

# Solving Constraint Satisfaction Problems (CSPs) using Search

CPSC 322 – CSP 2

Textbook Poole and Mackworth: Sections § 4.3-4.4

Lecturer: Alan Mackworth

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# Lecture Overview



## Constraint Satisfaction Problems (CSPs): Definition and Recap

- CSPs: Motivation
- Solving CSPs
  - Generate & Test
  - Graph search

# Course Overview

Course Module

## Environment

Deterministic

Stochastic

*Representation*

Reasoning  
Technique

## Problem Type

Constraint  
Satisfaction

*Variables +  
Constraints*

Search

Arc  
Consistency

Logic

*Logics*

Search

*Bayesian  
Networks*

Variable  
Elimination

Uncertainty

Sequential

*STRIPS*

Search

*Decision  
Networks*

Variable  
Elimination

Decision  
Theory

Planning

Now focus  
on CSPs

*Markov Processes*

Value  
Iteration

# Standard Search vs. CSP

- First studied general state space search in isolation
  - Standard search problem: search in a state space
- **State** is a “black box” - any arbitrary data structure that supports three problem-specific routines:
  - goal test:  $\text{goal}(\text{state})$
  - finding successor nodes:  $\text{neighbors}(\text{state})$
  - if applicable, heuristic evaluation function:  $h(\text{state})$
- We'll see more specialized versions of search for various problems

# Search in Specific R&R Systems

- Constraint Satisfaction Problems:
  - State
  - Successor function
  - Goal test
  - Solution
  - Heuristic function
- Planning :
  - State
  - Successor function
  - Goal test
  - Solution
  - Heuristic function
- Inference
  - State
  - Successor function
  - Goal test
  - Solution
  - Heuristic function

# Constraint Satisfaction Problems (CSPs): Definition

Definition:

A **constraint satisfaction problem (CSP)** consists of:

- a set of **variables**  $\mathcal{V}$
- a **domain**  $\text{dom}(V)$  for each variable  $V \in \mathcal{V}$
- a set of **constraints**  $\mathcal{C}$

Simple example:

- $\mathcal{V} = \{V_1\}$ 
  - $\text{dom}(V_1) = \{1, 2, 3, 4\}$
- $\mathcal{C} = \{C_1, C_2\}$ 
  - $C_1: V_1 \neq 2$
  - $C_2: V_1 > 1$

Another example:

- $\mathcal{V} = \{V_1, V_2\}$ 
  - $\text{dom}(V_1) = \{1, 2, 3\}$
  - $\text{dom}(V_2) = \{1, 2\}$
- $\mathcal{C} = \{C_1, C_2, C_3\}$ 
  - $C_1: V_2 \neq 2$
  - $C_2: V_1 + V_2 < 5$
  - $C_3: V_1 > V_2$

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Definition:

A **model** of a CSP is an assignment of values to all of its variables that **satisfies** all of its constraints.

Simple example:

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  - $C_2: V_1 > 1$

All models for this CSP:

$$\{V_1 = 3\}$$

$$\{V_1 = 4\}$$

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Which are models for this CSP?

$\{V_1=1, V_2=1\}$

$\{V_1=2, V_2=1\}$

$\{V_1=3, V_2=1\}$

$\{V_1=3, V_2=2\}$



# Possible Worlds

Definition:

A **possible world** of a CSP is an assignment of values to all of its variables.

Definition:

A **model** of a CSP is an assignment of values to all of its variables that **satisfies** all of its constraints.

i.e. *a model is a possible world that satisfies all constraints*

Another example:

- $\mathcal{V} = \{V_1, V_2\}$ 
  - $\text{dom}(V_1) = \{1, 2, 3\}$
  - $\text{dom}(V_2) = \{1, 2\}$
- $C = \{C_1, C_2, C_3\}$ 
  - $C_1: V_2 \neq 2$
  - $C_2: V_1 + V_2 < 5$
  - $C_3: V_1 > V_2$

Possible worlds for this CSP:

