# Inference in First-Order Logic

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# Outline

- Reducing first-order inference to propositional inference
- Unification
- Generalized Modus Ponens
- Forward chaining
- Backward chaining
- Resolution

## Universal instantiation (UI)

- Notation: Subst( $\{v/g\}, \alpha$ ) means the result of substituting g for v in sentence  $\alpha$
- Every instantiation of a universally quantified sentence is entailed by it:

 $\frac{\forall v \alpha}{\text{Subst}(\{v/g\}, \alpha)}$ 

for any variable v and ground term g

• E.g.,  $\forall x \operatorname{King}(x) \land \operatorname{Greedy}(x) \Rightarrow \operatorname{Evil}(x)$  yields

 $King(John) \land Greedy(John) \Rightarrow Evil(John), \{x/John\}$ 

 $King(Richard) \land Greedy(Richard) \Rightarrow Evil(Richard), \{x/Richard\}$ 

 $King(Father(John)) \land Greedy(Father(John)) \Rightarrow Evil(Father(John)), {x/Father(John)}$ 

# Existential instantiation (EI)

• For any sentence  $\alpha$ , variable v, and constant symbol k (that does not appear elsewhere in the knowledge base):

 $\frac{\exists v \ \alpha}{\text{Subst}(\{v/k\}, \alpha)}$ 

• E.g.,  $\exists x \ Crown(x) \land OnHead(x, John)$  yields:  $Crown(C_1) \land OnHead(C_1, John)$ 

where  $C_1$  is a new constant symbol, called a Skolem constant

• Existential and universal instantiation allows to "propositionalize" any FOL sentence or KB

- EI produces one instantiation per EQ sentence
- UI produces a whole set of instantiated sentences per UQ sentence

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## Reduction to propositional form

#### Suppose the KB contains the following:

```
\forall x \operatorname{King}(x) \land \operatorname{Greedy}(x) \Longrightarrow \operatorname{Evil}(x)
Father(x)
King(John)
Greedy(John)
Brother(Richard,John)
```

- Instantiating the universal sentence in all possible ways, we have: King(John) ∧ Greedy(John) ⇒ Evil(John) King(Richard) ∧ Greedy(Richard) ⇒ Evil(Richard) King(John) Greedy(John) Brother(Richard,John)
- The new KB is propositionalized: propositional symbols are
  - King(John), Greedy(John), Evil(John), King(Richard), etc

## **Reduction continued**

• Every FOL KB can be propositionalized so as to preserve entailment

• A ground sentence is entailed by new KB iff entailed by original KB

#### • Idea for doing inference in FOL:

- propositionalize KB and query
- apply resolution-based inference
- return result

• Problem: with function symbols, there are infinitely many ground terms,

• e.g., *Father*(*Father*(*John*))), etc

## **Reduction continued**

Theorem: Herbrand (1930). If a sentence  $\alpha$  is entailed by a FOL KB, it is entailed by a finite subset of the propositionalized KB

#### Idea: For n = 0 to $\infty$ do

create a propositional KB by instantiating with depth- $n\$  terms see if  $\alpha$  is entailed by this KB

#### Example

 $\forall x \operatorname{King}(x) \land \operatorname{Greedy}(x) \Longrightarrow \operatorname{Evil}(x)$ Father(x) King(John) Greedy(Richard) Brother(Richard,John)

Query Evil(X)?

#### • Depth 0

Father(John) Father(Richard) King(John) Greedy(Richard) Brother(Richard , John) King(John)  $\land$  Greedy(John)  $\Rightarrow$  Evil(John) King(Richard)  $\land$  Greedy(Richard)  $\Rightarrow$  Evil(Richard) King(Father(John))  $\land$  Greedy(Father(John))  $\Rightarrow$  Evil(Father(John)) King(Father(Richard))  $\land$  Greedy(Father(Richard))  $\Rightarrow$  Evil(Father(Richard))

#### • Depth 1

Depth 0 + Father(Father(John)) Father(Father(John)) King(Father(Father(John))) ∧ Greedy(Father(Father(John))) ⇒ Evil(Father(Father(John)))

## **Problems with Propositionalization**

• Problem: works if  $\alpha$  is entailed, loops if  $\alpha$  is not entailed

- Propositionalization generates lots of irrelevant sentences
   o So inference may be very inefficient
- e.g., from:

```
 \forall x \text{ King}(x) \land \text{Greedy}(x) \Rightarrow \text{Evil}(x) 
 \text{King}(\text{John}) 
 \forall y \text{Greedy}(y) 
 \text{Brother}(\text{Richard},\text{John})
```

- It seems obvious that *Evil(John)* is entailed, but propositionalization produces lots of facts such as *Greedy(Richard)* that are irrelevant
- With *p k*-ary predicates and *n* constants, there are  $p \cdot n^k$  instantiations
- Lets see if we can do inference directly with FOL sentences

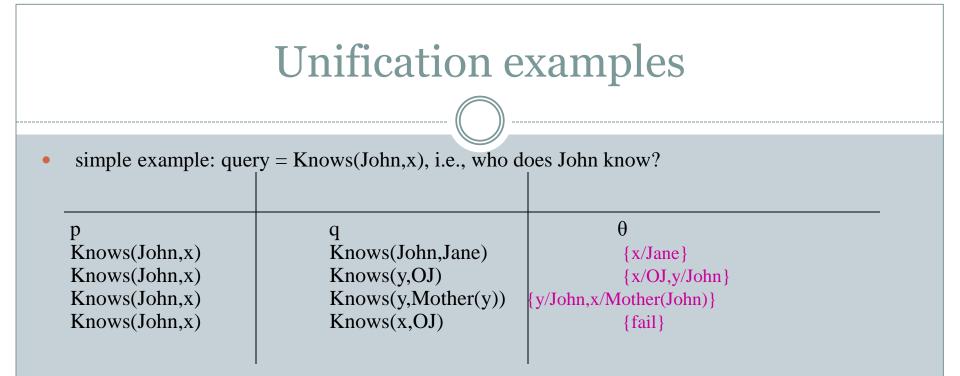
## Unification

• Recall: Subst( $\theta$ , p) = result of substituting  $\theta$  into sentence p

Unify algorithm: takes 2 sentences p and q and returns a unifier if one exists
 Unify(p,q) = θ where Subst(θ, p) = Subst(θ, q)

Example:
 p = Knows(John,x)
 q = Knows(John, Jane)

Unify $(p,q) = \{x/Jane\}$ 



- Last unification fails: only because x can't take values John and OJ at the same time
- Problem is due to use of same variable x in both sentences
- Simple solution: Standardizing apart eliminates overlap of variables, e.g., Knows(z,OJ)
- •

## Unification

• To unify *Knows*(*John*,*x*) and *Knows*(*y*,*z*),

```
\theta = \{y/John, x/z \} or \theta = \{y/John, x/John, z/John\}
```

- The first unifier is more general than the second.
- There is a single most general unifier (MGU) that is unique up to renaming of variables.

```
MGU = \{ y/John, x/z \}
```

• General algorithm in Figure 9.1 in the text

#### Recall our example...

 $\forall x \text{ King}(x) \land \text{Greedy}(x) \Longrightarrow \text{Evil}(x)$ King(John)  $\forall y \text{ Greedy}(y)$ Brother(Richard,John)

