

CPSC 422/501, Oct 1, 2020

## Section 1.2 [Sip] NFA's:

- NFA example (with  $\delta(q, a) = \emptyset$ )
- Thm:  $L$  recognized by an NFA  $\Rightarrow$   
" " " " " some DFA.
- Corollary: Regular languages closed under  
 $\cap, \cup, \text{complement}, \emptyset, *$

## Section 1.3 [Sip] Regular Expressions:

- Def of Regular Expression: any  $\cup, \emptyset, *$   
of  $\{\text{single letter}\}, \{\epsilon\}, \emptyset$
- Thm:  $L$  described by a regular expression  
 $\Leftrightarrow L$  is regular.

[ Some searching for reg. exp. in strings  
is to actually build NFA/DFA. ]

## Breakout Room Questions:

(1) How many states needed to <sup>seen before</sup> recognize  $\{a^5, a^7\}^*$  by a DFA

(2) How many states needed to <sup>seen before</sup> recognize  $\{a^5, a^7\}^*$  by an NFA

(3) If an NFA has 1000 states, its corresponding DFA may have roughly  $2^{1000}$  states. Is there a relatively quick way to see if the NFA accepts a given string?

④ Give an NFA that

recognizes

$$L = \left\{ w \in \{0,1\}^* \mid \begin{array}{l} \text{the 3rd to last symbol} \\ \text{of } w \text{ is } 1 \end{array} \right\}$$
$$= \{0,1\}^* \circ \{1\} \circ \{0,1\}^2$$

fundamental!

$$= \left\{ \sigma_1 \dots \sigma_k \mid \begin{array}{l} k \geq 3, \sigma_1, \dots, \sigma_k \in \{0,1\} \\ \text{with } \sigma_{k-2} = 1 \end{array} \right\}$$

⑤ Give a DFA that recognizes

L in question ④

Remark: Same bonus question for HW #2 can be solved and submitted for HW #3, but only counted once.

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Bonus question: Last years I gave bonus questions for zero credit. These are beyond material.

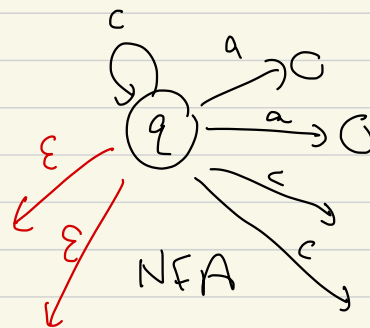
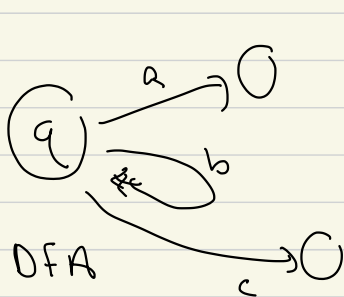
And # submissions was 0.

This year, bonus questions addition 10%,

so this can add between 0 and 1% to your total grade.

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NFA is like a DFA but (say  $\Sigma = \{a, b, c\}$ )



could have  
no b arrows

"jump" "reading the empty string,  $\epsilon$ "

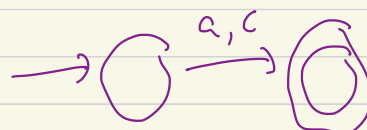
NFA, N = non-deterministic: A string is accepted

by an NFA if there is at least one way to

go thru NFA and get to an accepting state.

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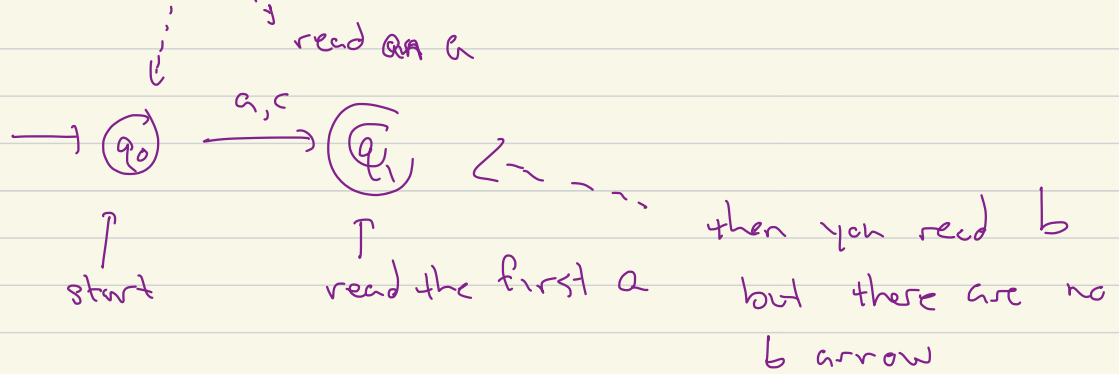
e.g.  $\Sigma = \{a, b, c\}$



