

CPSC 536F

Jan 20

Last time:

How many formulas size S

on n Boolean variables ...

Estimate:

formulas (S, Z)

$$\leq \left(\# \text{trees} \right) \cdot \left(\begin{array}{l} \text{setting of} \\ S \text{ leaves} \\ \text{to} \\ x_1, \dots, x_n, \neg x_1, \dots, \neg x_n \end{array} \right) \cdot \left(\begin{array}{l} \text{setting} \\ \text{of} \\ \text{interior} \\ \text{vertex} \\ \text{to} \\ \wedge / \vee \end{array} \right)$$

We get

$$S^{S-1} (2n)^S (2)^{S-1}$$

We compare to $2^{(2^n)}$

Roughly: S size around 2^n

(maybe $2^n/n$, $2^n/n^3$, ...)

$$\boxed{S^{S-1}} \quad \boxed{(2^n)^S} \quad \boxed{(2^n)^{S-1}} \quad \text{vs} \quad 2^{(2^n)}$$

$$f_1(n, S) \quad f_2(n, S) \quad f_3(n, S)$$

goal: set S st.

$$\log_2(f_1 f_2 f_3) \quad \log_2(2^{(2^n)})$$

VS

$$\log_2(\quad) = o(2^n) ???$$

So

$$\log_2 (f_1 f_2 f_3)$$

$$= \log_2 (f_1) + \log_2 (f_2) + \log_2 (f_3)$$

say we want

(1) set s around 2^h

(2) get

$$\log_2 f_1 + \log_2 f_2 + \log_2 f_3$$

$$\leq C \left(\overbrace{\text{Something}}^{f(n)} \right) \text{ simple}$$

Then we want $f(n) = o(2^n)$

or

$$\lim_{n \rightarrow \infty} \frac{f(n)}{2^n} \stackrel{=}{=} 0$$

$$g_1 = \log_2 f_1 = s-1 \log s$$

$$g_2 = \log_2 f_2 = s \log(2n)$$

$$g_3 = \log_2 f_3 = s-1 \log 2$$

s roughly 2^n

$\log_2 s$ roughly n

$$g_2, g_3 = o(g_1)$$

$$g_1 + g_2 + g_3$$
$$\approx g_1 (1 + o(n))$$

$$g_1 \leq g_1 + g_2 + g_3 \leq g_1 (1 + o(1))$$

$$\text{So } \lim_{n \rightarrow \infty} \frac{g_1 + g_2 + g_3}{g_1} = 1$$

best possible in comparing g_1, g_2, g_3

$$\text{Focus on } g_1 = (S-1) \log S$$

Simplify drop -1 from g_1

$$g_1 \leq s \log s$$

$$\lim_{n \rightarrow \infty} \frac{(s-1) \log s}{s \log s} = 1$$

$$g_1 + g_2 + g_3 = (s \log s) (1 + o(n))$$

So you want to choose $s = s(n)$
s.t.

$$(s \log s) (1 + o(n)) \leq o(2^n)$$

Then roughly

$$s \log s \text{ to be } 2^n$$

So

set equal to see the limit of what we can achieve

$$s \log s = 2^n$$

If

$$\log_2 s + \log_2 \log_2 s = n$$

$$\log_2 s = n - \log_2 \log_2 s$$

$$s \text{ near } 2^n$$
$$\log \log(s) \text{ very near } \log \log n$$

$$\log_2 S = n - \log_2 \log_2 (S)$$

$$\log_2 (\log_2 S)$$

roughly \rightarrow
 n

$$\left(n - \underbrace{\log_2 \log_2 S}_{\text{roughly } n} \right)$$

roughly $\log_2 n$

$\log_2 S$ "roughly"

$$n - \log_2 n$$

Take

$$\log_2 S^* = n - \log_2 n$$

$$S^* = 2^{(n - \log_2 n)} = 2^n / n$$

We learn (by experience)

$$S^*(n) = 2^n / n$$

then

$$\begin{aligned} & S^*(n) \log S^*(n) \\ &= \left(2^n / n\right) \left(\log_2 \left(2^n / n\right)\right) \\ & \quad \left(2^n / n\right) \left(n - \log_2 n\right) \\ &= \left(2^n / n\right) (n) \left(1 - \frac{\log_2 n}{n}\right) \\ &= 2^n (1 + o(1)) \end{aligned}$$

"Trick" or "Method"

$$\text{If } S^*(n) = 2^n / n$$

then

$$\begin{aligned} S^*(n) \log S^*(n) \\ = 2^n (1 + o(1)) \end{aligned}$$

$$\left(\begin{array}{l} S^*(n) = 2^n / n \\ n S^*(n) = 2^n \end{array} \right)$$