And we would like to infer Evil(John) without propositionalization

#### Generalized Modus Ponens (GMP)

 $p_1', p_2', \dots, p_n', (p_1 \land p_2 \land \dots \land p_n \Longrightarrow q)$ 

 $Subst(\theta,q)$ 

where we can unify  $p_i$  and  $p_i$  for all i

Example:

King(John), Greedy(John)

$$\forall x \operatorname{King}(x) \land \operatorname{Greedy}(x) \Longrightarrow \operatorname{Evil}(x)$$

#### Evil(John)

 $p_1'$  is King(John) $p_1$  is King(x) $p_2'$  is Greedy(John) $p_2$  is Greedy(x) $\theta$  is  $\{x/John\}$ q is Evil(x)Subst( $\theta,q$ ) is Evil(John)

### **Completeness and Soundness of GMP**

#### • GMP is sound

Only derives sentences that are logically entailedSee proof on p276 in text

# GMP is complete for a KB consisting of Horn clauses Complete: derives all sentences that entailed

#### Horn Clauses

- Resolution in general can be exponential in space and time.
- If we can reduce all clauses to "Horn clauses" resolution is linear in space and time

A clause with at most 1 positive literal.

e.g.  $A \lor \neg B \lor \neg C$ 

• Every Horn clause can be rewritten as an implication with a conjunction of positive literals in the premises and a single positive literal as a conclusion.

e.g.  $\boldsymbol{B} \wedge \boldsymbol{C} \Rightarrow \boldsymbol{A}$ 

- 1 positive literal: definite clause
- O positive literals: Fact or integrity constraint: e.g.  $(\neg A \lor \neg B) \equiv (A \land B \Rightarrow False)$

#### Soundness of GMP

• Need to show that

$$p_1', \ldots, p_n', (p_1 \wedge \ldots \wedge p_n \Rightarrow q) \models q\theta$$

provided that  $p_i'\theta = p_i\theta$  for all *I* 

• Lemma: For any sentence p, we have  $p \models p\theta$  by UI

1. 
$$(p_1 \land \ldots \land p_n \Rightarrow q) \models (p_1 \land \ldots \land p_n \Rightarrow q)\theta = (p_1 \theta \land \ldots \land p_n \theta \Rightarrow q\theta)$$

2. 
$$p_1', \forall; \dots, \forall; p_n' \models p_1' \land \dots \land p_n' \models p_1' \theta \land \dots \land p_n' \theta$$

- 3. From 1 and 2,  $q\theta$  follows by ordinary Modus Ponens
- 4.

## Storage and retrieval

• Storage(s): stores a sentence s into the knowledge base

- Fetch(q): returns all unifiers such that the query q unifies with some sentence.
- Simple naïve method. Keep all facts in knowledge base in one long list and then call unify(q,s) for all sentences to do fetch.
  Inefficient but works
- Unification is only attempted on sentence with chance of unification. (knows(john, x), brother(richard,john))
  - Predicate indexing
  - If many instances of the same predicate exist (tax authorities employer(x,y))
    - × Also index arguments
    - Keep latice p280

# Inference appoaches in FOL

#### Forward-chaining

- Uses GMP to add new atomic sentences
- Useful for systems that make inferences as information streams in
- Requires KB to be in form of first-order definite clauses

#### Backward-chaining

- Works backwards from a query to try to construct a proof
- Can suffer from repeated states and incompleteness
- Useful for query-driven inference

#### • Resolution-based inference (FOL)

- Refutation-complete for general KB
  - Can be used to confirm or refute a sentence p (but not to generate all entailed sentences)
- Requires FOL KB to be reduced to CNF
- Uses generalized version of propositional inference rule
- Note that all of these methods are generalizations of their propositional equivalents

#### Knowledge Base in FOL

• The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

### Knowledge Base in FOL

• The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

... it is a crime for an American to sell weapons to hostile nations:  $American(x) \land Weapon(y) \land Sells(x,y,z) \land Hostile(z) \Rightarrow Criminal(x)$ 

Nono ... has some missiles, i.e.,  $\exists x \text{ Owns}(\text{Nono},x) \land \text{Missile}(x)$ :  $Owns(Nono,M_1)$  and  $Missile(M_1)$ 

... all of its missiles were sold to it by Colonel West  $Missile(x) \land Owns(Nono,x) \Rightarrow Sells(West,x,Nono)$ 

Missiles are weapons:

 $Missile(x) \Rightarrow Weapon(x)$ 

An enemy of America counts as "hostile":  $Enemy(x, America) \Rightarrow Hostile(x)$ 

West, who is American ... American(West)

The country Nono, an enemy of America ... *Enemy(Nono,America)* 

## Forward chaining algorithm

 Definite clauses → disjunctions of literals of which exactly one is positive.