- $\{V_1=1, V_2=1\}$
- $\{V_1=1, V_2=2\}$
- $\{V_1=2, V_2=1\}$  (a model)
- $\{V_1=2, V_2=2\}$
- $\{V_1=3, V_2=1\}$  (a model)
- $\{V_1=3, V_2=2\}$

# Constraints

- Constraints are **restrictions** on the values that one or more variables can take
  - **Unary constraint**: restriction involving a single variable
    - E.g.:  $V_2 \neq 2$
  - **k-ary constraint**: restriction involving k different variables
    - E.g. binary (k=2):  $V_1 + V_2 < 5$
    - E.g. 3-ary:  $V_1 + V_2 + V_4 < 5$
    - We will mostly deal with binary constraints
  - Constraints can be specified by
    1. **listing all combinations of valid domain values** for the variables participating in the constraint
      - E.g. for constraint  $V_1 > V_2$  and  $\text{dom}(V_1) = \{1,2,3\}$  and  $\text{dom}(V_2) = \{1,2\}$ :
- 2. giving a **function (predicate)** that returns true if given values for each variable which satisfy the constraint else false:  $V_1 > V_2$

$V_1$	$V_2$
2	1
3	1
3	2

# Constraints

- A possible world **satisfies** a set of constraints
  - if the values for the variables involved in each constraint are consistent with that constraint
    1. They are elements of the list of valid domain values
    2. Function returns true for those values

$V_1$	$V_2$
2	1
3	1
3	2

- Examples
  - $\{V_1=1, V_2=1\}$  (does not satisfy above constraint)
  - $\{V_1=3, V_2=1\}$  (satisfies above constraint)

# Scope of a constraint

## Definition:

The **scope** of a constraint is the set of variables that are involved in the constraint

- Examples:
  - $V_2 \neq 2$  has scope  $\{V_2\}$
  - $V_1 > V_2$  has scope  $\{V_1, V_2\}$
  - $V_1 + V_2 + V_4 < 5$  has scope  $\{V_1, V_2, V_4\}$
- How many variables are in the scope of a k-ary constraint ?  
k variables

# Finite Constraint Satisfaction Problem: Definition

Definition:

A **finite constraint satisfaction problem (FCSP)** is a CSP with a finite set of variables and a finite domain for each variable.

We will only study finite CSPs here but many of the techniques carry over to countably infinite and continuous domains. We use CSP here to refer to FCSP.

The scope of each constraint is automatically finite since it is a subset of the finite set of variables.

# Examples: variables, domains, constraints

- **Crossword Puzzle:**

- variables are words that have to be filled in
- domains are English words of correct length
- (binary) constraints: words have the same letters at cells where they intersect



- **Crossword 2:**

- variables are cells (individual squares)
- domains are letters of the alphabet
- k-ary constraints: sequences of letters form valid English words  
(k= 2,3,4,5,6,7,8,9)

# Examples: variables, domains, constraints

Sudoku rules are extremely easy: Fill all empty squares so that the numbers 1 to 9 appear once in each row, column and 3x3 box.

Sudoku Puzzle

	9	3	6	2	8	1	4	
	6						5	
	3			1			9	
	5		8		2		7	
	4			7			6	
	8						3	
	1	7	5	9	3	4	2	

Sudoku Solution

2	7	1	9	5	4	6	8	3
5	9	3	6	2	8	1	4	7
4	6	8	1	3	7	2	5	9
7	3	6	4	1	5	8	9	2
1	5	9	8	6	2	3	7	4
8	4	2	3	7	9	5	6	1
9	8	5	2	4	1	7	3	6
6	1	7	5	9	3	4	2	8
3	2	4	7	8	6	9	1	5

- **Sudoku**
  - variables are cells
  - domain of each variable is  $\{1,2,3,4,5,6,7,8,9\}$
  - constraints: rows, columns, boxes contain all different numbers
- How many possible worlds are there? (say, 53 empty cells)

$$53 \cdot 9$$

$$53^9$$

$$9^{53}$$

- How many models are there in a typical Sudoku?

