

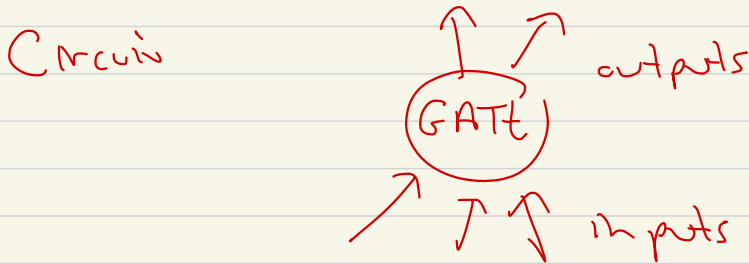
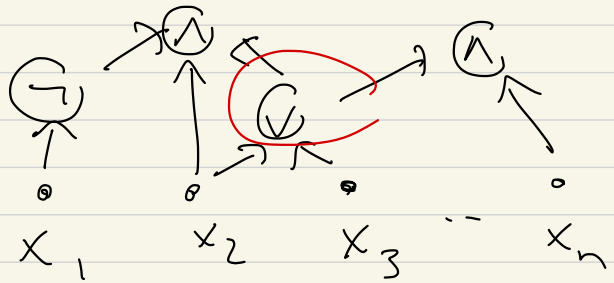
CPSC 536F

Jan 18, 2022

Last time:

Formulas \leftrightarrow Tree

Circuits \leftrightarrow Directed acyclic graph



Formula same but
each GATE has only one output

For now: Boolean algebra

$\{F, T\}$ or $\{0, 1\}$

GATES: \neg (unary): $x_1 \mapsto \neg x_1$

\wedge (AND) binary: $x_1, x_2 \mapsto x_1 \wedge x_2$

⋮

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Given GATES = \neg, \wedge, \vee

any formula has a version (with same size = # occurrences of variables)

s.t. all \neg occur ~~on~~ the level

just above variables.

There's no loss of generality!

formula x_1, \dots, x_n variables

being given as binary tree,

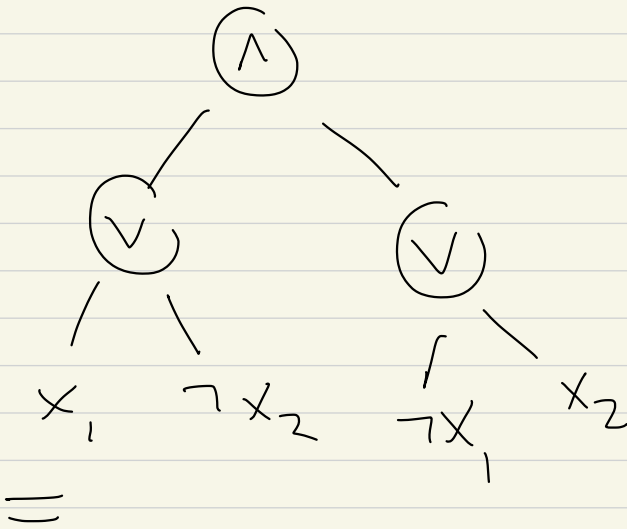
just \wedge, \vee GATES and

leaves

"literals" x_1, \dots, x_n variables
 $\neg x_1, \dots, \neg x_n$ negated variables

"De Morgan formula" is just

such a tree!



Claim: Any Boolean function can be expressed as

① truth table representation!

say	x_1	x_2	x_3	$f(x_1, x_2, x_3)$
	T	T	F	T
	F	T	T	T
	T	F	T	T
				false otherwise

at most 2^n values where

f is T,

either $x_1 = T \quad x_2 = T \quad x_3 = F$ (1)

OR

$x_1 = F \quad x_2 = T \quad x_3 = \bar{T}$ (2)

⋮

⋮

$x_1 \wedge x_2 \wedge \neg x_3 = T$

OR

$\neg x_1 \wedge x_2 \wedge x_3 = T$

OR

⋮

$(\textcircled{1} \text{ is } \bar{T}) \text{ or } (\textcircled{2} \text{ is } T) \text{ or } \dots$

$(x_1 \wedge x_2 \wedge \neg x_3) \vee (\neg x_1 \wedge x_2 \wedge x_3) \vee \dots$