function FOL-FC-ASK(KB,  $\alpha$ ) returns a substitution or false

```
repeat until new is empty

new \leftarrow \{\}

for each sentence r in KB do

(p_1 \land \ldots \land p_n \Rightarrow q) \leftarrow \text{STANDARDIZE-APART}(r)

for each \theta such that (p_1 \land \ldots \land p_n)\theta = (p'_1 \land \ldots \land p'_n)\theta

for some p'_1, \ldots, p'_n in KB

q' \leftarrow \text{SUBST}(\theta, q)

if q' is not a renaming of a sentence already in KB or new then do

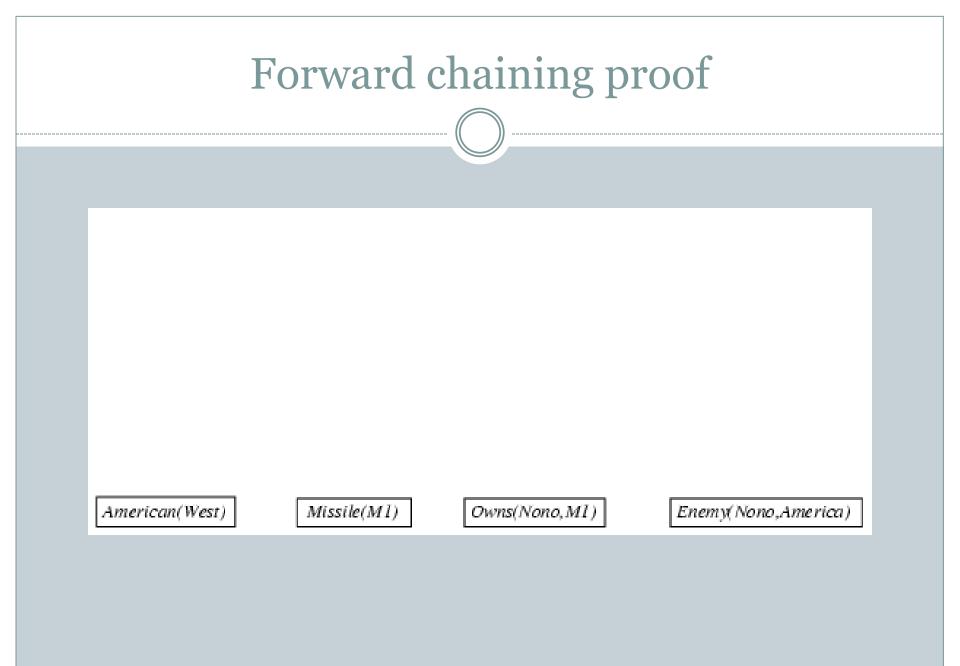
add q' to new

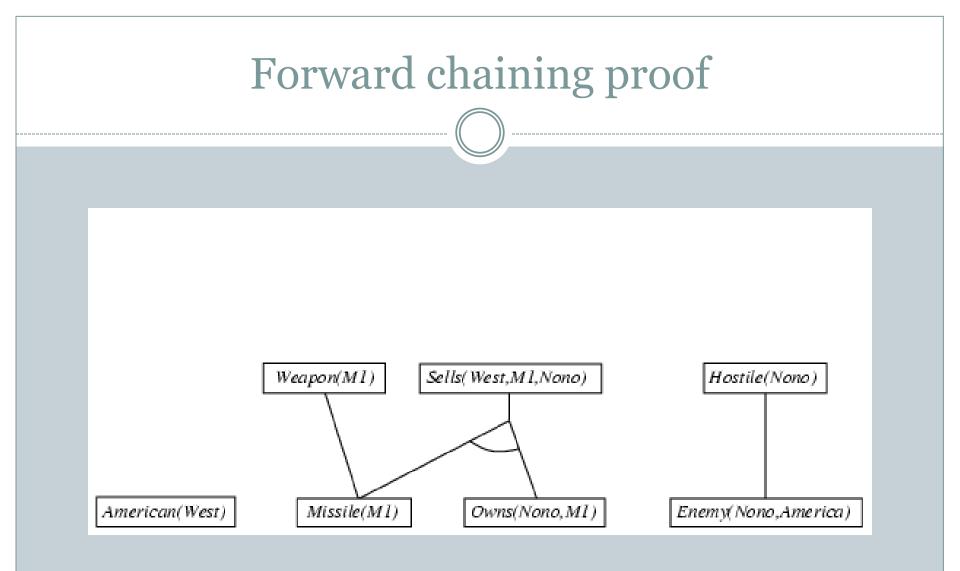
\phi \leftarrow \text{UNIFY}(q', \alpha)

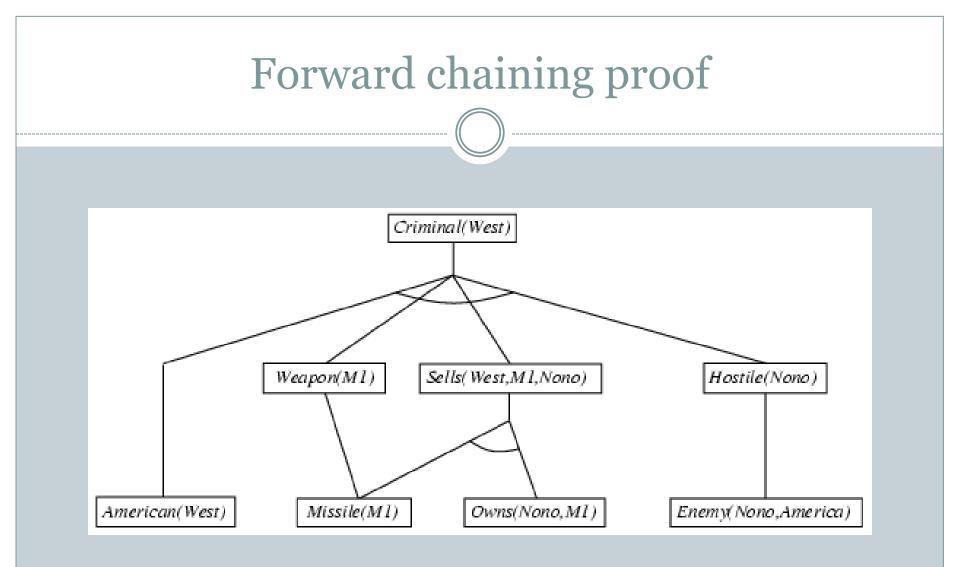
if \phi is not fail then return \phi

add new to KB

return false
```







## Properties of forward chaining

- Sound and complete for first-order definite clauses
- •
- Datalog = first-order definite clauses + no functions
- FC terminates for Datalog in finite number of iterations
- May not terminate in general if  $\alpha$  is not entailed

## Efficiency of forward chaining

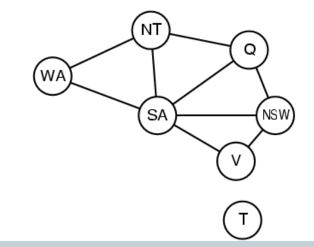
Incremental forward chaining: no need to match a rule on iteration k if a premise wasn't added on iteration k-1 $\Rightarrow$  match each rule whose premise contains a newly added positive literal

Matching itself can be expensive: Database indexing allows O(1) retrieval of known facts

e.g., query *Missile(x)* retrieves *Missile(M<sub>1</sub>)*o

Forward chaining is widely used in deductive databases

# Hard matching example



 $Diff(wa,nt) \land Diff(wa,sa) \land Diff(nt,q) \land Diff(nt,sa) \land Diff(q,nsw) \land Diff(q,sa) \land Diff(nsw,v) \land Diff(nsw,sa) \land Diff(v,sa) \Rightarrow Colorable()$ 

Diff(Red,Blue)Diff(Red,Green)Diff(Green,Red)Diff(Green,Blue)Diff(Blue,Red)Diff(Blue,Green)

- *Colorable()* is inferred iff the CSP has a solution
- CSPs include 3SAT as a special case, hence matching is NP-hard

