

CPSC 421/501 Nov 17

Thm (Cook-Levin): $SAT \in P$

$\Rightarrow P = NP$.

Similarly: $3SAT \in P \Rightarrow P = NP$.

Similarly for $3SAT$ replaced
with $3COLOR$, $SUBSET-SUM$,
~~PARTITION~~, etc.

"NP - complete"

Midterm:

Avg 28.15 / 35

Median 31 / 35

Rem:

① 5/5 for a problem

may still have corrections

② Keep doing the
homework ...

Homework 9 due Nov 23

" 10 " Nov 30

" 11 " due Dec 7

(but not collected)

Last class Dec 6 (Wed)

Classes end Dec 7 (Thu)

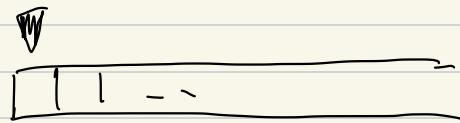
Exams: Dec 11-22

Our exam: Dec 11, 3:30 pm

Extra office hours: Dec 4-8

Step 1

q_0



Step T

q_T



Fix a non-det T.m., M^* :

$M = (Q, \Sigma, \Gamma, \delta, q_0, q_{acc}, q_{rej})$ is a

non-deterministic T.m.

Input = $\sigma_1 \dots \sigma_n$

C, k fixed

(1) Here $T = C n^k$, n = length of input

(2) Tape head position at step t

is cell # $\leq t$

(3) $x_{ijr} = \begin{cases} T & \text{if } \text{cell}[i,j] \text{ has } r \\ F & \text{otherwise} \end{cases}$

$y_{ij} = \begin{cases} T & \text{if tape head on cell}[i,j] \\ F & \text{otherwise} \end{cases}$

$z_{iq} = \begin{cases} T & \text{if in state } q \text{ at step } i \\ F & \text{otherwise} \end{cases}$

$i, j \in \{1, \dots, T\}, r \in \Gamma, q \in Q$

Bddian formula

$f = f(u_1, \dots, u_N)$ is a

3-Conjunction Normal Form

formula if

$f = (\omega_{11} \text{ or } \omega_{12} \text{ or } \omega_{13})$

AND ($\omega_{21} \text{ or } \omega_{22} \text{ or } \omega_{23}$)

AND ()

,

AND ($\omega_{\ell 1} \text{ or } \omega_{\ell 2} \text{ or } \omega_{\ell 3}$)

$$\omega_{ij} = u_1, \dots, u_N, \neg u_1, \neg u_2, \dots, \neg u_N$$

$$\text{SAT} = \{ \langle f \rangle \mid \begin{array}{l} f \text{ Boolean formula} \\ \text{that is satisfiable} \end{array} \}$$

$$3\text{SAT} = \{ \langle f \rangle \mid \begin{array}{l} f \text{ Boolean formula} \\ \text{that is satisfiable} \\ \text{and } f \text{ is in} \\ 3\text{CNF} \end{array} \}$$

have this in mind

$x_{ij}, y_{ij}, z_{iq}, \dots$

So far: the T.m has a computation path that accepts input $\sigma_1 \dots \sigma_n$ iff

(1) Config at step 1 represents what the initial T.m. config should be

AND

There is a way to move step 1 to step 2

AND

... - - - Step 2 to step 3

; " " to step T
 $(T = Cn^k)$

AND

the state in step $T = Cn^k$

is Q_{accept}

S_{G--}

$$x_{ij\gamma} = \begin{cases} T & \text{iff } \text{cell}[i,j] \\ & \text{has a } \gamma \end{cases}$$

$i, j = 1, \dots, T, \quad T = Cn^k$

$\gamma \in \Gamma$ type alphabet

Condition: $\text{cell}[i,j]$ has exactly
one symbol from Γ

$i=1, j=1$, $\Gamma = \{ \gamma_1, \dots, \gamma_{N_p} \}$

$\leftarrow \boxed{X_{11}\gamma_1 \text{ or } X_{11}\gamma_2 \text{ or } \dots \text{ or } X_{11}\gamma_{N_p}}$

AND

$(\neg X_{11}\gamma_1 \text{ or } \neg X_{11}\gamma_2)$

AND

$(\neg X_{11}\gamma_1 \text{ or } \neg X_{11}\gamma_3)$

AND

,

,

)

$(\neg x_{11y_1} \text{ or } \neg x_{11y_2} \text{ or } \neg x_{11y_3})$

, — - - ←

Problem: u_1, \dots, u_{N_p}

Express

$(u_1 \text{ or } u_2 \text{ or } \dots \text{ or } u_{N_p})$

as 3 CNF (Homework)

Namely, for example

$u_1 \text{ or } u_2 \text{ or } u_3 \dots \text{ or } u_6 = T$

iff

$(u_1 \text{ or } u_2 \text{ or } w_1) \text{ AND }$

$(\neg w_1 \text{ or } u_3 \text{ or } w_2)$

AND

$(\neg w_2 \text{ or } u_4 \text{ or } w_3)$

AND

$(\neg w_3 \text{ or } u_5 \text{ or } w_6)$

} 3 CNF

is satisfiable

We add new variables w_1, \dots, w_3

Similarly :

for each i , we want exactly one of

$y_{i1}, y_{i2}, \dots, y_{iT}$ to be

true } here $T = Cn^k$

$y_{ij} = T \Leftrightarrow$ tape head at step
 i is on cell pos j

there are $T = Cn^k$ variables

Also, exactly one of

$z_{i_1 q_1}, z_{i_2 q_2}, \dots, z_{i_N q_{N_Q}}$ is true

Aside: say non-det $T.m.$, M ,

and an input length n , you

produce at 3CNF formula

of size $10^6 n^{17}$ sat.

the formula $\in 3SAT$ iff the

$T.m.$ can accept the input in time

$4n^2$.

Say that you have a SAT

solver that for a formula of

length N , solves SAT in time

$3000 N^{12}$

Since non-det T_m accepts input

length n in time $4n^2$

iff some 3CNF is satisfiable,

3CNF length $10^6 n^{17} = N$,

so the SAT solver + backtracking

time

$$3000 N^{12}$$

$$= 3000 \left(10^6 n^{17} \right)^{12}$$

$$= O(O(n^{17 \cdot 12}))$$

Using

polynomial $\left(\begin{array}{c} \text{another} \\ \text{polynomial} \end{array} \right)$

= some other polynomial

AND $()$

$()$

AND $()$

:

AND $(z_{1q_{12}} \text{ or } z_{1q_{12}} \text{ or } z_{1q_{12}})$

initial state q_{12}