Similarly if

$$S^*(n) \log S^*(n) = \text{any function of } n$$

~~as n → ∞~~

Version of this trick (method)!

$$S^*(n) = \left(\frac{2^n}{n}\right) c, \quad c < 1$$

then

$$S^*(n) \log(S^*(n))$$

$\log \downarrow$

$$\sim \left(\frac{2^n}{n} c\right) (n - \log n + \log c)$$

$$\sim 2^n c (1 + o(1))$$

More formally:

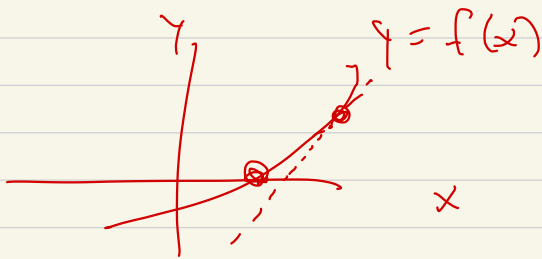
One of a set
of tools

$$S^*(n) = \frac{2^n}{n} C$$

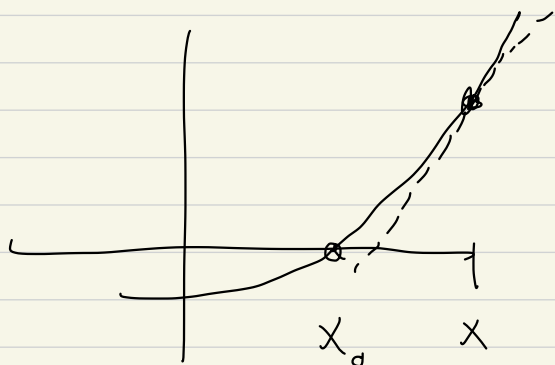
then

$$\lim_{n \rightarrow \infty} \frac{S^*(n) \log S^*(n)}{2^n} = C$$

Similar: Newton's method;



for x near root x_0



$\bar{\Phi}(x)$



Then

$$x_0 \approx x - \frac{f(x)}{f'(x)}$$

certainly

$$x_0 - \frac{f(x_0)}{f'(x_0)} = x_0 - \frac{0}{\cdot}$$

$$\bar{\Phi}(x_0) = x_0, \text{ hope} = x_0$$

x near x_0

$\Phi(x)$ closer to x_0

$\Phi(\Phi(x))$ even closer --

as long as $|\Phi'(x_0)| < 1$

then if Φ is differentiable and \nearrow

then $\Phi \dots \Phi(x) \rightarrow x_0$. x near x_0

Back to complexity

$\#$ formulas

size S

on n

variables

\leq

$\left(\begin{matrix} \text{binary} \\ \# \text{ trees} \\ \text{size} \\ S \end{matrix} \right) \begin{matrix} S & S-1 \\ (2n) & (2) \end{matrix}$

C^S

Claim!

$$\binom{\text{\# binary trees size } S}{S} \leq C^S \quad \text{some constant } C$$