$$\text{About } 2^{53}$$

$$1$$

$$9^{53}$$

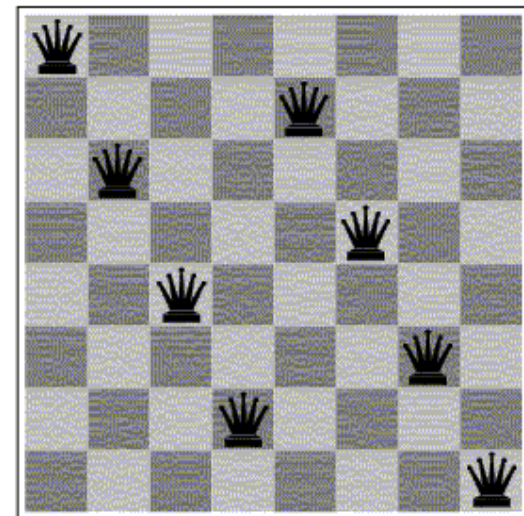
# Examples: variables, domains, constraints

- **Scheduling Problem:**

- variables are different tasks that need to be scheduled (e.g., course in a university; job in a machine shop)
- domains are the different combinations of times and locations for each task (e.g., time/room for course; time/machine for job)
- constraints: tasks can't be scheduled in the same location at the same time; certain tasks can't be scheduled in different locations at the same time; some tasks must come earlier than others; etc.

- **n-Queens problem**

- variable: location of a queen on a chess board
  - there are n of them in total, hence the name
- domains: grid coordinates
- constraints: no queen can attack another





# Constraint Satisfaction Problems: Variants

- We may want to solve the following problems with a CSP:
  - determine whether or not a model **exists**
  - **find** a model
  - **find all** of the models
  - **count** the number of models
  - find the **best** model, given some measure of model quality
    - this is now an optimization problem
  - determine whether some **property of the variables** holds in all models

# Solving Constraint Satisfaction Problems

- Even the simplest problem of determining whether or not a model exists in a general CSP with finite domains is **NP-hard**
  - There is no known algorithm with worst case polynomial runtime.
  - We can't hope to find an algorithm that is polynomial for all CSPs.
- However, we can try to:
  - find efficient (polynomial) **consistency algorithms** that reduce the size of the search space
  - **identify special cases** for which algorithms are efficient
  - work on **approximation algorithms** that can find good solutions quickly, even though they may offer no theoretical guarantees
  - find algorithms that are fast on **typical** (not worst case) cases

# Lecture Overview

- Constraint Satisfaction Problems (CSPs):  
Definition and Recap



## Constraint Satisfaction Problems (CSPs): Motivation

- Solving Constraint Satisfaction Problems (CSPs)
  - Generate & Test
  - Graph search

# CSP/logic: formal verification



# Hardware verification (e.g., IBM)



# Software verification (small to medium programs)

## Most progress in the last 10 years based on:

# Encodings into propositional satisfiability (SAT)

# The Propositional Satisfiability Problem (SAT)

- Formula in propositional logic
  - i.e. it only contains propositional (Boolean) variables
  - Shorthand notation:  $x$  for  $X=\text{true}$ , and  $\neg x$  for  $X=\text{false}$
  - **Literal**:  $x$ ,  $\neg x$
- In so-called conjunctive normal form (CNF)
  - Conjunction of clauses (disjunctions of literals)
  - E.g.,  $F = (x_1 \vee x_2 \vee x_3) \wedge (\neg x_1 \vee \neg x_2 \vee \neg x_3) \wedge (\neg x_1 \vee \neg x_2 \vee x_3)$
  - Let's write  $F$  as a CSP:
    - 3 variables:  $X_1, X_2, X_3$
    - Domains: for all variables  $\{\text{true}, \text{false}\}$
    - Constraints (clauses):
      - $(x_1 \vee x_2 \vee x_3)$
      - $(\neg x_1 \vee \neg x_2 \vee \neg x_3)$
      - $(\neg x_1 \vee \neg x_2 \vee x_3)$
    - One of the models:  $X_1 = \text{true}, X_2 = \text{false}, X_3 = \text{true}$