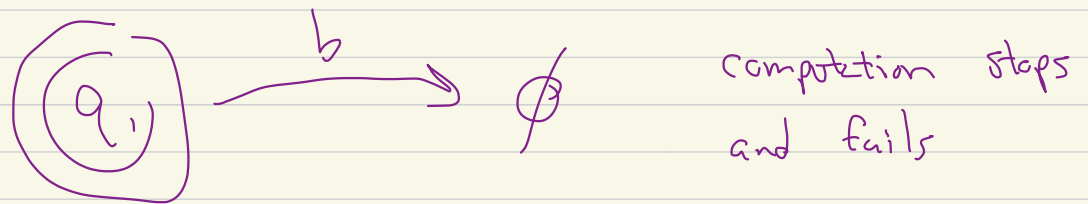
recognizes

$L = \{a, c\}$

e.g.  $w = abba$  as input



each possible path, you can have



Why NFA's?

$$L_1 = \{a^3\}^* = \{aaa\}^* \leftarrow \text{star operation over a language}$$

$$\left( \begin{array}{l} \text{rem: } \Sigma^* = \text{words over } \Sigma \\ = \Sigma \text{ with star operation} \end{array} \right) = \{a^k \mid 3 \text{ divides } k\}$$

$$L_2 = \{a^5\}^* = \{a^l \mid 5 \text{ divides } l\}$$

$$L_1 \circ L_2 = \left\{ a^{k+l} \mid \begin{array}{l} 3 \text{ divides } k \\ 5 \text{ divides } l \end{array} \right\}, \Sigma = \{a\}$$

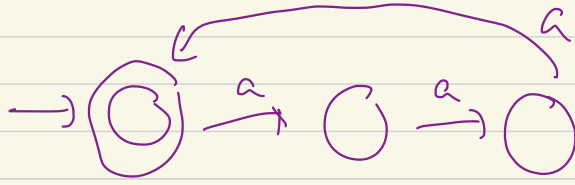
$$aaaa \sim a = a^{13} = \{a^3\}^* \circ \{a^5\}^*$$

↑ ↑ ↑  
start to read some a's

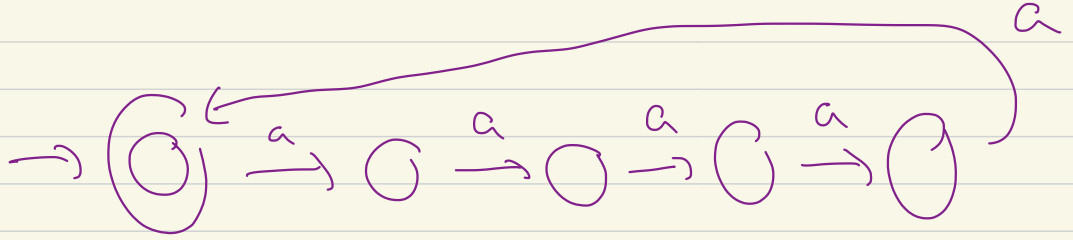
when do you go from  $L_1$  to  $L_2$

NFA

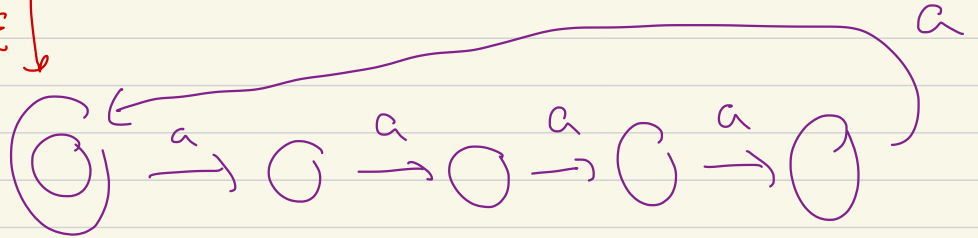
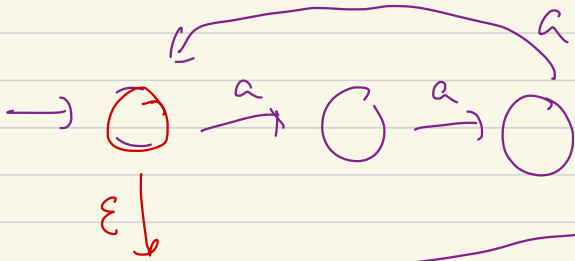
DFA  
for  $L_1$



