

# Course Review

Jonathan Backer  
backer@cs.ubc.ca

Department of Computer Science  
University of British Columbia



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## Introduction

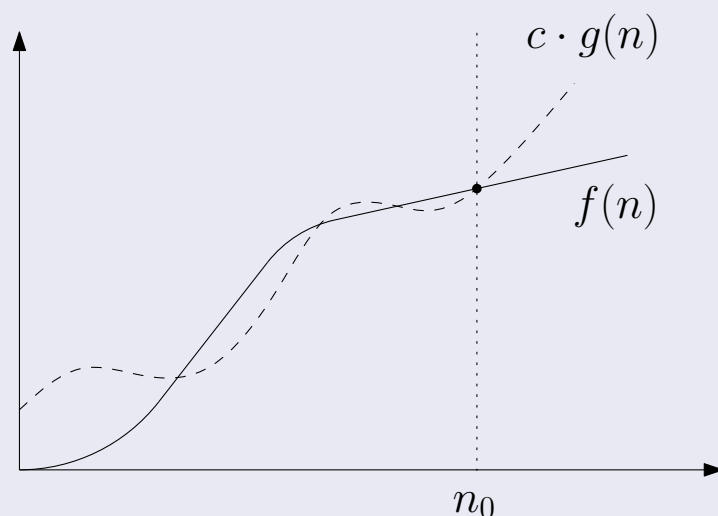
- ▶ Asymptotic notation
- ▶ Sorting
- ▶ Divide-and-conquer algorithms
- ▶ Greedy algorithms
- ▶ Dynamic programming
- ▶ Data structures
- ▶ Complexity theory

# Big-O Notation

Asymptotic notation focuses on behaviour in the limit.

## Definition

Let  $f, g : \mathbb{N} \rightarrow \mathbb{R}$ . Then  $f \in O(g(n))$  if and only if  $\exists c \in \mathbb{R}^+$  and  $n_0 \in \mathbb{N}$  such that  $f(n) \leq c \cdot g(n)$ ,  $\forall n \geq n_0$ .

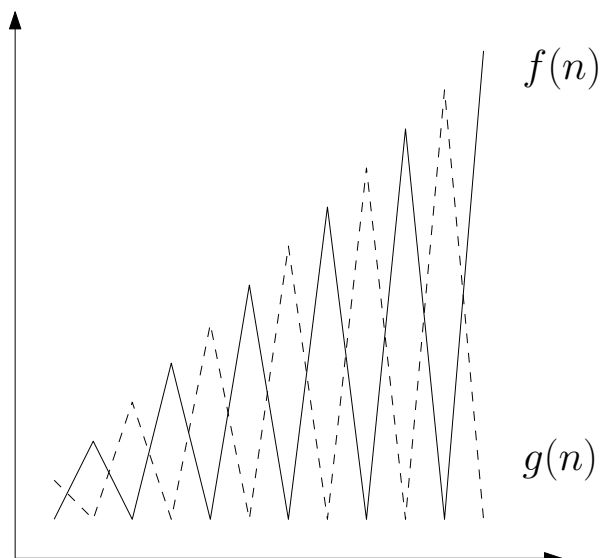


## Other Asymptotic Notations

There is an intuitive correspondence:

$<$	$\leq$	$=$	$\geq$	$>$
$o$	$O$	$\theta$	$\Omega$	$\omega$

Except that not every pair of functions is comparable.



# Limits

Let  $f, g : \mathbb{N} \rightarrow \mathbb{R}^+$ . Suppose that

$$L = \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$$

exists. Then

- ▶ if  $L = 0$ , then  $f(n) \in o(g(n))$ ;
- ▶ if  $L \in \mathbb{R}^+$ , then  $f(n) \in \Theta(g(n))$ ; and
- ▶ if  $L = \infty$ , then  $f(n) \in \omega(g(n))$ .