$\underbrace{\hspace{10em}}$

has n

literals

if you have n variables

\Rightarrow f can be written

via T values in f 's truth

table with $\leq n 2^n$

size formula

One improvement (by Victor)

If x_1 is T , then $f(T, \overbrace{x_2, \dots, x_n}^{g(x_2, \dots, x_n)})$
is T

OR

If x_1 is F , then $f(F, \overbrace{x_2, \dots, x_n}^{\hat{g}(x_2, \dots, x_n)})$
is T

$(f(x_1, \dots, x_n) \text{ is } T) \text{ if } \exists x$

$$(x_1 \wedge [f(T, x_2, \dots, x_n) = T]) \vee (\neg x_1 \wedge [f(F, x_2, \dots, x_n) = T])$$

Hence is

$L(n)$ = length of the
max formula size to
express any Boolean of
 n variables

$$L(n) \leq 2 + 2L(n-1)$$

$L(1)$: Four functions of x_1 for $n=1$

$T, F, x_1, \neg x_1$

So $L(1) = 1$

functions constants $T, F \leftarrow$ size 0

Exercise: Find $L(n)$,

show that

$$L(n) \sim 2^n.$$

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O , Θ .

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If $f, g : \mathbb{N} = \{1, 2, \dots\}$

$\rightarrow \mathbb{N}$

we write

$f = O(g)$ if for n_0, C

$$f(n) \leq g(n)C \text{ for}$$

$$n \geq n_0$$

and $f = o(g)$ if

for any $c > 0$, $\exists n_0$ s.t.

$$f(n) \leq c g(n) \quad n \geq n_0$$

=

$$f = o(g) \iff$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

=

Example: $f(n) = n^2 + 5n \log n$

$$= n^2 + o(n^2)$$

O = order, o = asymptotically less than.

So

$$f(n) = 10n^2 + 5n$$

$$= O(n^2)$$

Since

$$f(n) = 10n^2 + 5n \leq$$

$$10n^2 + 5n^2 \leq 15n^2$$

Richard Karp:

"The only constant a comp. sci.

theoretician cares about is

the one in their salary."

Writing

$$f(x_1, \dots, x_n) = T$$

\Leftrightarrow

$$\text{OR } \left(\text{all } x_1, \dots, x_n \text{ where} \right. \\ \left. f(x_1, \dots, x_n) = T \right)$$

\Leftrightarrow

$$f(x_1, \dots, x_n) = \overline{T}$$

$$\text{iff } \neg (\neg f(x_1, \dots, x_n)) = \overline{T}$$

$$\neg f(x_1, \dots, x_n) = F$$

We can also write

$f(x_1, \dots, x_n)$ formula by
its false values.

x_1	x_2	$f(x_1, x_2)$
F	F	T
F	T	T
T	F	F
T	T	T

$f = T \Leftrightarrow$ one of its variable

settings gives T

$\neg f = F \Leftrightarrow$ (one of f 's false settings is)

$$f = \bar{T} \Leftrightarrow$$

$$f = f \Leftrightarrow x_1 = \bar{T}, x_2 = f$$

$$\Leftrightarrow x_1 \wedge (\neg x_2) = \bar{T}$$

$$x_1 \text{ AND } (\neg x_2) = \bar{T}$$

$$f = F \Leftrightarrow x_1 \wedge (\neg x_2)$$

$$f = \bar{T} \Leftrightarrow \neg \left(\underbrace{x_1}_p \wedge \underbrace{\neg x_2}_q \right)$$

$$\Leftrightarrow$$

$$\neg(p \wedge q) \Leftrightarrow (\neg p \vee \neg q)$$

$$\Leftrightarrow (\neg x_1 \vee x_2)$$

When you write

$$f = T \Leftrightarrow (\text{some literal all } T)$$

OR

$$(\text{---} \text{---} \text{---} T)$$

OR

$$(\text{---} \text{---} \text{---})$$

↓

$$(x_1 \text{ AND } \neg x_2 \text{ AND } \dots) \text{ OR } (\dots) \text{ OR } (\dots) \dots$$

OR of ANDS

called OR-normal form

$V = OR = \text{disjunctive}$

$(\quad) OR (\quad) \underline{OR} \dots OR (\quad)$
 $\uparrow \uparrow \uparrow$
AND'S

