

CPSC 421/501 Homework Solutions 10

(1) (a) By distributivity of  $\wedge$  and  $\vee$ ,

$$\left( \bigwedge_{i=1}^3 u_i \right) \vee \left( \bigwedge_{j=1}^3 v_j \right) \vee \left( \bigwedge_{k=1}^3 w_k \right)$$

$$= \bigwedge_{\substack{i=1,2,3 \\ j=1,2,3 \\ k=1,2,3}} (u_i \vee v_j \vee w_k)$$

Size = # variables: We have 27 clauses,  
 each clause with 3 literals,  
 so size =  $27 \cdot 3 = 81$

(b) By distributivity we have

$$\neg x_1 \vee \neg x_2 \vee \neg x_3 \vee \left( \bigwedge_{\substack{i=1,2,3 \\ j=1,2,3 \\ k=1,2,3}} (u_i \vee v_j \vee w_k) \right)$$

$$= \bigwedge_{\substack{i=1,2,3 \\ j=1,2,3 \\ k=1,2,3}} (\neg x_1 \vee \neg x_2 \vee \neg x_3 \vee u_i \vee v_j \vee w_k)$$

with 27 clauses of 6 literals per clause,  
hence size =  $27 \cdot 6 = 162$

(c) We replace each clause

$$\neg x_1 \vee \neg x_2 \vee \neg x_3 \vee u_i \vee v_j \vee w_k$$

$$\text{by } (\neg x_1 \vee \neg x_2 \vee z_{1,ijk}) \wedge$$

$$(\neg z_{1,ijk} \vee \neg x_3 \vee z_{2,ijk}) \wedge$$

$$(\neg z_{2,ijk} \vee u_i \vee z_{3,ijk}) \wedge$$

$$(\neg z_{3,ijk} \vee v_j \vee w_k)$$

therefore getting

$$\bigwedge_{\substack{i=1,2,3 \\ j=1,2,3 \\ k=1,2,3}} \left[ \begin{array}{l} (\neg x_1 \vee \neg x_2 \vee z_{1,ijk}) \wedge \\ (\neg z_{1,ijk} \vee \neg x_3 \vee z_{2,ijk}) \wedge \\ (\neg z_{2,ijk} \vee u_i \vee z_{3,ijk}) \wedge \\ (\neg z_{3,ijk} \vee v_j \vee w_k) \end{array} \right]$$

Which is a 3 CNF with 27·4 clauses,  
hence of size  $3 \cdot 27 \cdot 4 = 81 \cdot 4 = 324$

(d) The values of  $z_{1,ijk}$  and  $z_{2,ijk}$

depend only on  $x_1, x_2, x_3$ , which are independent of  $i, j, k$ ; for example, if

$\neg x_1 = \neg x_2 = \neg x_3 = \text{f}$ , then we must take

$z_{1,ijk} = \text{T}$  and  $z_{2,ijk} = \text{T}$ ; by contrast,

if  $\neg x_1$  or  $\neg x_2 = \text{T}$ , then we can take

$z_{1,ijk} = z_{2,ijk} = z_{3,ijk} = \text{f}$ , or if  $\neg x_3 = \text{T}$

we can take  $z_{1,ijk} = \text{T}$  and  $z_{2,ijk} = z_{3,ijk} = \text{f}$

Hence the above formula can be shortened to

$$(\neg x_1 \vee \neg x_2 \vee z_1) \wedge (\neg z_1 \vee \neg x_3 \vee z_2)$$

$$\wedge \bigwedge_{\substack{i=1,2,3 \\ j=1,2,3 \\ k=1,2,3}} \left[ (\neg z_2 \vee u_i \vee z_{3,ijk}) \wedge (\neg z_{3,ijk} \vee v_j \vee w_k) \right]$$

which is of size  $6 + 27 \cdot 6 = 28 \cdot 6 = 168$ .

Similarly the value of  $z_{3,ijk}$  we need to take depends only on  $\neg z_2$  and  $u_i$ . Hence we can write the above as

$$(\neg x_1 \vee \neg x_2 \vee z_1) \wedge (\neg z_1 \vee \neg x_3 \vee z_2) \wedge$$

$$\bigwedge_{i=1,2,3} \left[ (\neg z_2 \vee u_i \vee z_{3,i}) \wedge \bigwedge_{\substack{j=1,2,3 \\ k=1,2,3}} (\neg z_{3,ijk} \vee v_j \vee w_k) \right]$$

which is of size  $6 + 3(3 + 27) = 96$

(2) (a) By distributing  $\wedge$  and  $\vee$ ,  
this formula becomes a 3CNF  
of size 324

(b) If  $\gamma_{ij} = T$  with  $j=1$ , then a  $L$

at step  $i$  makes  $\gamma_{i+1,j} = \gamma_{i+1,1} = L$ , rather

than  $\gamma_{i+1,j-1} = L$  ( $\gamma_{i+1,j-1} = \gamma_{i+1,0}$  does not

exist). So the  $\gamma_{i+1,j-1}$  is replaced with

$\gamma_{i+1,j}$  (or  $\gamma_{i+1,1}$ ); everything else is the

same. Hence the 3CNF is the same

size.