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{\sqrt{n}}{\log n} &= \frac{\infty}{\infty} \text{ so use L'Hopital's Rule} && \text{So } \sqrt{n} \in \omega(\log n). \\ &= \lim_{n \rightarrow \infty} \frac{\frac{1}{2}n^{-\frac{1}{2}}}{n^{-1}} = \lim_{n \rightarrow \infty} \frac{1}{2}\sqrt{n} = \infty \end{aligned}$$

## The Master Method

### Theorem

Let  $a \geq 1, b > 1$  be constants and  $f(n) : \mathbb{N} \rightarrow \mathbb{R}^+$ . Let  $T(n)$  be defined by  $T(n) = aT(n/b) + f(n)$ , where  $T(n) = \Theta(1)$  for small  $n$  and  $n/b$  is either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then

1.  $T(n) \in \Theta(n^{\log_b a})$ , if  $f(n) \in O(n^{(\log_b a) - \epsilon})$ , for some  $\epsilon > 0$ .
2.  $T(n) \in \Theta(n^{\log_b a} \log n)$ , if  $f(n) \in \Theta(n^{\log_b a})$ .
3.  $T(n) \in \Theta(f(n))$ , if  $f(n) \in \Omega(n^{(\log_b a) + \epsilon})$ , for some  $\epsilon > 0$ , **and**  $af(n/b) \leq \delta f(n)$ , for some  $\delta < 1$  and  $n$  sufficiently large.

### Example: Binary Search

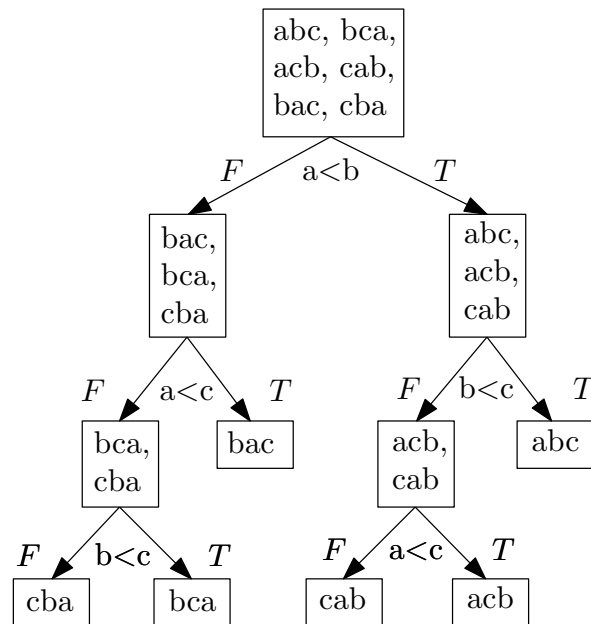
$T(n) = T(\lceil n/2 \rceil) + 1$ . Case 2 because  $1 \in \Theta(n^{\lg 1}) = \Theta(n^0)$ . So  $T(n) \in \Theta(n^0 \cdot \log n) = \Theta(\log n)$ .

# Decision Tree

- ▶ Represents every sequence of comparisons that an algorithm might make on an input of size  $n$ .
- ▶ Nodes annotated with the orderings consistent with the comparisons made so far.
- ▶ Edges denote the result of a single comparison.
- ▶ Total order at leaves.

Algorithm: Insertion sort.

Instance ( $n = 3$ ): the numbers  $a, b, c$ .



## Bucket Sort (Counting Sort)

Assume keys are integers in ranging from 0 to  $N - 1$ .

- ▶ One bucket per possible key.

```
Algorithm BucketSort(A, N)
  Let S be an empty list
  Let B[0..N-1] be an array of empty lists
  for i ← 0 to A.length-1 do
    append A[i] to B[A[i].key]
  for j ← 0 to N-1 do
    for each element x of B[j] do      // in order
      append x to S
  return S
```

Time Complexity:  $\Theta(n + N)$

Algorithm is **stable**: if  $A[i].key = A[j].key$  for  $i < j$ , then  $A[i]$  comes before  $A[j]$  in  $S$

# Order statistics

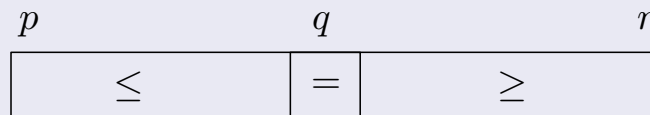
## Definition

The  $i$ th order statistic of a set with  $n$  elements is the  $i$ th element of the set in sorted order: the 1st order statistic is the minimum and the  $n$ th order statistic is the maximum.