# Backward chaining algorithm

function FOL-BC-ASK(*KB*, goals,  $\theta$ ) returns a set of substitutions

inputs: KB, a knowledge base

goals, a list of conjuncts forming a query

 $\theta$ , the current substitution, initially the empty substitution  $\{\}$  local variables: *ans*, a set of substitutions, initially empty

```
if goals is empty then return \{\theta\}

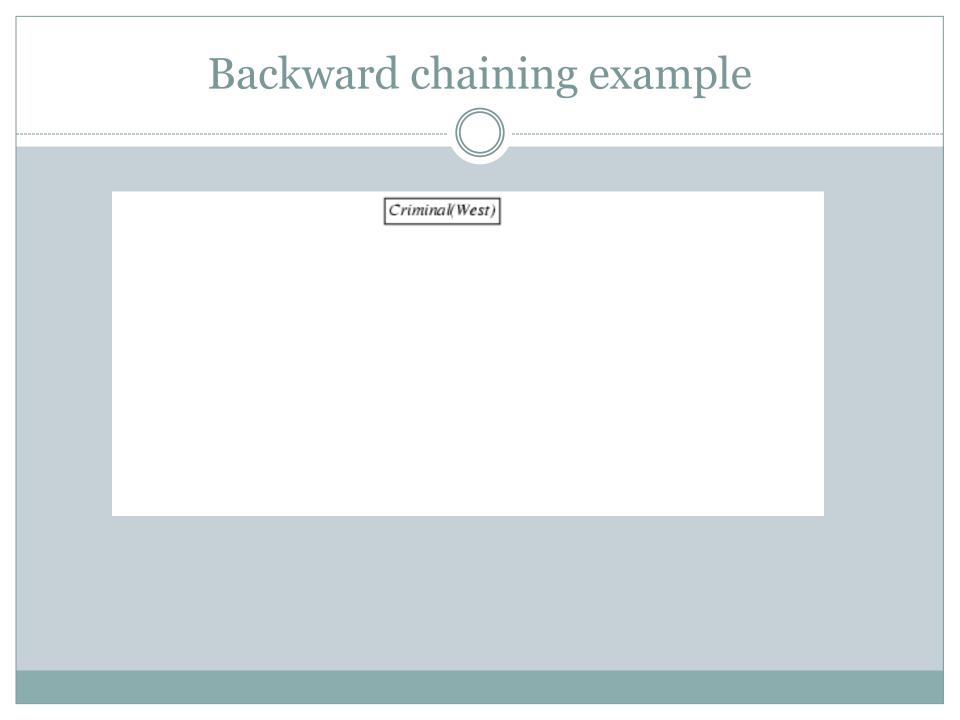
q' \leftarrow \text{SUBST}(\theta, \text{FIRST}(goals))

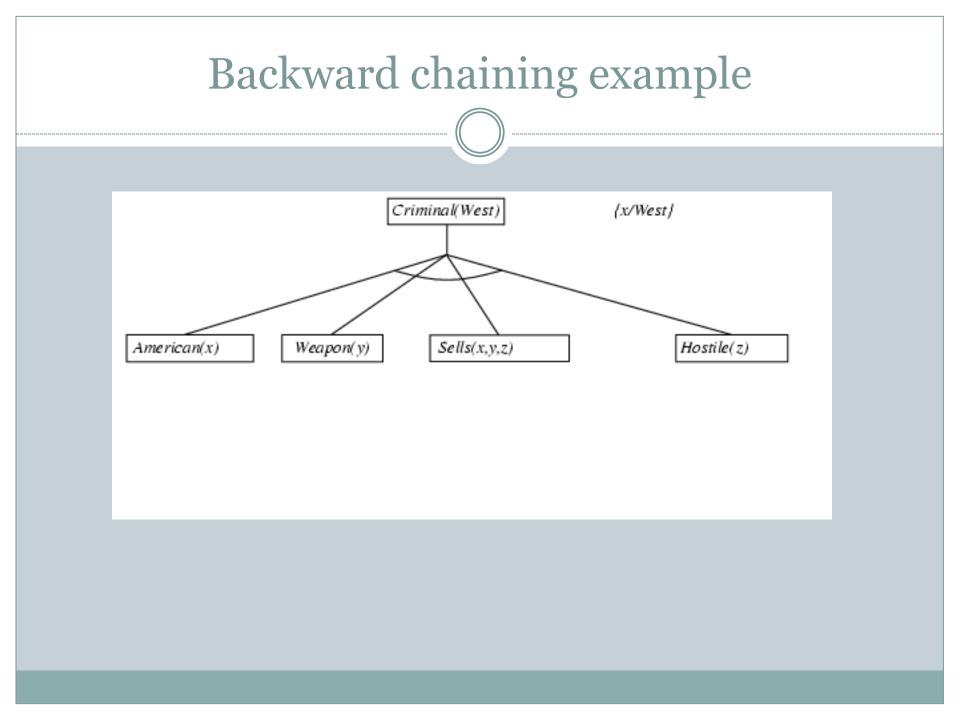
for each r in KB where \text{STANDARDIZE-APART}(r) = (p_1 \land \ldots \land p_n \Rightarrow q)

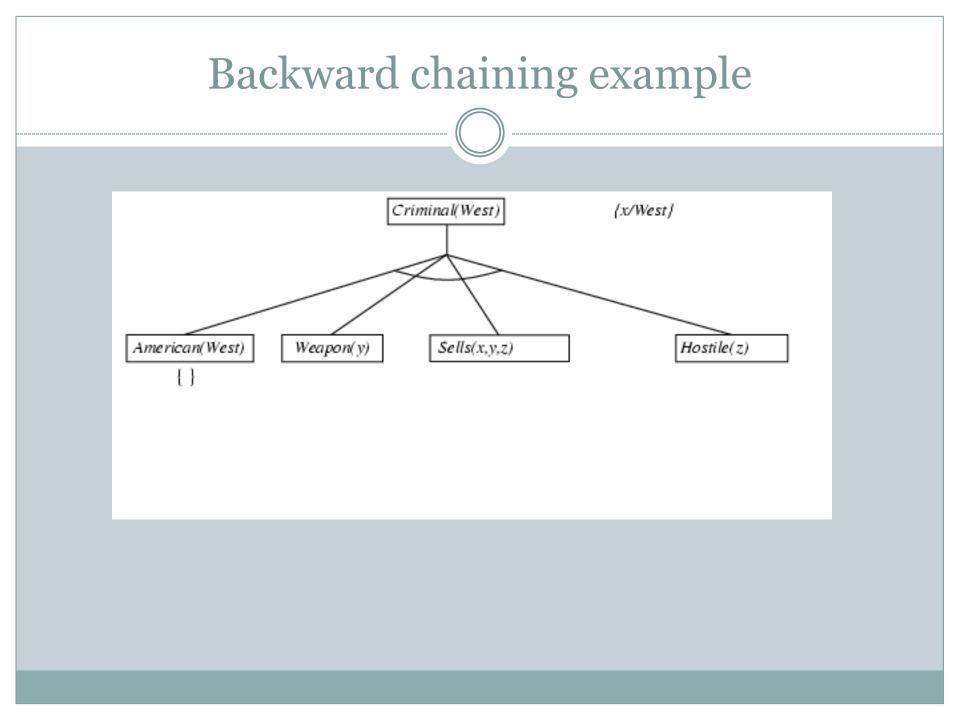
and \theta' \leftarrow \text{UNIFY}(q, q') succeeds

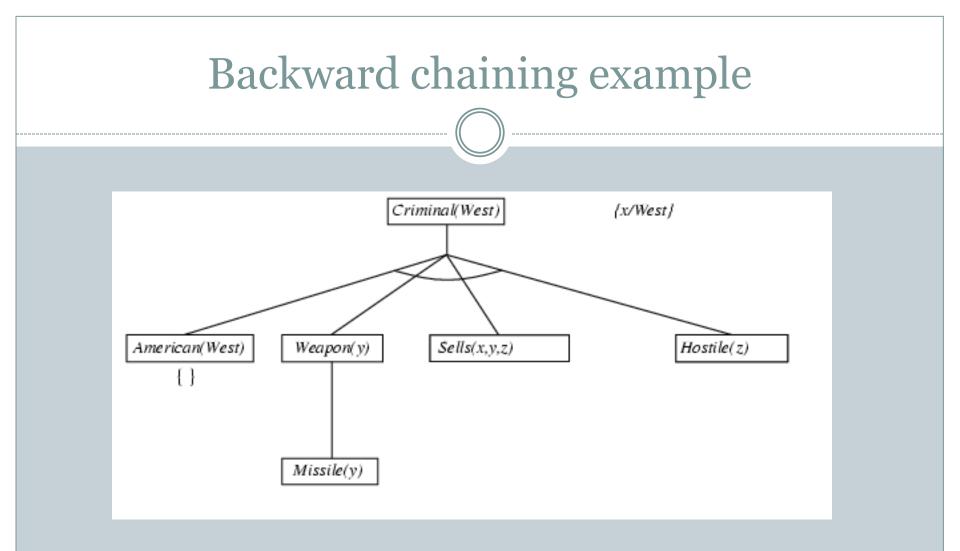
ans \leftarrow \text{FOL-BC-ASK}(KB, [p_1, \ldots, p_n | \text{REST}(goals)], \text{COMPOSE}(\theta, \theta')) \cup ans

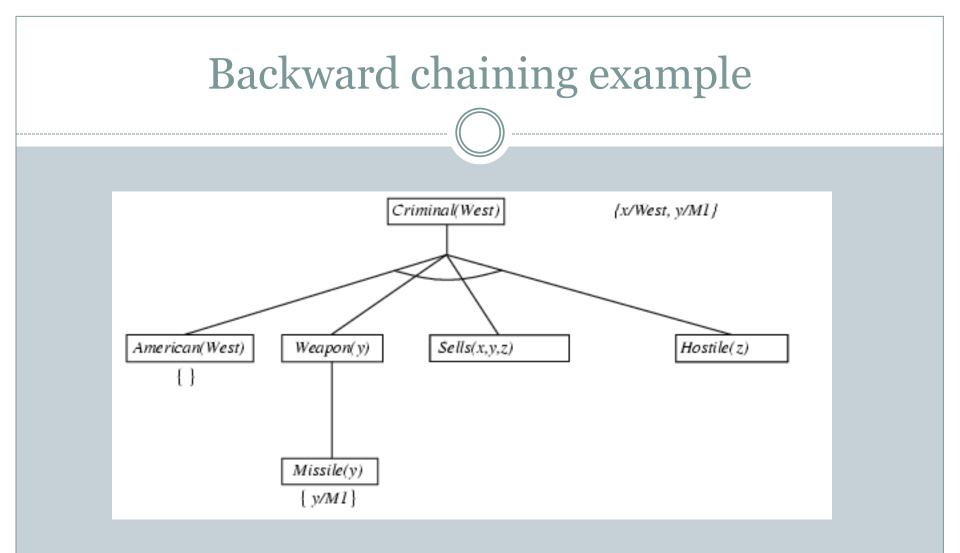
return ans
```

