

## GROUP HOMEWORK 11, CPSC 421/501, FALL 2023

JOEL FRIEDMAN

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Please note:

- (1) You must justify all answers; no credit is given for a correct answer without justification.
- (2) Proofs should be written out formally.
- (3) You do not have to use LaTeX for homework, but **homework that is too difficult to read will not be graded.**
- (4) You may work together on homework in groups of up to four, **but you must submit a single homework as a group submission under Gradescope.**

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For the problems below,  $\wedge, \vee, \neg$  denote the logical operations AND, OR, NOT respectively.

For the problems below, recall that to show that  $L$  is NP-complete one needs to show (i)  $L \in \text{NP}$ , and (ii) any language in NP can be reduced to  $L$  (with a polynomial time reduction); (ii) is equivalent to reducing some known NP-complete language to  $L$  (with a polynomial time reduction).

- (0) Who are your group members? Please print if writing by hand.
- (1) Let PARTITION be the language of descriptions of sequences of positive integers,  $x_1, \dots, x_N$  such that for some  $I \subset \{1, \dots, N\}$  we have

$$\sum_{i \in I} x_i = \sum_{j \notin I} x_j$$

(i.e., the sequence  $x_1, \dots, x_N$  can be partitioned into two subsequences whose sums are equal. Like SUBSET-SUM, we view partition as a language over  $\Sigma = \{0, 1, \dots, 9, \#\}$ .<sup>1</sup>

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Research supported in part by an NSERC grant.

<sup>1</sup>Recall our notation in class: the problem of finding a subset of the sequence (or multiset) 12, 12, 13, 14 that sums to 24 as 12#12#13#14#24, where the # acts as a separator and the last number, 24, is the desired sum of the sequence (or multiset) 12, 12, 13, 14; since  $12 + 12 = 24$ , the string 12#12#13#14#24 lies in SUBSET-SUM.

(a) Show that if  $\langle x_1, \dots, x_N, t \rangle \in \text{SUBSET-SUM}$ ,

$$\langle x_1, \dots, x_N, y - t, t \rangle \in \text{PARTITION}$$

if  $y - t > 0$ .

(b) Show that the map

$$\langle x_1, \dots, x_N, t \rangle \mapsto \langle x_1, \dots, x_N, x_1 + \dots + x_N - t, t \rangle$$

is NOT a reduction of SUBSET-SUM to PARTITION. More specifically, give an example of  $\langle x_1, \dots, x_N, t \rangle$  that is not in SUBSET-SUM, such that  $\langle x_1, \dots, x_N, x_1 + \dots + x_N - t, t \rangle$  is in PARTITION.

(c) Show that given  $\langle x_1, \dots, x_N, t \rangle$ , one can find an integer  $B$  such that (i)  $B$  is of size polynomial in  $\langle x_1, \dots, x_N, t \rangle$ , and (ii)  $\langle x_1, \dots, x_N, t \rangle \in \text{SUBSET-SUM}$  iff  $\langle x_1, \dots, x_N, B + x_1 + \dots + x_N - t, t + B \rangle$  is in PARTITION.

(d) Using the previous part, show that PARTITION is NP-complete.

(2) Using the hints in [Sip], Problem 7.29, page 325, show that 3COLOR (the language of descriptions of graphs that are 3-colourable) is NP-complete.

(3) Given the fact that 3COLOR is NP-COMPLETE, show that 4COLOR, the set of descriptions of graphs that have a 4-colouring, is NP-complete.

(4) Recall that  $\text{Threshold}_{k,n}$  refers to the function  $\{T, F\}^n \rightarrow \{T, F\}$  given by

$$\text{Threshold}_{k,n}(x_1, \dots, x_n) \stackrel{\text{def}}{=} \begin{cases} T & \text{at least } k \text{ of } x_1, \dots, x_n \text{ equal } T, \text{ and} \\ F & \text{otherwise.} \end{cases}$$

For  $n \in \mathbb{N}$ , let  $L_n$  denote the minimum size of a formula for  $\text{Threshold}_{2,n}$ .

(a) Explain why if  $1 \leq m \leq n$ ,  $\text{Threshold}_{2,n}(x_1, \dots, x_n)$  equals

$$\text{Threshold}_{2,m}(x_1, \dots, x_m) \vee \text{Threshold}_{2,n-m}(x_{m+1}, \dots, x_n) \vee \left( (x_1 \vee \dots \vee x_m) \wedge (x_{m+1} \vee \dots \vee x_n) \right)$$

(b) Using part (a), explain why for  $n$  even, we have  $L_n \leq 2L_{n/2} + n$ .

(c) Using part (b), conclude that if  $n = 2^k$  for some  $k \in \mathbb{N}$ , then  $L_n \leq n \log_2 n$ .

(d) Recall that in class, for  $n = 2^k$  we gave a different formula for  $\text{Threshold}_{2,n}$ , using  $k$  clauses  $c_1, \dots, c_k$ , where each  $c_i$  divides the variables  $x_1, \dots, x_n$  into two groups based on the  $i$ -th bit in the binary (base 2) expansions of  $1, \dots, n$ . How is this related to the formula for  $\text{Threshold}_{2,n}$  given in parts (a,b,c)?

DEPARTMENT OF COMPUTER SCIENCE, UNIVERSITY OF BRITISH COLUMBIA, VANCOUVER, BC V6T 1Z4, CANADA.

*E-mail address:* [jf@cs.ubc.ca](mailto:jf@cs.ubc.ca)

*URL:* <http://www.cs.ubc.ca/~jf>