## Divide-and-conquer

```
Algorithm QuickSort( $A, p, r$ )  
  if  $p < r$  then  
     $q \leftarrow \text{Partition}(A, p, r)$ ;  
    QuickSort( $A, p, q - 1$ )  
    QuickSort( $A, q + 1, r$ )
```

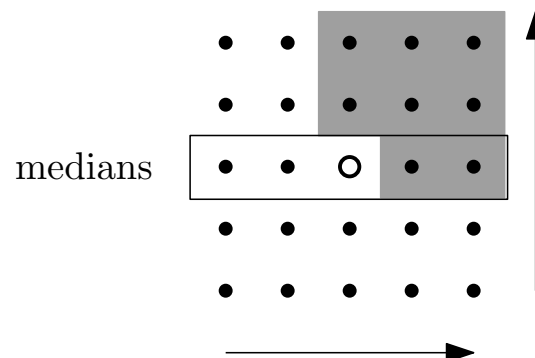
Why sort the redundant partition?



$i$ th order statistic is in exactly one of the boxes

## Order statistics (cont'd)

- ▶ A “good” pivot is close to the centre.
- ▶ A random pivot gives an average case  $\Theta(n)$  solution.
  - ▶ High probability that a random pivot is good.
- ▶ The median of medians as a pivot gives a  $O(n)$  solution.
  - ▶ Median of medians is a good pivot and is cheap to compute.

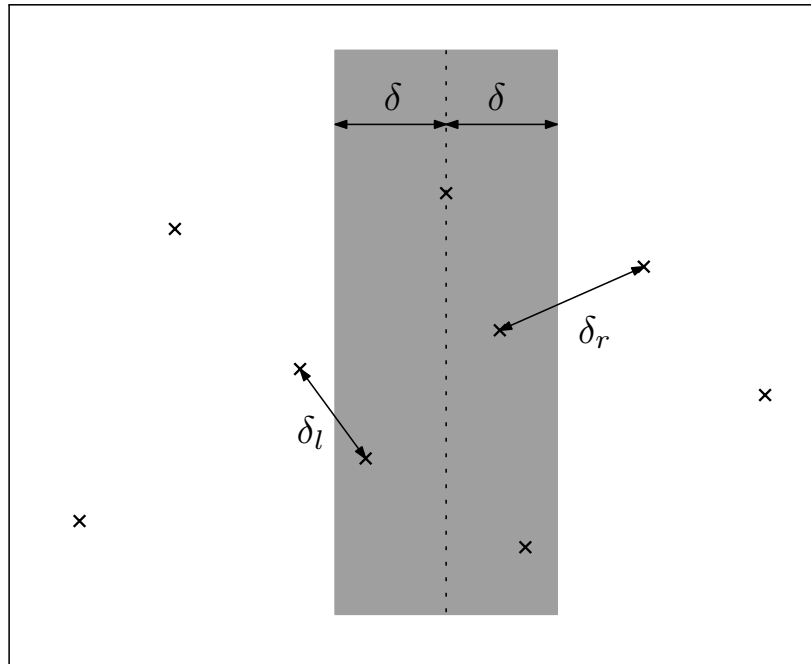


- ▶ Faster in balanced binary search trees because good pivoting is  $\Theta(1)$ .

## Closest pair of points

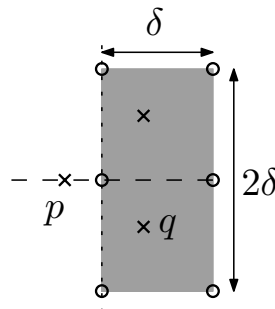
Divide-and-conquer on x-median.

- Find closest pair on left, closest pair on right, and closest pair between left and right.



## Closest pair of points (cont'd)

- At most  $\Theta(1)$  points on the right could be closest to one point on the left.
- Only takes  $\Theta(\log n)$  to find points on the right and test them.
- So testing gray zone is just  $\Theta(n \log n)$ .