(c) With 5 transitions we get

$3^5$  clauses, each clause being at OR

of 8 literals (instead of 6). Hence we get an 8CNF with  $3^5 = 243$  clauses. The analogous trick is to write

$$a_1 \vee a_2 \vee \dots \vee a_8 \text{ is true}$$

$\Leftrightarrow$

$$(a_1 \vee a_2 \vee z_1) \wedge (\neg z_1 \vee a_3 \vee z_2) \wedge (\neg z_2 \vee a_4 \vee z_3)$$

$$(\neg z_3 \vee a_5 \vee z_4) \wedge (\neg z_4 \vee a_6 \vee z_5) \wedge (\neg z_5 \vee a_7 \vee a_8)$$

is satisfiable. Hence we can convert

the 8CNF with  $3^5$  clauses into a

3CNF of  $3^5 \cdot 6$  clauses, which is

$$\text{of size } 3^6 \cdot 6 = 729 \cdot 6 = 4374$$

(3) No: the reduction, as described takes time  $2^n$  times some polynomial in  $n$  (to evaluate  $f(a_1, \dots, a_n)$ ). Hence the reduction is not polynomial time.

(4) Say that the reduction from  $A$  to  $B$  computes a function  $f$ .

On input  $w$  to  $A$  of length  $n$ , the reduction  $A \leq_p B$  runs in time  $5n^4$ ; hence  $f(w)$  is of length at most  $5n^4$  hence combining this with the reduction  $B \leq_p C$  runs in time  $3N^8$  where  $N = 5n^4$ , i.e. in time  $3(5n^4)^8 = 3 \cdot 5^8 \cdot n^{32}$ .

(5)(a)

If  $c_i$  contains the  $a$  variable and its negation, for example  $\neg x_3 \vee x_3 \vee x_4$ , then this clause evaluates to  $T$  regardless of the values of  $x_1, \dots, x_n$ . Otherwise

$l_{i1}, l_{i2}, l_{i3}$  involve 1 to 3 literals that are not negations of one another.

So if  $l_{i1}, l_{i2}, l_{i3}$  involve 3 distinct literals, we have  $l_{i1} = x_a$  or  $\neg x_a$ ,  $l_{i2} = x_b$  or  $\neg x_b$ , and  $l_{i3} = x_c$  or  $\neg x_c$ , with  $a, b, c$  distinct.

In this case  $l_{i1} \vee l_{i2} \vee l_{i3}$  equals  $F$  iff  $l_{i1} = l_{i2} = l_{i3} = F$ , which is



possible when  $x_a, x_b, x_c$  are chosen appropriately. In this case the other  $n-3$  variables can be set to either T or F. Hence there are exactly  $2^{n-3} = 2^n/8$  ways to set  $x_1, \dots, x_n$  to T, F to make

$$l_{i1} \vee l_{i2} \vee l_{i3} = F.$$

Similarly there are exactly  $2^{n-2}$  or  $2^{n-1}$  ways when some of  $l_{i1}, l_{i2}, l_{i3}$  are repeated.

(5b) If  $l_{i1} \vee l_{i2} \vee l_{i3}$  evaluates to F, then

$$\bigwedge_{i=1}^m l_{i1} \vee l_{i2} \vee l_{i3} \text{ evaluates to F.}$$

Hence if  $f = f(x_1, \dots, x_n)$  equals a 3CNF formula, then either

① each clause,  $c_i$ , evaluates to T regardless

or  $x_1, \dots, x_n$ , so  $f(x_1, \dots, x_n) = T$   
for all  $x_1, \dots, x_n$ ,

OR

(2) Some  $c_i$  evaluates to F

for  $2^{n-3} = 2^n/8$  possible  
values of  $x_1, \dots, x_n$ . Hence  $c_1, c_2, \dots, c_m$   
evaluates to F on at least  $2^n/8$  of its values.

(5c)  $f(x_1, \dots, x_4) = x_1 \vee x_2 \vee x_3 \vee x_4$   
equals F only when  $(x_1, x_2, x_3, x_4) = (F, F, F, F)$

i.e. on  $\frac{1}{16} 2^n$  values. Since  $f$  is not  
identically T, but  $f$  is F on only  $\frac{1}{16} 2^n$   
of its values,  $f$  cannot be written as a  
3CNF formula.