# Importance of SAT

- Similar problems as in CSPs
  - Decide whether  $F$  has a model
  - Find a model of  $F$
- First problem shown to be NP-hard problem (3-SAT)
  - One of the most important problems in theoretical computer science
    - Is there an efficient (i.e. worst-case polynomial) algorithm for SAT?
      - I.e., is  $NP = P$ ?
    - SAT is a deceptively simple problem!
- Important in practice: encodings of formal verification problems
  - Software verification: finding bugs in Windows etc.
  - Hardware verification: verify computer chips (IBM, Intel big players)

# SAT Solvers

- Building algorithms and software that perform well in practice
  - On the type of instances they face
    - Software and hardware verification instances
    - 100,000s of variables, millions of constraints
    - Runtime: seconds!
  - But: there are classes of instances where current algorithms fail
- International SAT competition (<http://www.satcompetition.org/>)
  - About 40 solvers from around the world compete, bi-yearly
  - Best solver in 2007 and 2009:



**SATzilla**: a SAT solver monster

(combines many other SAT solvers)

Lin Xu, Frank Hutter, Holger Hoos, and Kevin Leyton-Brown  
(all from UBC)

# Lecture Overview

- Constraint Satisfaction Problems (CSPs): Definition and Recap
- Constraint Satisfaction Problems (CSPs): Motivation



## Solving Constraint Satisfaction Problems (CSPs)

- Generate & Test
- Graph search



# Generate and Test (GT) Algorithms

- Systematically check all possible worlds
  - Possible worlds: cross product of domains  
 $\text{dom}(V_1) \times \text{dom}(V_2) \times \cdots \times \text{dom}(V_n)$
  - # possible worlds =  
 $|\text{dom}(V_1)| \times |\text{dom}(V_2)| \times \cdots \times |\text{dom}(V_n)|$
- Generate and Test:
  - **Generate** possible worlds one at a time
  - **Test** constraints for each one.

```
For a in dom(A)
  For b in dom(B)
    For c in dom(C)
      if {A=a, B=b, C=c} satisfies all constraints
        return {A=a, B=b, C=c} %This possible world is a model
      fail
```

# Generate and Test (GT) Algorithms

- If there are  $k$  variables, each with domain size  $d$ , and there are  $c$  constraints, the (worst-case time) complexity of Generate & Test is

$$O(ckd)$$

$$O(ck^d)$$

$$O(cd^k)$$

$$O(d^{ck})$$

- There are  $d^k$  possible worlds
- For each one need to check  $c$  constraints

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# CSP as a Search Problem: one formulation

- States: **partial assignment** of values to variables
- Start state: empty assignment
- Successor function: states with the next variable assigned
  - E.g., follow a total order of the variables  $V_1, \dots, V_n$
  - A state assigns values to the first  $k$  variables:
    - $\{V_1 = v_1, \dots, V_k = v_k\}$
    - Neighbors of node  $\{V_1 = v_1, \dots, V_k = v_k\}$ :  
nodes  $\{V_1 = v_1, \dots, V_k = v_k, V_{k+1} = x\}$  for each  $x \in \text{dom}(V_{k+1})$
- Goal state: **complete assignments** of values to variables that **satisfy all constraints**
  - That is, **models**
  - Solution: assignment  $\{V_1 = v_1, \dots, V_n = v_n\}$  (the path doesn't matter)

Which search algorithm would be most appropriate for this formulation of CSP?

Depth First Search

Least Cost First Search

A \*

None of the above

# Relationship To Search

- The path to a goal isn't important, only the solution is
- Heuristic function: “none”
  - All goals are at the same depth
- CSP problems can be huge
  - Thousands of variables
    - Exponentially more search states
  - Exhaustive search is typically infeasible
- Many algorithms exploit the structure provided by the goal  $\Rightarrow$  set of constraints, \*not\* black box

