

## §1.4 Nonregular languages

(§1.1 DFA, §1.2 NFA, §1.3 Reg Exp)

§1.4: - Given a language  $\rightarrow$  ① Is it regular, is there a DFA recognizing the language  
 There are many variants  $\rightarrow$  ② If so, how many states does the DFA need?

1.4. Focus: - Pumping Lemma, variants

Most direct test  $\rightarrow$  - Myhill Nerode theorem (Exercises in Sip)  
 - Walk Counting Functions (Asymptotic Ratios)

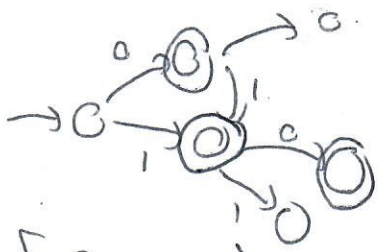
Example:

$$L_{3/2\text{-length}} = \left\{ s \in \{0,1\}^* \mid s \text{ in binary is a number } \leq (3/2)^{|s|} \right\}$$

$|s|$  = length of  $s$ , i.e.  $|s| = n$ ,  $s$  in binary, is it  $\leq (3/2)^n$ .

Claim:  $L_{3/2\text{-length}}$  is not regular (use asymptotic ratio test)

DFA:



$D = (Q, \Sigma, \delta, q_{init}, F)$

etc.

If  $L$  is regular, and  $f(n) = \#$  strings of length  $n$  in  $L$   
 $f(n)$  counts  $\#$  walks length  $n$  starting in  $\{q_{init}\}$  ending  $F$

# strings length n in  $L_{3/2\text{-length}} =$

# of strings in binary, length n  $\leq (3/2)^n$

size  $\{0, 1, 2, \dots, \lfloor (3/2)^n \rfloor\} = (3/2)^n + O(1)$

floor ↗

So asymptotic ratio of  $f(n) = (3/2)^n + O(1)$

Same as " " " " "  $(3/2)^n$

so this ratio is 3/2.

By one of ~~test~~ for walk-counting functions,  $f(n)$  is not a walk-counting function.  $\Rightarrow L_{3/2\text{-length}}$  is not regular.

Recall: If  $f(n)$  is ~~walk counting~~ and has asymptotic ratio  $p$  then  $f(n)$  is not walk counting if

- (1)  $p$  is rational but not an integer
- (2)  $f(n) = o(p^n)$

states  
↑

If  $f(n)$  is walk-counting, on a directed graph with  $\leq p$  vertices, then there are integers  $c_1, \dots, c_p$  s.t.  $f$  satisfies

$$f(n) = f(n-1)c_1 + f(n-2)c_2 + \dots + f(n-p)c_p.$$

Example:  $L_{\text{prime}} = \{S \in \{0, 1\}^* \mid S \text{ in binary is a prime number}\}$ .

(Quick  $10^6$  Riemann hypothesis...)

$\pi(x) =$  # primes between 1 and  $x$ ,  $\pi(x) \sim \frac{x}{\log x}$  (Prime # Theorem)

$f(n) = \# \text{ strings length } n \text{ in } L_{\text{prime}}$

$$f(n) \sim \frac{2^n}{\log_e(2^n)} = \frac{2^n}{n \log_e(2)}$$

(Asymp ratio  $f(n) = 2^n \cdot \frac{1}{n} \cdot \frac{1}{\text{const}}$ ) is 2

But  $f(n) \sim \frac{2^n}{n \cdot \text{const}} = o(2^n)$ . Hence  $L_{\text{primes}}$  is not regular

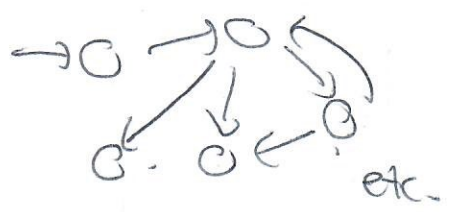


Method 2: Pumping Lemma:

$$L = \{ s \in \{0,1\}^* \mid s = 0^m 1^m \text{ for some } m \}$$
$$= \{ \epsilon, 01, 0011, 000111, \dots \}$$

Claim:  $L$  is not regular

Idea: Say that  $L$  is recognized by a DFA with 100 states

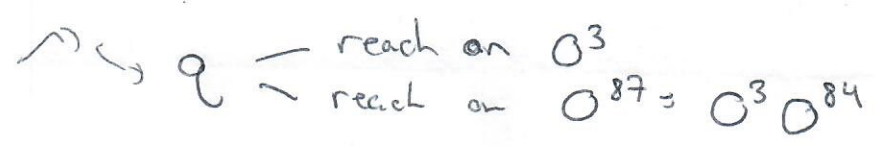


Look at  $s = 0^{100} 1^{100} \in L$

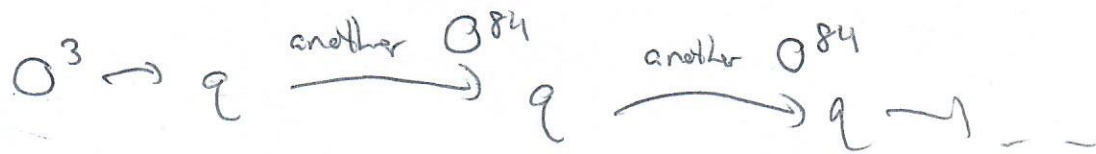
Consider what state you're in after

two of these lead in same state $q \in Q$	}	$\epsilon \rightarrow q_{init}$	101 strings $\leq 100$ states
		$0 \rightarrow \text{Some state}$	
		$00 \rightarrow$	
		$\vdots$	
		$0 \dots 0 \rightarrow$ <u>100</u>	

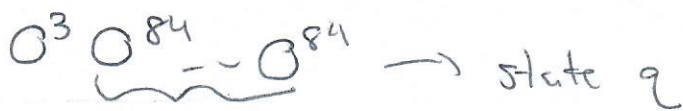
Maybe  $0^3, 0^{87}$  wind up in same state



If so



(4)



$0^{100} 10^{100} \in L$  I know  $0^{87} \rightarrow q$  then  $0^{13} 1^{100} \rightarrow$  Some accepting state

So  $0^3 (0^{84})^m 0^{13} 1^{100}$  is accepted

So  $0^3 0^{84000} 0^{13} 1^{100}$  is accepted

Pumping Lemma: If  $L$  is regular, accepted by a machine of at most  $p$  states, and  $S$  is any string in  $L$  of length at least  $p$ , then there are  $xyz = S$  s.t.

- (1)  $y \neq \epsilon$       (2)  $|xy| \leq p$       (3)  $xy^mz \in L$  for all  $m$ .