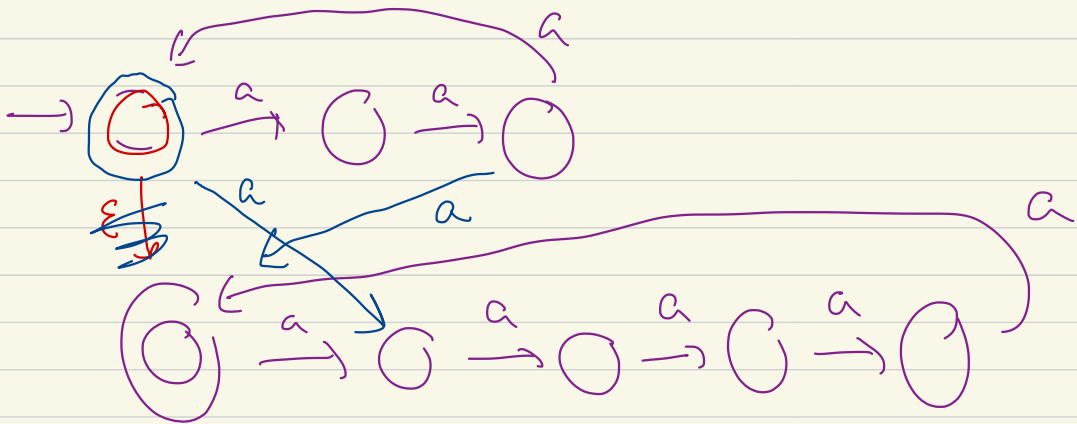
DFA  
for  $L_2$



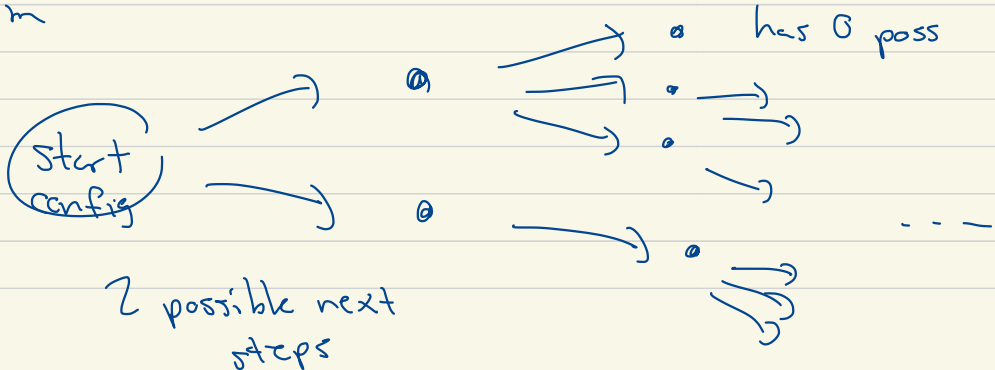
NFA for  
 $L_1 \circ L_2$



Without  
 $\epsilon$  jump

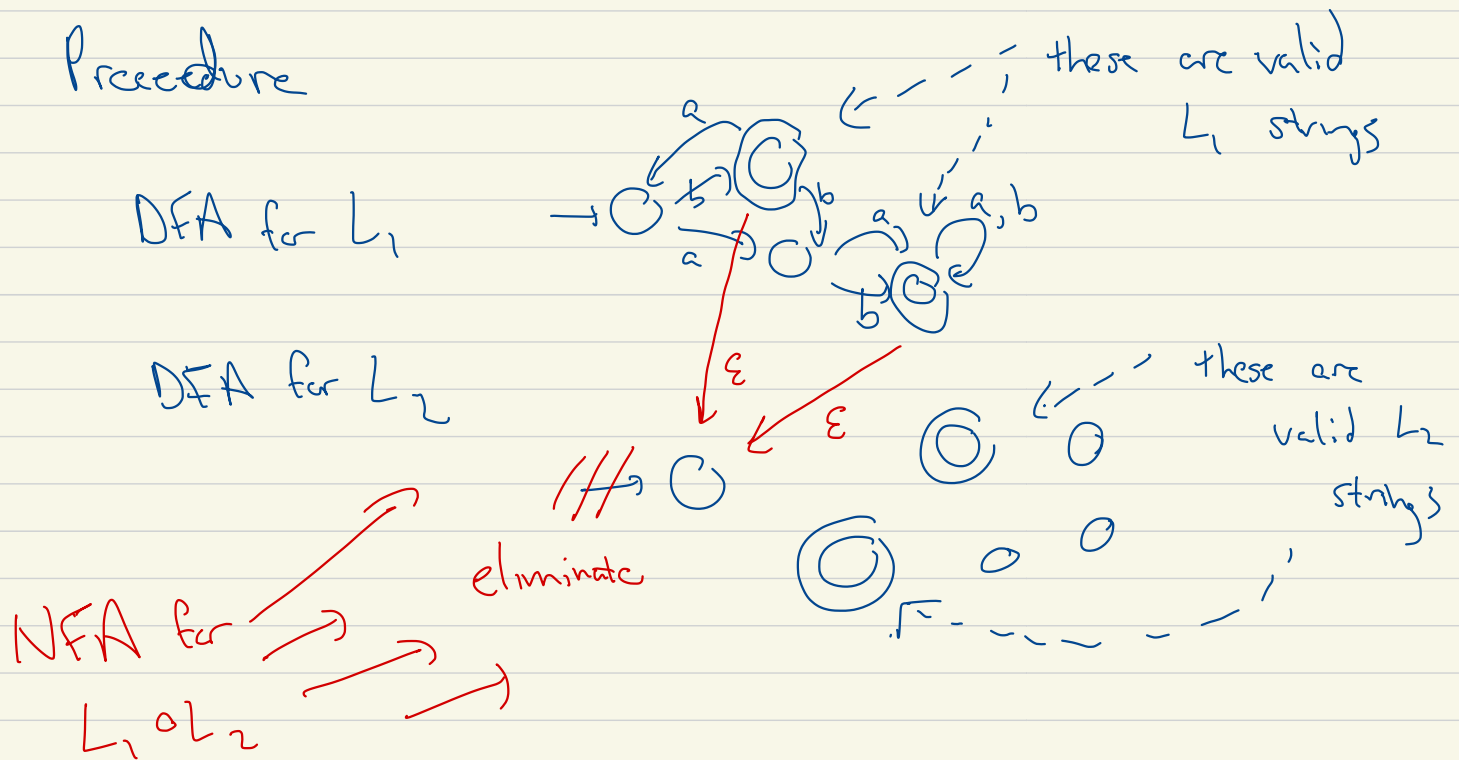


non-determinism



Thm: If  $L_1, L_2$  are regular, i.e. there are DFA's recognizing  $L_1, L_2$ , then  $L_1 \circ L_2$  is regular.

Procedure



Procedure : (1) jump from  $\odot$  in first machine to  $\rightarrow \odot$  in second  
 (2) eliminate  $\rightarrow \odot$  in second

Now DFA for  $L_1$ , DFA for  $L_2 \rightsquigarrow$  NFA for  $L_1 \circ L_2$ .

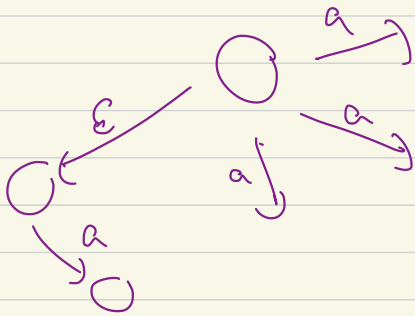
Observe! A DFA is an NFA.