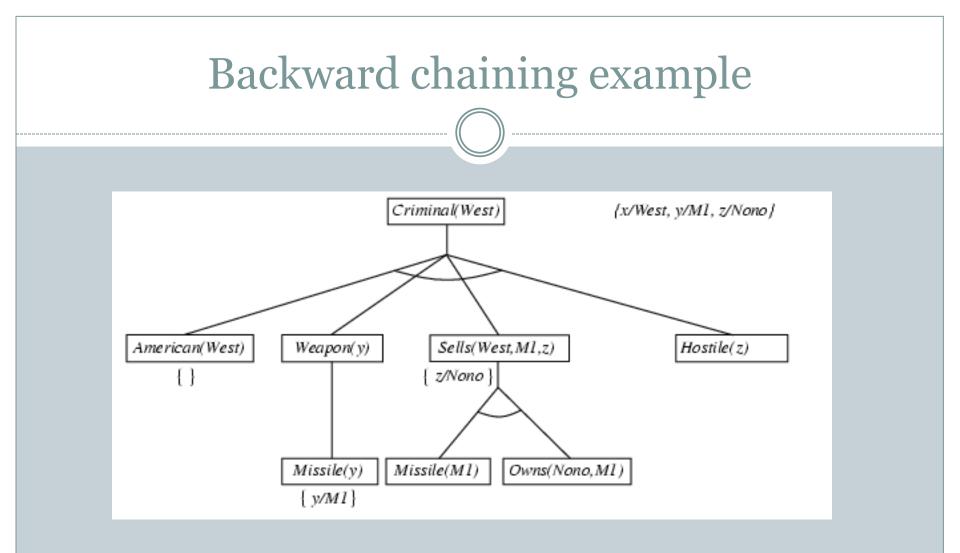


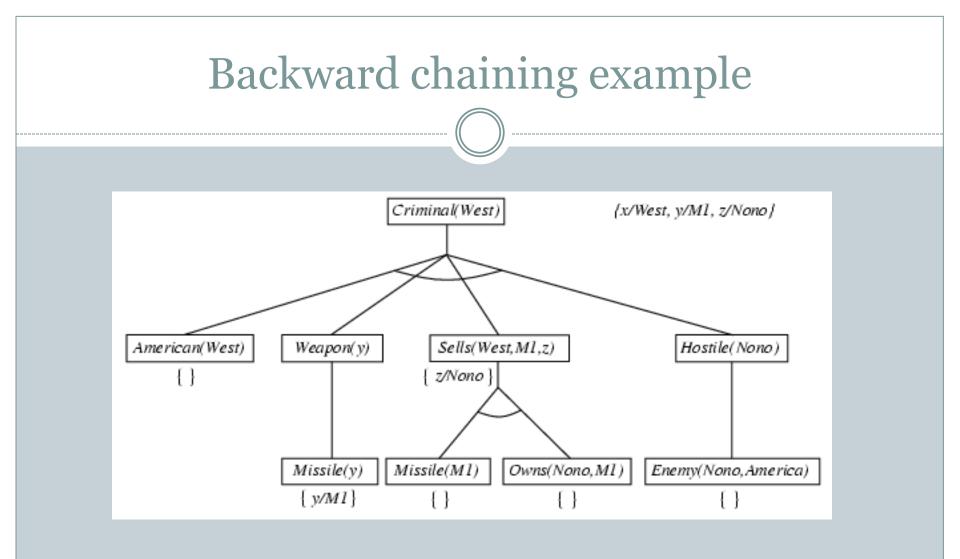


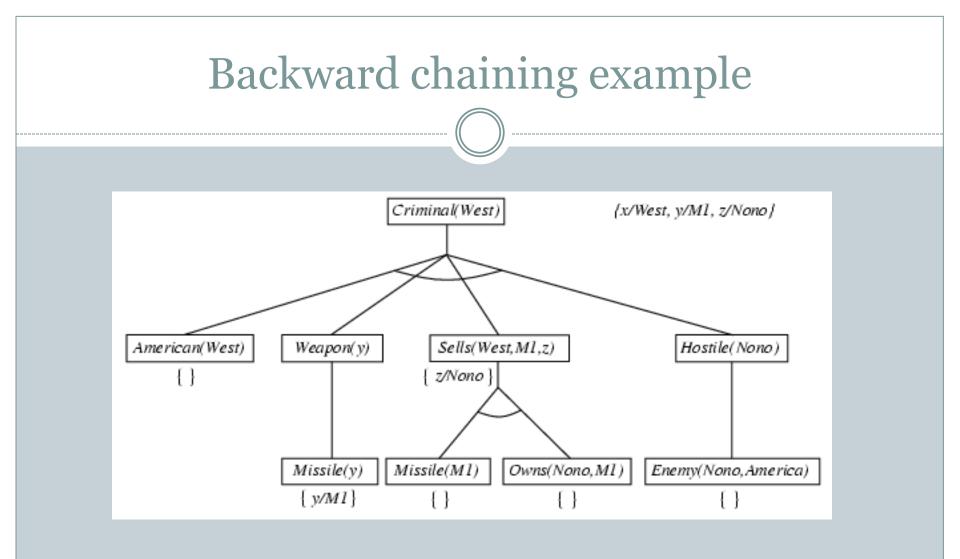












## Properties of backward chaining

- Depth-first recursive proof search: space is linear in size of proof
- Incomplete due to infinite loops
  - $\circ \Rightarrow$  fix by checking current goal against every goal on stack
- Inefficient due to repeated subgoals (both success and failure)

   o ⇒ fix using caching of previous results (extra space)
- Widely used for logic programming

```
Logic programming: Prolog
  Program = set of clauses = head :- literal<sub>1</sub>, ... literal<sub>n</sub>.
criminal(X) :- american(X), weapon(Y), sells(X,Y,Z), hostile(Z).
Missile(m1).
Owns(nono,m1).
Sells(west,X,nono):- Missile(X) Owns(nono,X).
weapon(X):- missile(X).
hostile(X) :- enemy(X, america).
american(west)
Query : criminial(west)?
Query: criminial(X)?
```