Disjunctive normal form
= DNF

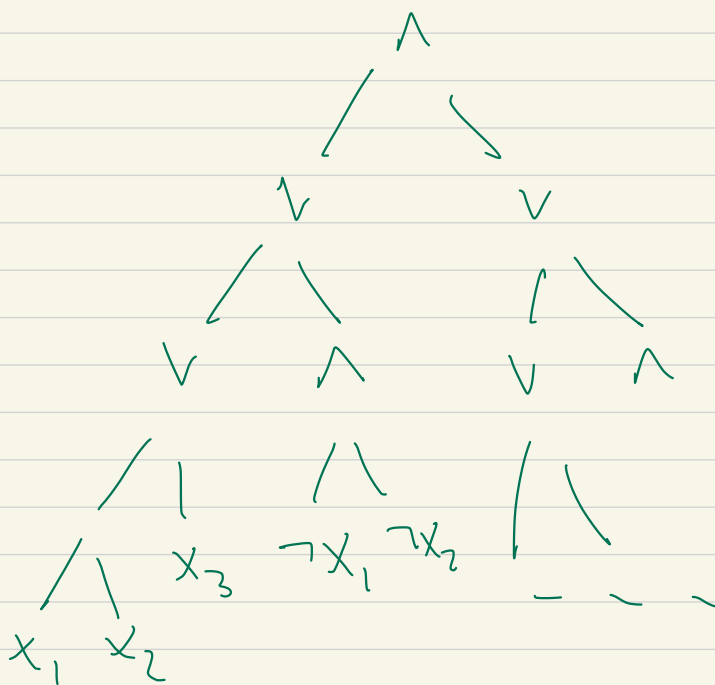
$(OR \dots OR) AND (\dots OR \dots OR) \dots$

Conjunctive normal form

$(\text{Conjunction} \Leftrightarrow AND) = CNF$

Regarding formulas or circuits
on n variables:

De Morgan formulas:



Thm (Shannon)!

Most Boolean functions on n variables cannot be expressed as a formula of size

$$\leq 2^n / 3^n \text{ (for large } n \text{)}.$$

=

Lemma! How many formulas

are there of size $= S$?

$$\binom{\# \text{ formulas}}{\text{size } S} \leq \binom{\# \text{ binary trees}}{S \text{ leaves}} \binom{2n}{S} \binom{S-1}{1}$$

(one root)

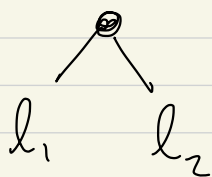
each of S
leaves is one
of

$$x_1, \dots, x_n, \neg x_1, \dots, \neg x_n$$

\Rightarrow

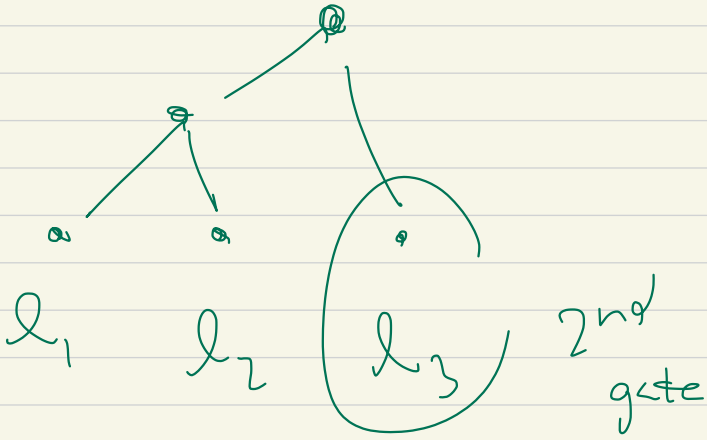
How many binary trees are there?