And for any NFA, there is an equivalent DFA,

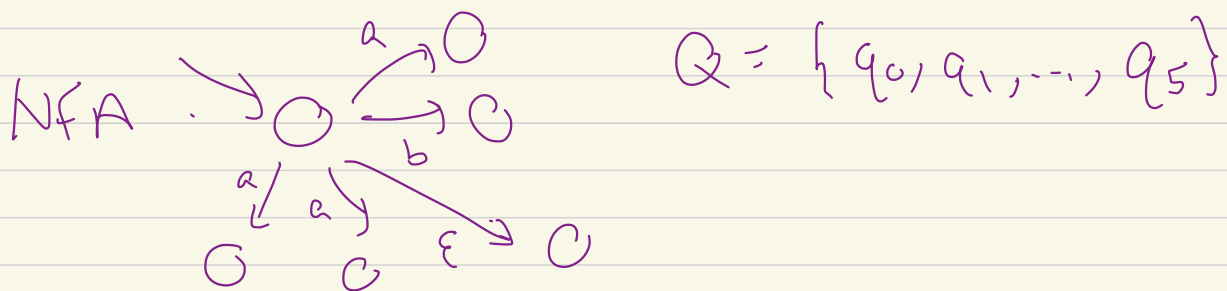
i.e. if an NFA recognizes a language,  $L$ , then there is a DFA recognizing  $L$ .

Rem:  $P$  = polytime algorithms,  
 $NP$  = non-deterministic polytime algorithms  
 $P \stackrel{?}{=} NP$   
 $\$10^6$

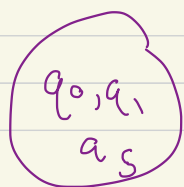
NFA allows a "look ahead" idea



How to convert an NFA to an equivalent DFA:



Idea: State set of DFA = Power( $Q$ )

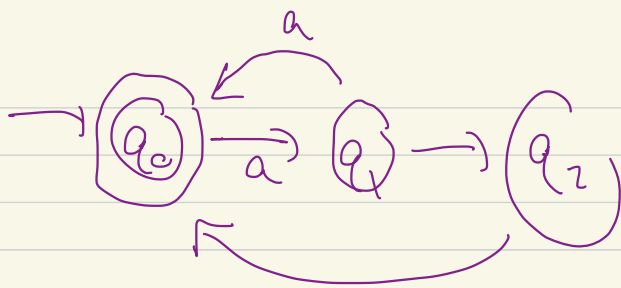


meaning  $\leftrightarrow$

When considering the NFA,  $\{q_0, q_1, q_5\}$  are all possible states



e.g.



NFA for

$\{a^2, a^3\}^*$

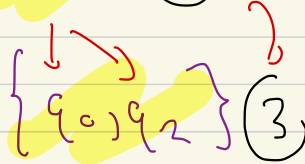
Idea: Initially



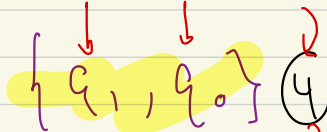
Reading a:



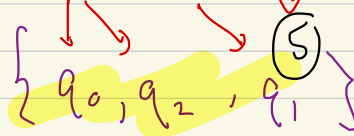
Reading next a:



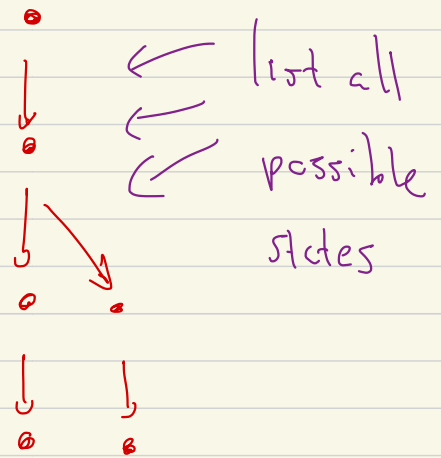
" " a:



" " ":



non-deterministic comp tree



Problem: If NFA has  $m$  states =  $|Q|$   $Q$  for NFA  
 DFA has  $2^m$  states =  $|\text{Power}(Q)|$

Remark: States of DFA

once we're at  $\{q_0, q_2, q_1\} = Q$

each "a" takes  $Q \rightarrow Q$

Really have only 5 reachable states

Breakout rooms: I suggest

(3), then (1) or (4)  
(2) (5)

and make sure that you're  
comfortable with NFA's, DFA's

and taking ~~NFA~~  $\rightarrow$  DFA

[10:27  $\rightarrow$  10:37]