#### • membership

- member( $X, [X|_]$ ).
- member(X,[\_|T]):- member(X,T).
  - ?-member(2,[3,4,5,2,1])
  - ?-member(2,[3,4,5,1])

#### • subset

- subset([],L).
- subset([X|T],L):- member(X,L),subset(T,L).
  - ?- subset([a,b],[a,c,d,b]).

#### • Nth element of list

- $nth(0,[X|_],X)$ .
- nth(N,[]|T],R):-nth(N-1,T,R).
  - ?nth(2,[3,4,5,2,1],X)

# Prolog

#### Appending two lists to produce a third:

• append([],Y,Y).

```
• append([X|L],Y,[X|Z]) :- append(L,Y,Z).
```

- query: append([1,2],[3],Z) ?
- query: append(A,B,[1,2]) ?
- answers:

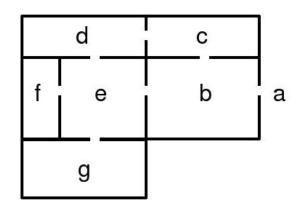
```
A = [] \qquad B = [1, 2]
      A = [1] B = [2]
      A=[1,2] B=[]
```

- Path between two nodes in a graph
  - $\circ$  path(X,Z): link(X,Z)
  - $\circ$  path(X,Z): link(Y,Z), path(X,Y)

```
What happens if?
path(X,Z): path(X,Y), link(X,Z)
path(X,Z): link(X,Z)
```

### Searching in a Maze

• Searching for a telephone in a building:



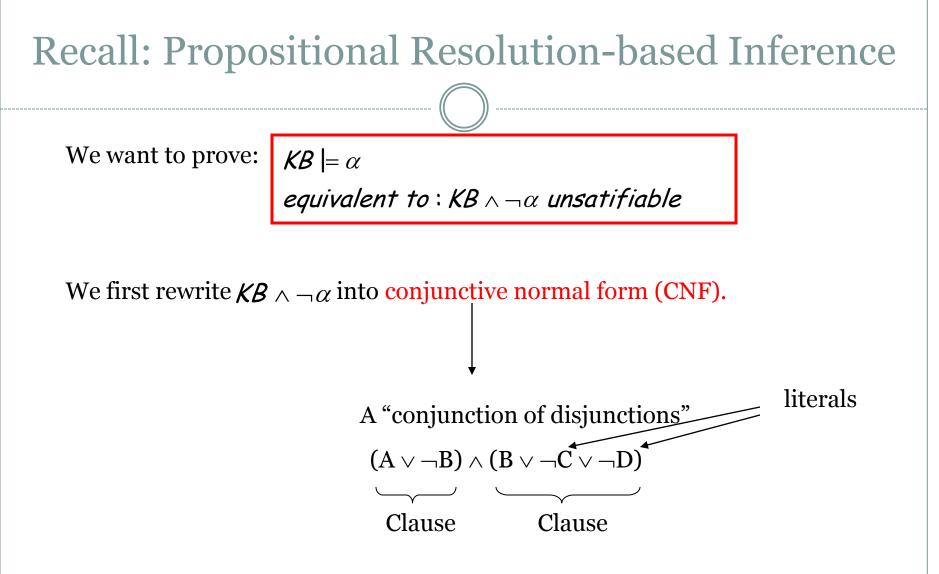
- How do you search without getting lost?
- How do you know that you have searched the whole building?
- What is the shortest path to the telephone?

## Searching in a Maze

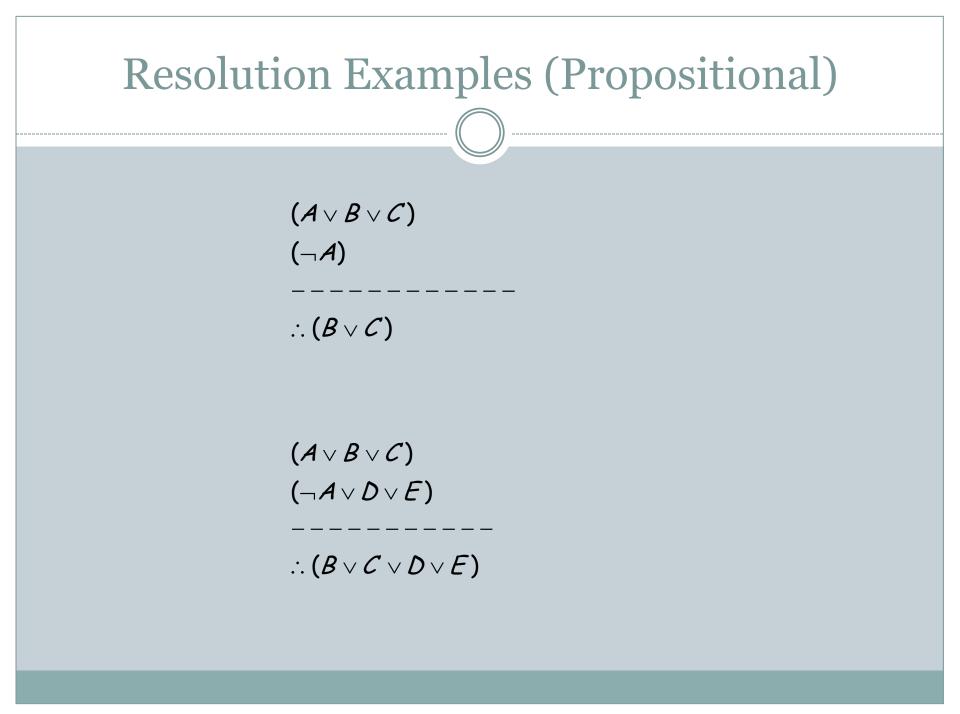
- go(X,Y,T): Succeeds if one can go from room X to room Y. T contains the list of rooms visited so far.
- Facts in the knowledge base
  - Door(b,c)
  - hasphone(g):
- go(X,X,\_).

go(X,Y,T) := door(X,Z), not(member(Z,T)), go(Z,Y,[Z|T]).