$$S=2, \quad l_1, l_2$$

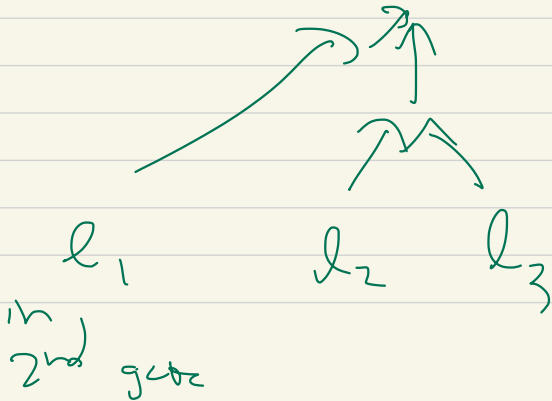
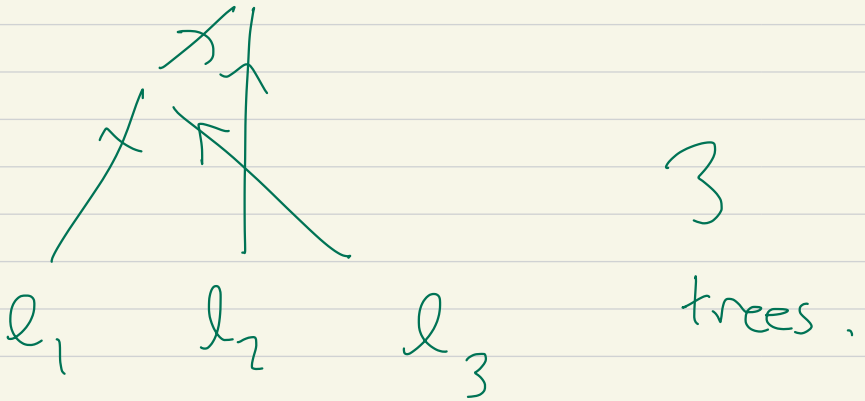


only
one
possible
tree

$S = 3$



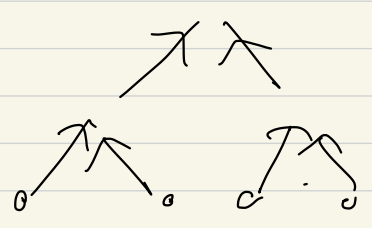
OR



What about $S=4$, $S=5$, ... ?

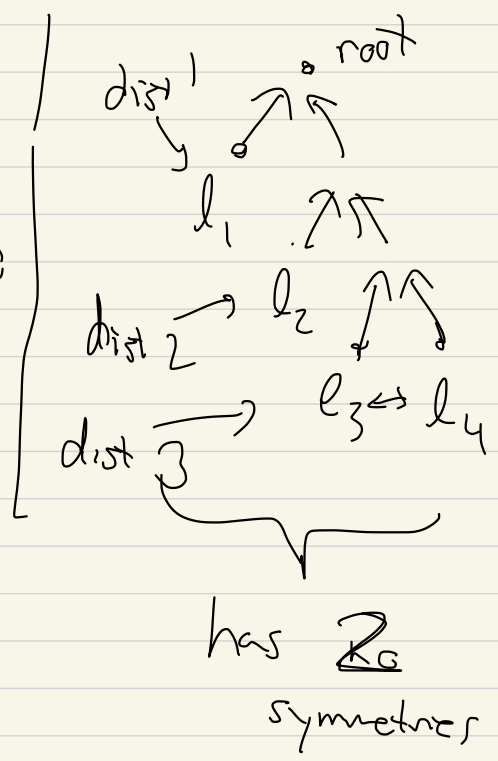
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$S=4$



=

I ignore symmetries
for now



fact: there is a general formula
for # distinct rooted trees with
 S leaves (all distinct from root)

Break: $10:22 - 10:27$

\equiv

Philip bound $s!/2$

Amur bound $\frac{s! \cdot (s-1)!}{2^{s-1}}$

\equiv

$s=4!$

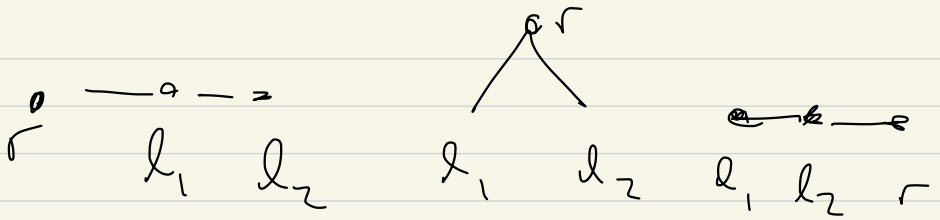
Bound:



trees on $s+1$ nodes with
a given node fixed as

root:

$$(s+1)^{s-1}$$



$(S+1)^{S-1}$ based on Laplacian,

which counts # trees on

$S+1$ nodes with a given root.

