

HOMEWORK 9, CPSC 421/501, FALL 2019

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Please note:

- (1) You must justify all answers; no credit is given for a correct answer without justification.
- (2) Proofs should be written out formally.
- (3) Homework that is difficult to read may not be graded.
- (4) You may work together on homework, **but you must write up your own solutions individually**. You must acknowledge with whom you worked. You must also acknowledge any sources you have used beyond the textbook and two articles on the class website.

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- (1) Consider the algorithm that takes as input, $\langle f \rangle$, a description of a Boolean function, $f = f(x_1, \dots, x_n)$, ignores this input, and as output writes down a description of the graph, G , with two vertices and one edge; note that G is 2-colourable. If $f \in \text{SAT}$, then the resulting output, $\langle G \rangle$, lies in 2COLOR. Does this show that $\text{SAT} \leq_P \text{2COLOR}$?
 - (2) (a) Give a reduction from 3SAT to 3COLOR, using the subgraphs in the hint for Problem 7.29 in [Sip].
(b) Show that 3COLOR is NP-complete.

[Hint: To show that a language, L , is NP-complete, you must show that (1) L lies in NP, and (2) any language in NP can be reduced in polynomial time to L . In class we have already described why 3COLOR is in NP, but you should state this and justify this in a sentence or two. You should also, in a sentence or two, explain why $3\text{SAT} \leq_P 3\text{COLOR}$ implies that any language in NP can be reduced to 3COLOR.]

- (3) Exercise 7.22 in [Sip] (show that DOUBLE-SAT, defined there, is NP-complete); do this by reducing SAT (or 3SAT) to DOUBLE-SAT.

[Hint: The reduction is easy, much easier than to SUBSET-SUM, 3COLOR, or VERTEX-EXPANSION. Don't forget to: (1) show that DOUBLE-SAT lies in NP, and (2) explain why any language in NP can be reduced to DOUBLE-SAT once you have reduced SAT (or 3SAT) to DOUBLE-SAT.]

- (4) In class we described a way to reduce 3SAT to VERTEX-EXPANSION, where the latter is given by

$$\{(G, a, b) \mid G = (V, E) \text{ is a graph such for some } A \subset V, |A| = a \text{ and } |\Gamma(A)| \geq b\}.$$

We did this by taking a 3CNF Boolean formula, $f = f(x_1, \dots, x_n)$, with n variables and m clauses, and building a graph $G = (V, E)$ by

- (a) introducing, for each Boolean variable, x_i ,
 - (i) two “true/false” vertices, representing setting the variable x_i to either true or false, and
 - (ii) B “dummy vertices” connected to the two true/false vertices for some integer B that we will specify below (we think of B as being “very large,” but hopefully no larger than some polynomial in $n + m$), and
- (b) one additional “clause enforcing” vertex for each clause, connected to the three vertices representing the true/false variable settings in the clause.

(see notes for 11-13).

Based on this construction taking f to a graph $G = (V, E)$, answer the following questions:

- (a) What is $|V|$ as a function of n, m, B ?
- (b) Show that if $A \subset V$ is any subset that for some $i = 1, \dots, n$ does not contain either “true/false” vertex associated to x_i , then

$$|\Gamma(A)| \leq |V| - B$$

(whether or not f is satisfiable).

- (c) Show that if $A \subset V$ is any subset that for each $i = 1, \dots, n$ contains at least “true/false” vertex associated to x_i , then

$$|\Gamma(A)| \geq nB$$

(whether or not f is satisfiable).

- (d) Use the above three parts to show that if $B > 2n + m$, then the largest possible value of $|\Gamma(A)|$ subject to $A \subset V$ with $|A| = n$ is attained for some A that has exactly one true/false vertex associated to each variable x_1, \dots, x_n (whether or not f is satisfiable).
- (e) Conclude that for $B = 2n + m + 1$, f is satisfiable iff for some $A \subset V$ with $|A| = n$ we have

$$|\Gamma(A)| = nB + m.$$

- (f) Use this last statement to explain how this gives a polynomial time reduction from 3SAT to VERTEX-EXPANSION; make sure to include a sentence or two explaining why this reduction is polynomial time.

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