- go(X,Y,T) := door(Z,X), not(member(Z,T)), go(Z,Y,[Z|T]).
- go(a,X,[]),hasphone(X) inefficient.
- hasphone(X),go(a,X,[])



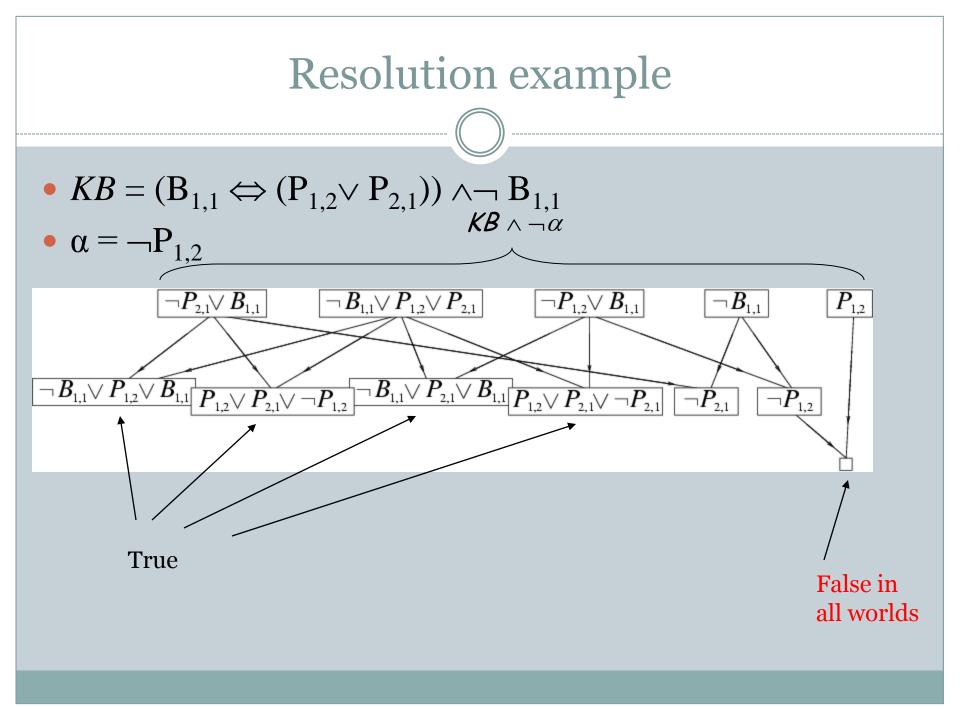
- Any KB can be converted into CNF
- k-CNF: exactly k literals per clause

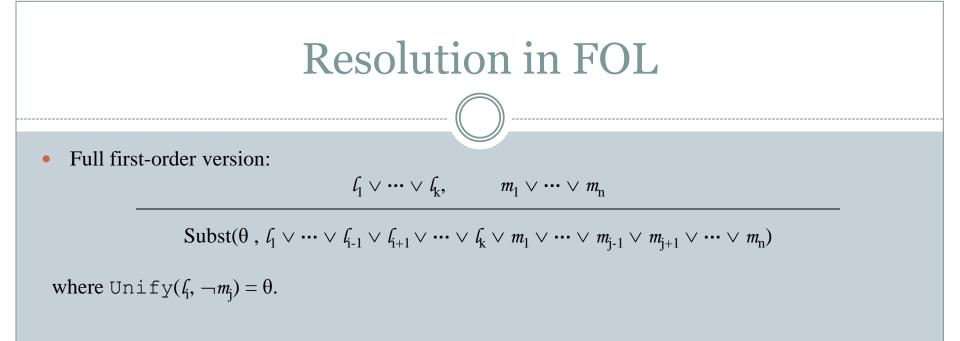


## **Resolution Algorithm**

- The resolution algorithm tries to prove:  $KB \models \alpha$  equivalent to  $KB \land \neg \alpha$  unsatisfiable
- Generate all new sentences from KB and the query.
- One of two things can happen:
- 1. We find  $P \land \neg P$  which is unsatisfiable, i.e. we can entail the query.
- 2. We find no contradiction: there is a model that satisfies the Sentence (non-trivial) and hence we cannot entail the query.

 $KB \land \neg \alpha$ 





- The two clauses are assumed to be standardized apart so that they share no variables.
- For example,

 $\neg Rich(x) \lor Unhappy(x) \quad Rich(Ken)$ Unhappy(Ken)

with  $\theta = \{x/Ken\}$ 

• Apply resolution steps to  $CNF(KB \land \neg \alpha)$ ; complete for FOL

#### **Converting FOL sentences to CNF**

Original sentence: Anyone who likes all animals is loved by someone:  $\forall x [\forall y Animal(y) \Rightarrow Likes(x,y)] \Rightarrow [\exists y Loves(y,x)]$ 

1. Eliminate biconditionals and implications

 $\forall x [\neg \forall y \neg Animal(y) \lor Likess(x,y)] \lor [\exists y Loves(y,x)]$ 

2. Move  $\neg$  inwards: Recall:  $\neg \forall x \ p \equiv \exists x \neg p, \ \neg \exists x \ p \equiv \forall x \neg p$ 

 $\forall x [\exists y \neg (\neg Animal(y) \lor Likes(x,y))] \lor [\exists y Loves(y,x)]$ 

 $\forall x [\exists y \neg \neg Animal(y) \land \neg Likes(x,y)] \lor [\exists y Loves(y,x)]$ 

 $\forall x [\exists y Animal(y) \land \neg Likes(x,y)] \lor [\exists y Loves(y,x)]$ 

Either there is some animal that x doesn't like if that is not the case then someone loves x

### Conversion to CNF contd.

3. Standardize variables: each quantifier should use a different one  $\forall x [\exists y Animal(y) \land \neg Likes(x,y)] \lor [\exists z Loves(z,x)]$ 

#### 4. Skolemize:

 $\forall x [Animal(A) \land \neg Likes(x,A)] \lor Loves(B,x)$ 

Everybody fails to love a particular animal A or is loved by a particular person B Animal(cat) Likes(,arry, cat) Loves(john, marry) Likes(cathy, cat) Loves(Tom, cathy)

#### a more general form of existential instantiation.

Each existential variable is replaced by a Skolem function of the enclosing universally quantified variables:

 $\forall x [Animal(F(x)) \land \neg Loves(x,F(x))] \lor Loves(G(x),x)$ 

(reason: animal y could be a different animal for each x.)

### Conversion to CNF contd.

#### 5. Drop universal quantifiers:

 $[Animal(F(x)) \land \neg Loves(x,F(x))] \lor Loves(G(x),x)$ 

(all remaining variables assumed to be universally quantified)

- 6. Distribute  $\lor$  over  $\land$  :
- 7.