=

Let's take $(S+1)^{S-1}$

=

$$\binom{\# \text{ formulas}}{\text{size } S} \leq (S+1)^{S-1} \binom{S}{2r} 2^{S-1}$$

of Boolean

functions on n variables : $2^{(2^n)}$

Claim: $S = 2^n / nC$

c large enough, $c=3$ should suffice,

then

$$(S+1)^{S-1} (2n)^S 2^{(S-1)}$$

$$S = 2^n / nC$$

$$\leq \left(\frac{1}{2} \right) 2^{(2^n)}$$

Let's take \log_2 both sides!

we want

$$\log_2 \left(\frac{1}{2} 2^{(2^n)} \right)$$

$$= \underbrace{\log_2 \left(\frac{1}{2} \right)}_{-1} + \log_2 2^{(2^n)}$$

" 2^n

$$= 2^n - 1$$

(not so bad)

$$\log_2 \left((s+1)^{(s-1)} (2n)^s 2^{(s-1)} \right)$$

=

$$(s-1) \log_2 (s+1) + \quad (1)$$

$$(s) \log_2 (2n) + \quad (2)$$

$$(s-1) \log_2 2 \quad (3)$$

$$S = 2^n / n C$$

which term is largest?

Settle it this way

$$f_1(n) = (S-1) \log_2(S+1)$$

$$S = 2^n / nc, \quad c \text{ fixed}$$

$$f_1(n) = \left(\frac{2^n}{nc} - 1 \right) \log_2 \left(\frac{2^n}{nc} + 1 \right)$$

$$f_2(n) = \left(\frac{2^n}{nc} \right) \log_2(2n)$$

$$f_3(n) = \left(\frac{2^n}{nc} - 1 \right) \cdot 1$$

Can we claim!

$$f_2 = o(f_1) \quad ?$$

$$\lim_{n \rightarrow \infty} \frac{f_2(n)}{f_1(n)} = 0 \quad ??$$

$$\lim_{n \rightarrow \infty} \frac{f_2(n)}{f_1(n)} = \lim_{n \rightarrow \infty} \frac{\binom{2^n}{nc} \log(2n)}{\binom{2^n}{nc-1} \log\left(\frac{2^n}{nc} + 1\right)}$$

$$\lim_{n \rightarrow \infty} \frac{\binom{2^n}{nc}}{\binom{2^n}{nc-1}} = 1$$

$$\lim_{n \rightarrow \infty} \frac{\log(2^n)}{\log\left(\frac{2^n}{nc} + 1\right)} = \lim_{n \rightarrow \infty} \frac{\log 2 + \log_2 n}{\log\left(\frac{2^n}{nc}\right)}$$

$$= \lim_{n \rightarrow \infty} \frac{1 + \log_2(n)}{n - \log(nc)}$$

$$\log c + \log n$$

$$= \lim_{n \rightarrow \infty} \frac{\text{Order}(\log n)}{n - \text{Order}(\log n)}$$

→ 0

So

$$f_2(n) = f_1(n) \circ \underbrace{O(n)}$$

function
that $\rightarrow 0$

as ~~$n \rightarrow \infty$~~ $\rightarrow \infty$

Similarly

$$f_3(n) = \left(\frac{2^n}{nc} - 1 \right)$$

$$\leq \frac{2^n}{nc}$$

$$\leq \frac{2^n}{nc}$$

larger

$$\log_2(2n) = f_2(n)$$

$$f_3(n) = o(f_2(n))$$

$$or \leq \text{Order}(f_2(n))$$

and

$$f_3(n), f_2(n) \leq f_1(n) o(n)$$

function $\rightarrow 0$
as $n \rightarrow \infty$

So

$$\log\left((s+1)^{(s-1)} (2n)^s 2^{(s-1)} \right)$$

$$= f_1(n) + f_2(n) + f_3(n)$$

$$= f_1(n) \left(1 + o(1) + o(1) \right)$$

$$= f_1(n) \left(1 + \begin{array}{l} \text{a function} \\ \rightarrow 0 \\ \text{as } n \rightarrow \infty \end{array} \right)$$

What we know:

is

$$f_1(n) + f_2(n) + f_3(n) \leq 2^n - 1$$

for $n \rightarrow \infty$. Finish next time...

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Class ends...