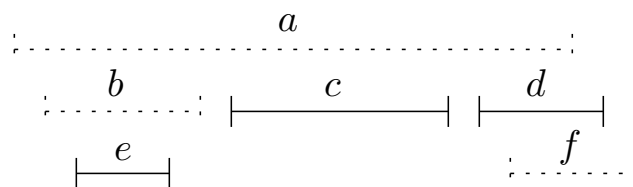


- Recurrence is  $T(n) = 2T(n/2) + \Theta(n \log n)$ .
- Resolves to  $T(n) = n \log^2 n$  by Master Theorem.

## Activity selection

Choose the next non-conflicting activity that ends the earliest to leave as much of the rest of the day available as possible.

```
Algorithm ActivitySelect( $A$ )  
   $S \leftarrow \emptyset$   
  sort  $A$  by increasing right endpoints  
  for  $j \leftarrow 1$  to  $A.length$  do  
    if  $A[j].left \geq \maxRightEndPoint(S)$   
       $S \leftarrow S \cup A[j]$   
  return  $S$ 
```



We can implement this so that the comparison takes  $\Theta(1)$  time.  
So the algorithm runs in  $\Theta(n \log n)$  time.

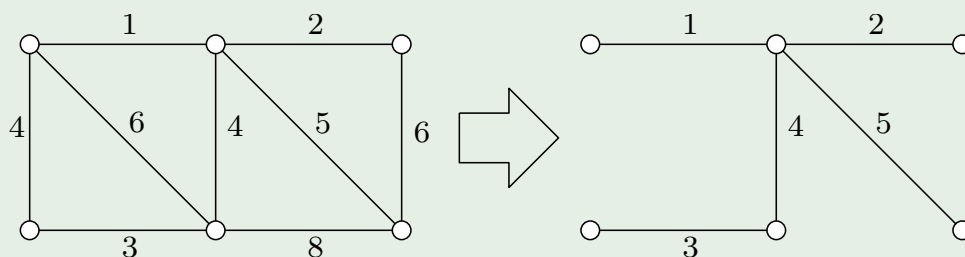
## Minimum spanning trees

### Problem

We are given a connected, undirected graph  $G(V, E)$  with edge weights  $w : E \rightarrow \mathbb{R}^{\geq 0}$ .

Find a spanning tree  $T(V, E')$  with the smallest total weight  $\sum_{e \in E'} w(e)$ .

### Example



## Prim's algorithm (sketch)

- ▶ Start from a fixed vertex ( $v_1$ )
- ▶ Iteratively add the vertex that is cheapest to reach from the vertices that we have spanned so far.

```
Algorithm Prim( $V, E, w$ )  
   $T \leftarrow \emptyset$   
   $S \leftarrow \{v_1\}$   
  while  $S \neq V$  do  
    find  $e = \{u, v\}$  of minimum weight such that  
       $u \in S$  and  $v \in V \setminus S$   
     $T \leftarrow T \cup \{e\}$   
     $S \leftarrow S \cup \{v\}$   
  return  $T$ 
```

- ▶ An  $O(|V| \times |E|)$  runtime complexity as written.
- ▶ Use a priority queue (heap) to make the find step fast!

## Single source shortest paths

### Problem

Given a weighted (directed) graph  $G$  and a source vertex  $s$ , find the shortest paths from  $s$  to every other vertex of  $G$ .

Important properties:

- ▶ No vertex is visited twice on a shortest path.
- ▶ The prefix of a shortest path is a shortest path.

Outline of **Dijkstra's** algorithm:

- ▶ Grow a shortest path tree rooted at  $s$  and directed from  $s$
- ▶ Track the cost of the shortest path to other vertices using just vertices in tree (plus the destination).
- ▶ Repeatedly add the vertex that is cheapest to reach from the tree.



# Bellman-Ford algorithm

- ▶ Works with negative edge weights!
- ▶ Our first **dynamic programming** algorithm.
  - ▶ Divide-and-conquer breaks problems into subproblems (top-down).
  - ▶ Dynamic programming combines subproblems into problems (bottom-up).
- ▶ If there is an negative cost cycle, there is no shortest path.
- ▶ If no negative cost cycles, a shortest path visits each vertex.
  - ▶ Each shortest path uses at most  $|V| - 1$  edges.
- ▶ Bellman-Ford iteratively finds shortest paths using at most  $1, 2, 3, \dots, |V| - 1$  edges.