 $[Animal(F(x)) \lor Loves(G(x),x)] \land [\neg Loves(x,F(x)) \lor Loves(G(x),x)]$ 

Original sentence is now in CNF form – can apply same ideas to all sentences in KB to convert into CNF

Also need to include negated query Then use resolution to attempt to derive the empty clause which show that the query is entailed by the KB

### Recall: Example Knowledge Base in FOL

... it is a crime for an American to sell weapons to hostile nations: *American(x)*  $\land$  *Weapon(y)*  $\land$  *Sells(x,y,z)*  $\land$  *Hostile(z)*  $\Rightarrow$  *Criminal(x)* Nono ... has some missiles, i.e.,  $\exists x \text{ Owns}(\text{Nono},x) \land \text{Missile}(x)$ :

 $Owns(Nono, M_{1}) and Missile(M_{1})$ ... all of its missiles were sold to it by Colonel West  $Missile(x) \land Owns(Nono, x) \Rightarrow Sells(West, x, Nono)$ Missiles are weapons:

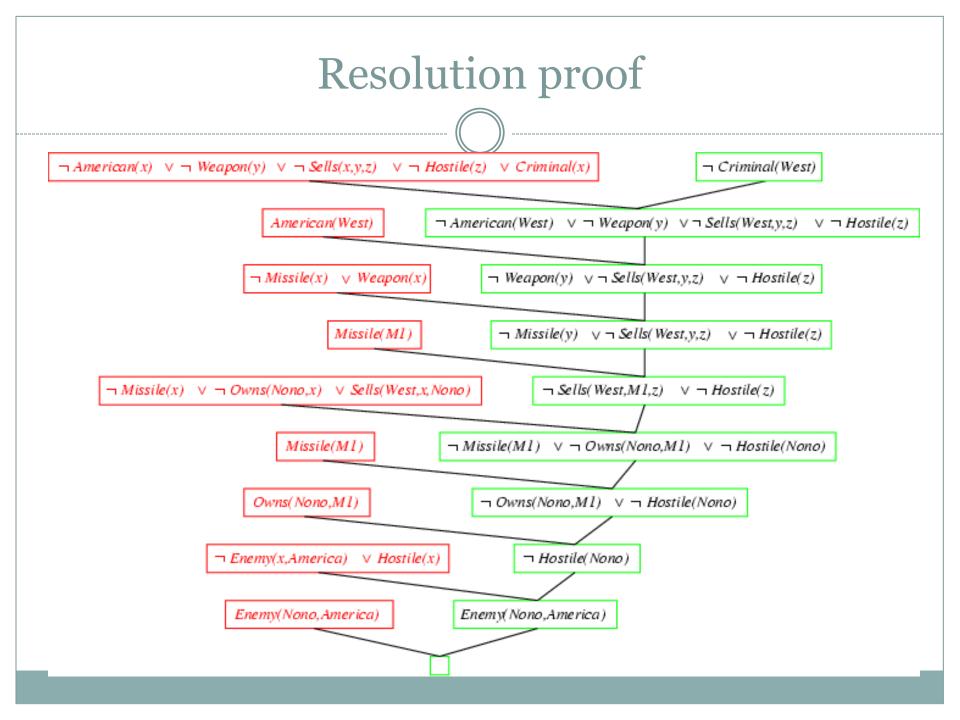
 $\begin{array}{l} Missile(x) \Rightarrow Weapon(x) \\ \text{An enemy of America counts as "hostile":} \\ Enemy(x, America) \Rightarrow Hostile(x) \\ \text{West, who is American } \dots \end{array}$ 

*American(West)* The country Nono, an enemy of America ...

Enemy(Nono,America)

Can be converted to CNF

Query: Criminal(West)?



## Second Example

#### KB:

Everyone who loves all animals is loved by someone Anyone who kills animals is loved by no-one Jack loves all animals. Either Curiosity or Jack killed the cat, who is named Tuna

**Query:** *Did Curiousity kill the cat?* 

#### **Inference Procedure:**

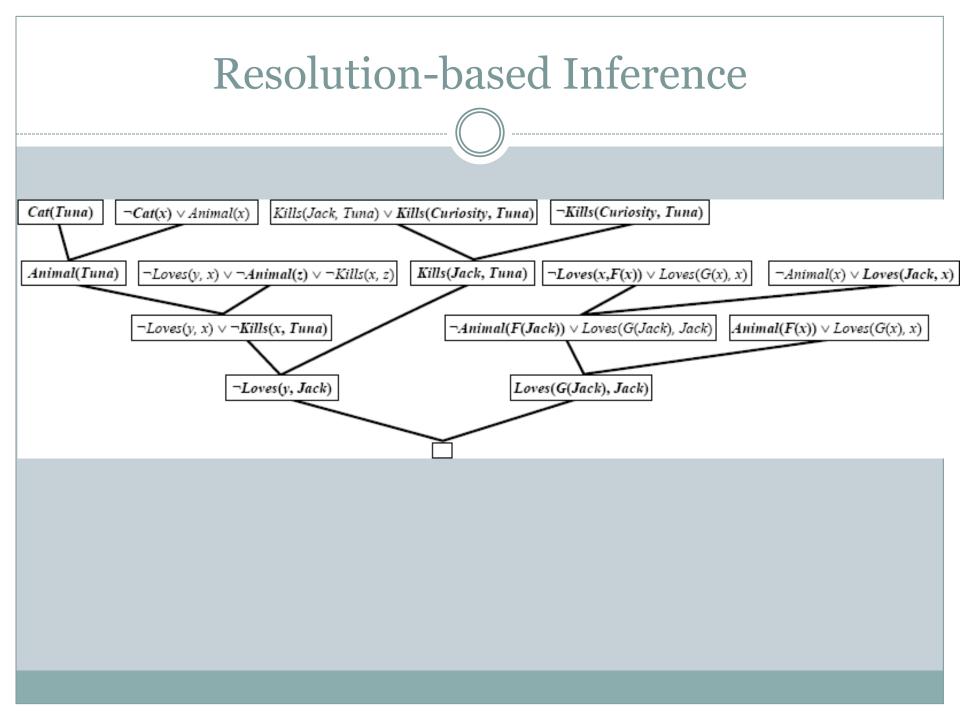
Express sentences in FOL Convert to CNF form and negated query

#### A. $\forall x [\forall y \operatorname{Animal}(y) \Rightarrow \operatorname{Loves}(x, y)] \Rightarrow [\exists y \operatorname{Loves}(y, x)]$

- B.  $\forall x [\exists y \operatorname{Animal}(y) \land \operatorname{Kills}(x,y)] \Rightarrow [\forall z \neg \operatorname{Loves}(z,x)]$
- C.  $\forall x \operatorname{Animal}(x) \Rightarrow \operatorname{Loves}(\operatorname{Jack}, x)$
- D. Kills(Jack, Tuna) v Kills(Curiosity, Tuna)
- E. Cat(Tuna)
- F.  $\forall x \operatorname{Cat}(x) \Rightarrow \operatorname{Animal}(x)$
- ¬G. ¬Kills(Curiositv, Tuna)

# A1. Animal(F(x)) $\lor$ Loves(G(x), x)

- A2.  $\neg Loves(x, F(x)) \lor Loves(G(x), x)$
- B.  $\neg$ Animal(y)  $\lor \neg$ Kills(x,y)  $\lor \neg$ Loves(z,x)]
- C.  $\neg$ Animal(*x*)  $\lor$  Loves(Jack, *x*)
- D. Kills(Jack, Tuna) v Kills(Curiosity, Tuna)
- E. Cat(Tuna)
- F.  $\neg Cat(x) \lor Animal(x)$
- ¬G.¬Kills(Curiosity, Tuna)



### Summary

#### • Inference in FOL

- Simple approach: reduce all sentences to PL and apply propositional inference techniques
- Generally inefficient

#### • FOL inference techniques

- Unification
- Generalized Modus Ponens
  - × Forward-chaining: complete with definite clauses
- Resolution-based inference
  - × Refutation-complete
- Read Chapter 9
  - Many other aspects of FOL inference we did not discuss in class
- Homework 4 due on Tuesday