=

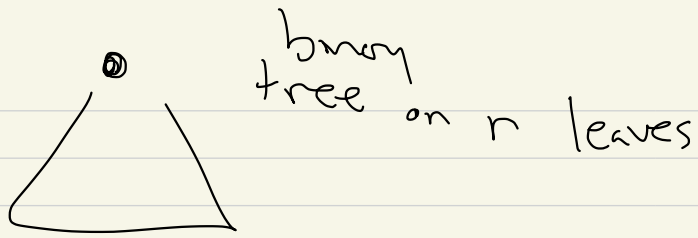
If so: $S(n)$ roughly 2^n

take

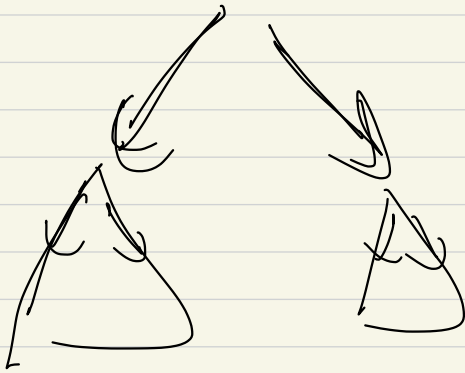
$$+ \log_2 C^S$$

becomes
dominant
↓

$$\rightarrow \log_2 (2n)^S = \log_2 (2^S) + \log_2 (n^S)$$
$$+ \log_2 (2^S)$$



② n leaves at root

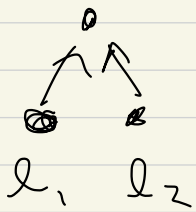


size k

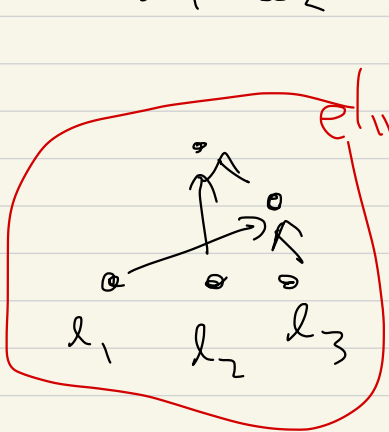
size $n-k$

$$1 \leq k \leq n-1$$

$B(n) = \#$ binary trees on n leaves

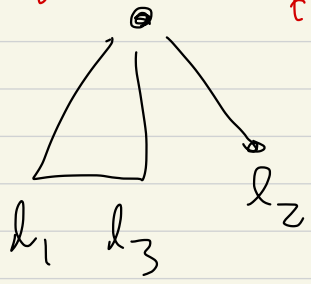


$$B(2) = 1$$

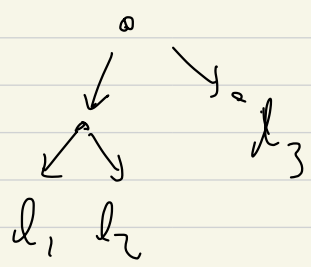
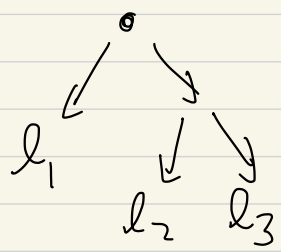
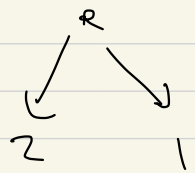
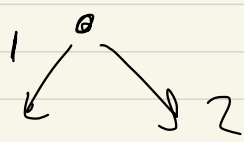


eliminate by going downwards from root

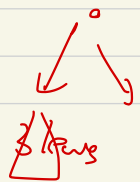
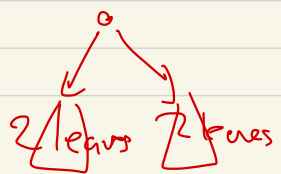
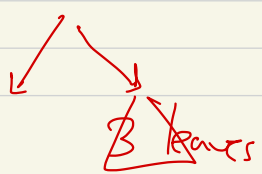
but



$$B(3)$$



$$B(4) :$$



Could say

$$B(n) \leq B(n-1) + B(2)B(n-2) +$$

$$- - + B(n-2)B(2)$$

$$+ B(n-1)$$

or better

$$B(n) \leq B(n-1) + B(2)B(n-2)$$

$$+ \dots + B\left(\left\lfloor \frac{n}{2} \right\rfloor\right) B\left(\left\lceil \frac{n}{2} \right\rceil\right)$$

$$B(7) \leq B(6) + B(2)B(5) + B(3)B(4)$$

stop

$$B(8) = B(7) + B(2)B(6)$$

$$+ \dots + B(3)B(5)$$

$$+ B(4)B(4)$$

the stop

—
this recurrence

$$C(1) = C(2) = 1$$

$$C(n) = C(n-1) + C(2)C(n-2)$$

$$+ \dots + C(n-2)C(2)$$

$$+ C(n-1)C(1)$$

"Catalan Numbers"

Regardless,

$$\text{Claim: } B(n) \leq C^n$$

=

$$\log_2(\text{Formula Size}(n, s))$$

$$\leq \log_2(n^s) (1 + o(1))$$

$$\text{Claim: If } s \leq \left(\frac{2^n}{\log_2 n} \right)^c$$

$$c < 1$$

$$\begin{aligned} \log_2(n^s) &= s \log_2 n \\ &\sim 2^{n \cdot c} \end{aligned}$$

Solung

$t^*(n)$ roughly :

$$t^*(n) \log_2 n \sim 2^n$$

$$t^*(n) = 2^n / \log_2 n$$

and

$$t^*(n) = \frac{2^n}{\log_2 n} \cdot c \quad (c < 1)$$

$$t^*(n) \log_2 n \sim 2^n \cdot c$$

5 min break

10:23 - 10:33

We had:

$$\log_2 F(n, s) \sim 2^n c$$

then with s roughly 2^n

last class

$$F(n, s) \sim S^S (\text{const})^S$$

$$\text{we take } S \sim \frac{2^n}{n} c$$

This class

$$\log_2 \hat{F}(n, s) \sim 2^n \cdot c$$

with s roughly 2^n

$$\hat{F}(n, s) = n^s (\text{const})^s$$

$$s \sim \frac{2^n}{\log n} \cdot c$$

Homework!