## Optimal substructure

Both Dijkstra's and Bellman-Ford's algorithms work because you can extend the optimal solution of a subproblem.

### Definition

A problem has **optimal substructure** if some optimal solution is

- ▶ an optimal solution to a subproblem combined with
  - ▶ an optimal choice.
- 
- ▶ Often don't know which choice to make, so try them all.
  - ▶ May be efficient if subproblems overlap
    - ▶  $|V|$  paths to extend in Bellman-Ford (subproblems)
    - ▶  $|E|$  edges to extend with (choices)

# Making change with coins

## Problem

**Given:** Coin values  $c_1, c_2, \dots, c_t$  with which to make change and the amount of change to be made  $n$ .

**Wanted:** The minimum number of coins necessary to make  $n$  cents change.

Denominations chosen so that greedy algorithm works, but not true in general.

## Example

Coins: 1¢, 3¢, and 4¢

Greedy  $\rightarrow$  4¢, 1¢, and 1¢

Change to make: 6¢

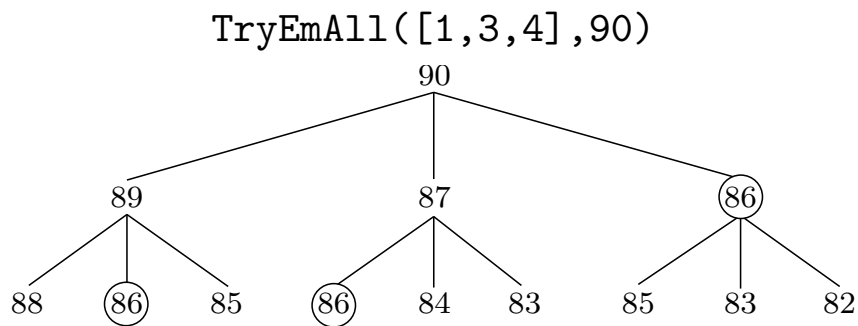
Optimal  $\rightarrow$  3¢ and 3¢

## Exhaustive coin changing

```
Algorithm TryEmAll( $C, n$ )
  if ( $n = 0$ ) then
    return 0
  int coins  $\leftarrow \infty$ 
  for  $i \leftarrow 0$  to  $C.length - 1$  do
    if ( $n \geq C[i]$ ) then
      subprob  $\leftarrow$  TryEmAll( $C, n - C[i]$ )
      coins  $\leftarrow$  min{subprob+1, coins}
  return coins
```

## Recursion tree for TryEmAll

This is inefficient because it recomputes the same subproblems over and over again.



A better idea:

- ▶ Construct a table to store the optimal solution for each subproblem.
- ▶ Compute it recursively the first time, look it up every other time.

## Memoization

```
int coins[0...n]  $\leftarrow \infty$ 
```

```
Algorithm TryEmAllAgain(C,n)
```

```
  if (n = 0) then  
    return 0
```

```
  if (coins[n]  $\neq \infty$ ) then  
    return coins[n]
```

```
  for i  $\leftarrow$  0 to C.length-1 do
```

```
    if (n  $\geq$  C[i]) then
```

```
      subprob  $\leftarrow$  TryEmAll(C,n - C[i])
```

```
      coins[n]  $\leftarrow$  min{subprob+1,coins[n]}
```

```
  return coins[n]
```

## Dynamic programming solution

We can eliminate the explicit recursion:

```
Algorithm DPCoinChange( $C, n$ )
  int coins[0... $n$ ]
  coins[0]  $\leftarrow$  0
  for  $nn \leftarrow 1$  to  $n$  do
    coins[ $nn$ ]  $\leftarrow \infty$ 
    for  $i \leftarrow 0$  to  $C.length-1$  do
      if  $nn \geq C[i]$  then
        coins[ $nn$ ]  $\leftarrow$ 
          min{coins[ $nn$ ], coins[ $nn - C[i]$ ] + 1}
  return coins[ $n$ ]
```

Run-time is  $\Theta(nt)$ .

