keep_reference (aMB);
}
```

Garbage Collection

▸ In Java objects are deallocated implicitly
- the program never says free
- the runtime system tracks every object reference
- when an object is unreachable then it can be deallocated
- a *garbage collector* runs periodically to deallocate unreachable objects

▸ Advantage compared to explicit delete
- no dangling pointers

```java
MBuf receive () {
  MBuf mBuf = new MBuf ();
  ...
  return mBuf;
}

void foo () {
  MBuf mb = receive ();
  bar (mb);
}
```

Discussion

▸ What are the advantages of C's explicit delete

▸ What are the advantages of Java's garbage collection

▸ Is it okay to ignore deallocation in Java programs?

Memory Management in Java

▸ Memory leak
- occurs when the garbage collector fails to reclaim unneeded objects
- memory is a scarce resource and wasting it can be a serous bug
- its huge problem for long-running programs where the garbage accumulates

▸ How is it possible to create a memory leak in Java?
- Java can only reclaim an object if it is unreachable
- but, unreachability is only an approximation of whether an object is needed
- an unneeded object in a hash table, for example, is never reclaimed

▸ The solution requires engineering
- just as in C, you must plan for memory deallocation explicitly
- unlike C, however, if you make a mistake, you can not create a dangling pointer
- in Java you remove the references, Java reclaims the objects

▸ Further reading
- http://java.sun.com/docs/books/performance/1st_edition/html/JPAppGC.fm.html

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Ways to Avoid Unintended Retention

▸ imperative approach with *explicit reference annulling*
- explicitly set references to NULL when referent is longer needed
- add close() or free() methods to classes you create and call them explicitly
- use try-finally block to ensure that these *clean-up* steps are always taken
- *these are imperative approaches; drawbacks?*

▸ declarative approach with *reference objects*
- refer to objects without requiring their retention
- store object references that the garbage collector can reclaim

```java
WeakReference<Widget> weakRef = new WeakReference<Widget>(widget);
Widget widget        = weakRef.get() // may return NULL
```

- different levels of reference stickiness
  - soft   discarded only when new allocations put pressure on available memory
  - weak   discarded on next GC cycle when no stronger reference exists
  - phantom   unretrievable (get always returns NULL), used to register with GC reference queue

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Using Reference Objects

▸ Creating a reclaimable reference
- the Reference class is a template that be instantiated for any reference
- store instances of this class instead of the original reference

```java
void bar (MBuf mb) {
  aMB = new WeakReference<Mbuf>(mb);
}
```

- allows the garbage collector to collect the MBuf even if aMB points to it

▸ This does not reclaim the weak reference itself
- while the GC will reclaim the MBuf, it can't reclaim the WeakReference
- the problem is that aMB stores a reference to WeakReference
- not a big issue here, there is only one
- but, what if we store a large collection of weak references?

Using Reference Queues

▸ The problem
- reference objects will be stored in data structures
- reclaiming them requires first removing them from these data structures

▸ The reference queue approach
- a reference object can have an associated reference queue
- the GC adds reference objects to the queue when it collects their referent
- your code scans the queue periodically to update referring data structures

```java
ReferenceQueue<MBuf> refQ = new ReferenceQueue<MBuf> ();

void bar (MBuf mb) {
  aMB = new WeakReference<Mbuf> (mb,refQ);
}

void removeGarbage () {
  while ((WeakReference<Mbuf> ref = refQ.poll()) != null)
    // remove ref from data structure where it is stored
    if (aMB==ref)
      aMB = null;
}
```