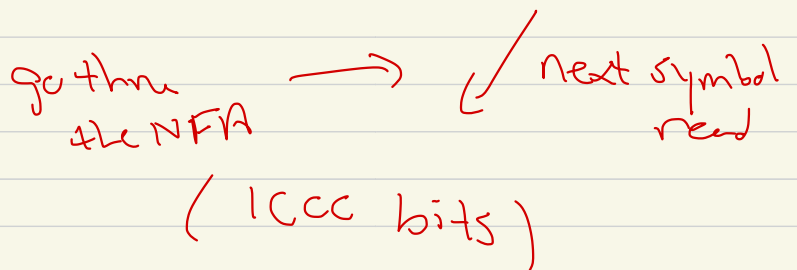
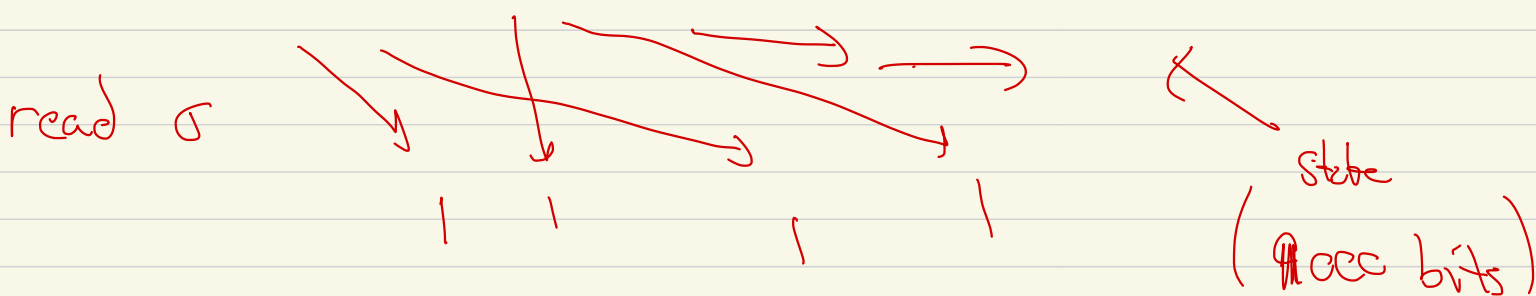
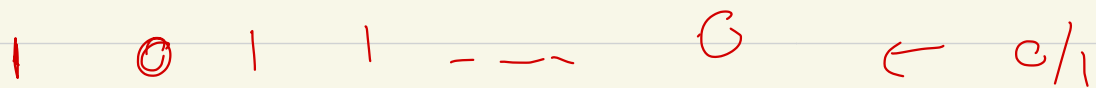
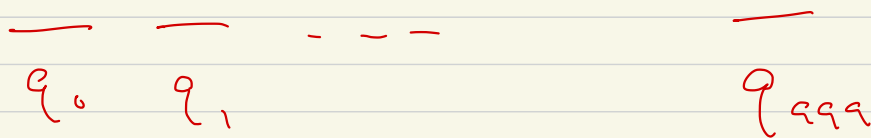
Question (3) (Is this in [Sip] textbook?)

NFA has states  $q_0, q_1, \dots, q_{999}$ ;

Can we "implement" the corresponding DFA with a practical algorithm?

DFA states are subsets of  $Q$ :

can be there, or can't



Solution: If NFA has  $m$  states

DFA state  $\leftrightarrow$   $m$ -bits  $\begin{Bmatrix} c \\ 1 \end{Bmatrix} \leftrightarrow \begin{Bmatrix} \text{can't} \\ \text{can} \end{Bmatrix}$

There is an algorithm for each symbol of input take polytime in # NFA states,  $m$ .

(Don't generate a table of  $2^m$  states + transitions)

Breakout room (1) & (2)  $\{a^5, a^7\}^*$

=  $\{ \dots \}$ ? not easy --

Breakout room (4) & (5) we will

discuss these when we cover

non-regular languages & min

# states of DFA for a given language.

NFA's + DFA's !

If  $L_1, L_2$  regular  $\Rightarrow$

$L_1 \circ L_2, L_1^*, L_1 \cup L_2$  is regular

$\Rightarrow$  any "regular expression"  $\leftarrow$  §1.3

e.g.  $\{a, c\}^* b b \{a c, b c\}$  [SIP]

represents a language recognizable  
by an NFA