## Weighted activity selection

### Problem

Given a set of activities represented as intervals

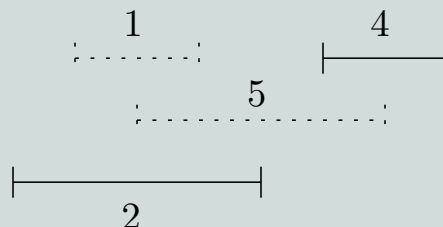
$A = \{[a_1, b_1], \dots, [a_n, b_n]\}$  and a positive weight function

$w : A \rightarrow \mathbb{R}^+$  find a subset  $S \subseteq A$  such that

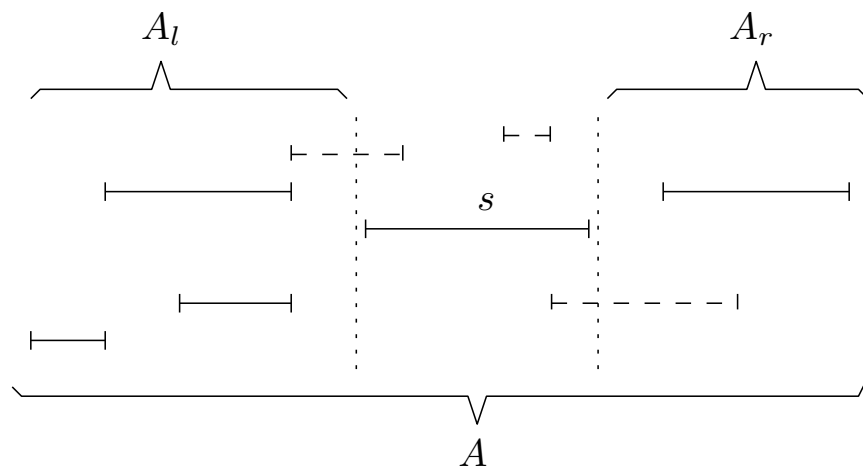
- ▶ the activities don't overlap (i.e.  $s \cap t = \emptyset$ , for  $s, t \in S$ ), and
- ▶ the sum  $\sum_{s \in S} w(s)$  is maximum

### Example

Optimal answer is solid intervals, which simple greedy schemes don't select.



## Weighted activity selection: optimal substructure



Suppose that some optimal solution  $S$  contains  $s$

- ▶  $s$  divides  $A$  in two:  $A_l$  completely to the left of  $s$  and  $A_r$  completely to the right of  $s$
- ▶  $S \cap A_l$  is optimal solution to subproblem restricted to  $A_l$
- ▶ If  $S_l$  is an optimal solution to  $A_l$ ,  $(S \setminus A_l) \cup S_l$  is optimal!

## Weighted activity selection: divide-and-conquer

Algorithm MaxActivitySelect( $A$ )

$S \leftarrow \emptyset$

$max \leftarrow -\infty$

for each  $[x, y] \in A$  do

$A_l \leftarrow \{[a, b] \in A : b < x\}$

$A_r \leftarrow \{[a, b] \in A : y > a\}$

$S_l \leftarrow \text{MaxActivitySelect}(A_l)$

$S_r \leftarrow \text{MaxActivitySelect}(A_r)$

if  $max < \sum_{s \in S_l} w(s) + \sum_{s \in S_r} w(s) + w([x, y])$  then

$S \leftarrow S_l \cup S_r \cup \{[x, y]\}$

$max \leftarrow \sum_{s \in S} w(s)$

return  $S$

## Weighted activity select: memoization

- ▶ Cache so that we don't recompute common subproblems
- ▶ Cannot index by all subsets  $A' \subseteq A$  because there are  $2^n$
- ▶ Showed that all subproblems were of the form  $\{[a, b] \in A : \beta < a \text{ and } b < \alpha\}$  where
  - ▶  $\beta = -\infty$  or  $b_i$  and
  - ▶  $\alpha = \infty$  or  $a_j$ ,for some  $i, j$
- ▶ So only  $O(n^2)$  subproblems...