$$G(n, s) = \# \left\{ \begin{array}{l} \text{straight-line programs} \\ \text{circuits} \end{array} \right\} \text{ of}$$

size s on n variables

$$S.L.P = Y_1, \dots, Y_n, Y_{n+1}, \dots, Y_s$$

$$\uparrow \quad \quad \uparrow$$

$$X_1, \dots, X_n$$

for each $i = n+1, \dots, s$

there are j, k , really

$$j = j(i)$$

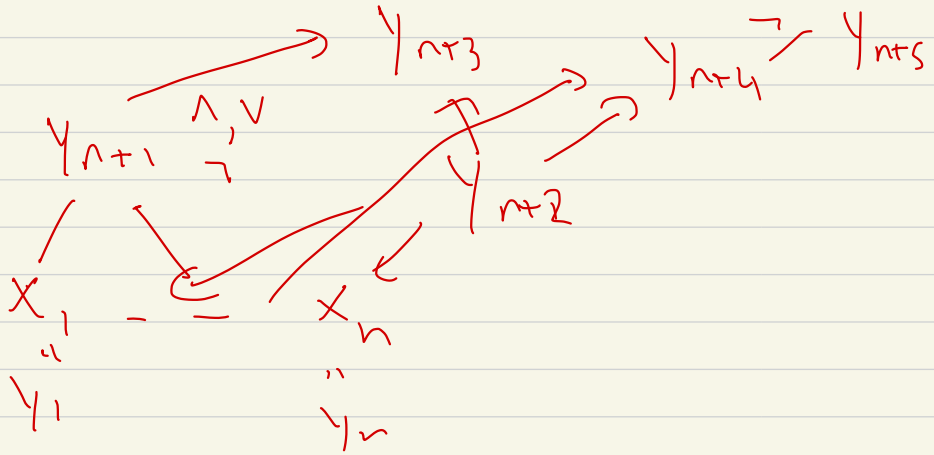
$$k = k(i)$$

s.t. either

$$Y_i = \begin{cases} Y_j \text{ AND } Y_k \\ Y_j \text{ OR } Y_k \\ \text{NEQ } Y_j \end{cases}$$

S.L.P = Straight line programs

using Boolean logic, \neg , \wedge , \vee



Similarly show

$$\begin{pmatrix} \# \text{ S.L.P.} \\ \# \text{ circuits} \end{pmatrix} \begin{pmatrix} \text{size } n \\ s, \text{ variables} \end{pmatrix} \leq S^{2s} \begin{pmatrix} \text{less} \\ \text{important} \\ \text{terms} \end{pmatrix}$$

$$\log(S^{2S}) \sim 2^n \cdot c$$

same idea sets

$$2S \log_2 S \sim n + \log_2 c$$

$$(1) \quad n^S \approx 2^n, \quad S = \frac{2^n}{\log n}$$

$$S \log n \approx 2^n$$

$$(2) \quad S^S \approx 2^n, \quad S \approx \underbrace{2^n}_{n^2}$$

$$(3) \quad S^{2S} \sim 2^n, \quad S^S \sim 2^{n/2}$$

$$(3) \quad S \sim \frac{2^n}{2n}$$

Claim:

$$S(n) = \left(\frac{2^n}{2n} \right) \cdot c$$

$$c < 1$$

$$S(n) \stackrel{2S(n)}{\sim} 2^n \cdot c$$

Upshot:

$$\text{If } \cancel{S}(n) \sim \frac{2^n \cdot c}{\log n}$$

$$\log \# \text{ formulas (size } n, \text{ variables } s) \sim 2^n \cdot c$$

$$\log \left(\# \text{ circuits (size } n, \text{ variables)} \right) \sim 2^n c$$

main term

$$\log \left(\frac{2^n}{2^n} \right)$$

if

$$f(n) = \frac{2^n}{2^n} \cdot c$$

\Rightarrow

Thm (Shannon) The number of
circuits size $\frac{2^n}{2^n} \cdot c$ (for any

$c < 1$) on n Boolean variables

$$\text{is } 2^{\left[2^n \cdot c (1 + o(1)) \right]}$$

So for $c < 1$, the number of Boolean functions on

n -variables described by a circuit size

$$\leq \boxed{\frac{2^n}{2^n} \cdot c}$$

$$\text{is } 2^{\cancel{2}^n \cdot f(n)}$$

where $f(n) \rightarrow c$ as $n \rightarrow \infty$,

So most Boolean functions
on n variables

(1) are not described by
circuits of size $\leq \frac{2^n}{2n} (0.99)$

(2) are not described by
formulas of size $\leq \frac{2^n}{\log n} (0.99)$

Next time:

① Where are we today (2022)
in terms best ^{lower} bounds

for circuit & formula size

② Circuit & formula depth

Class ends