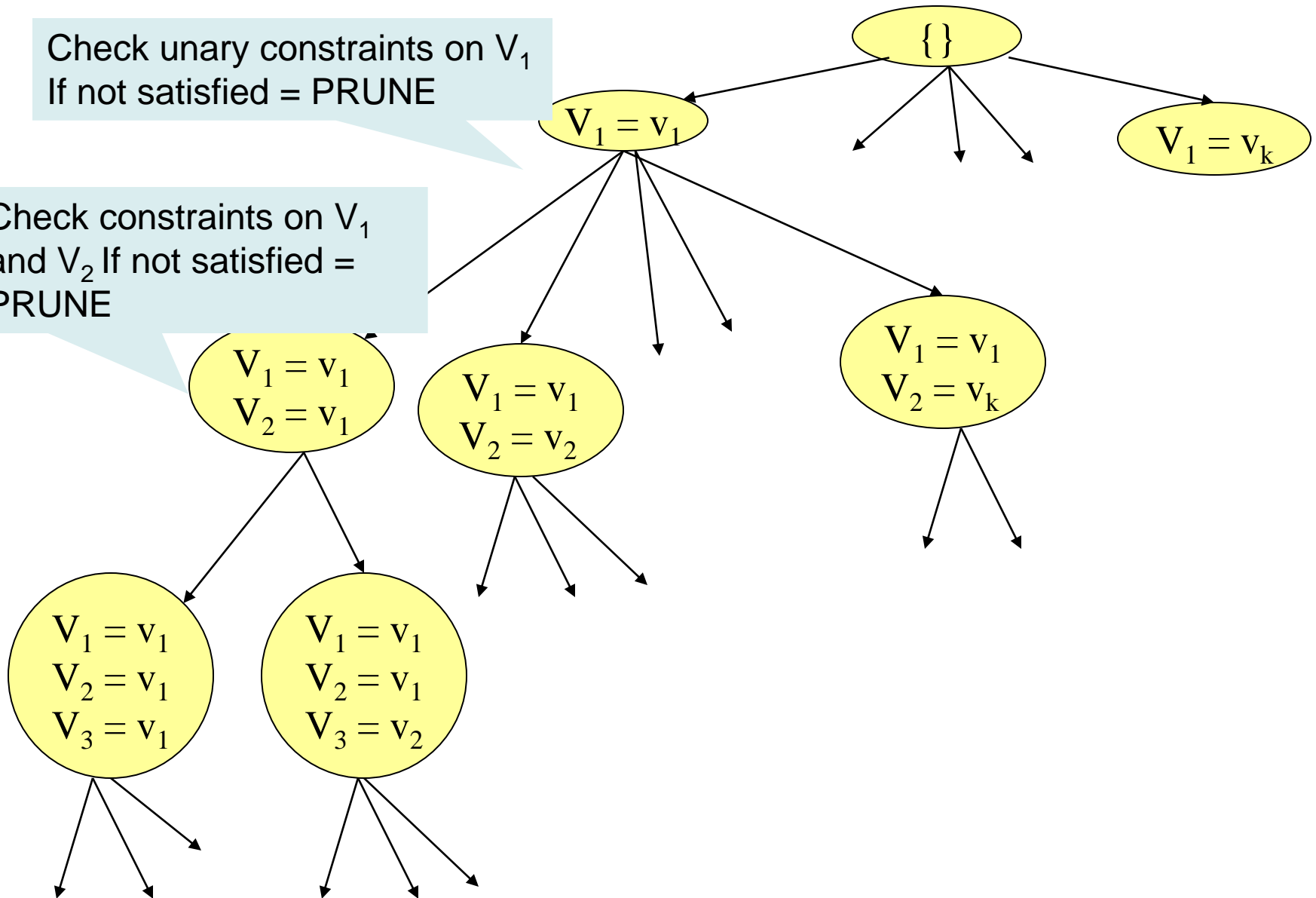
# Backtracking algorithms

- Explore search space via DFS but evaluate each constraint as soon as all its variables are bound.
- Any partial assignment that doesn't satisfy the constraint can be pruned.
- Example:
  - 3 variables A, B, C each with domain {1,2,3,4}
  - {A = 1, B = 1} is inconsistent with constraint  $A \neq B$  regardless of the value of the other variables
    - ⇒ Fail! Prune!

# CSP as Graph Searching

Check unary constraints on  $V_1$   
If not satisfied = PRUNE

Check constraints on  $V_1$   
and  $V_2$  If not satisfied =  
PRUNE

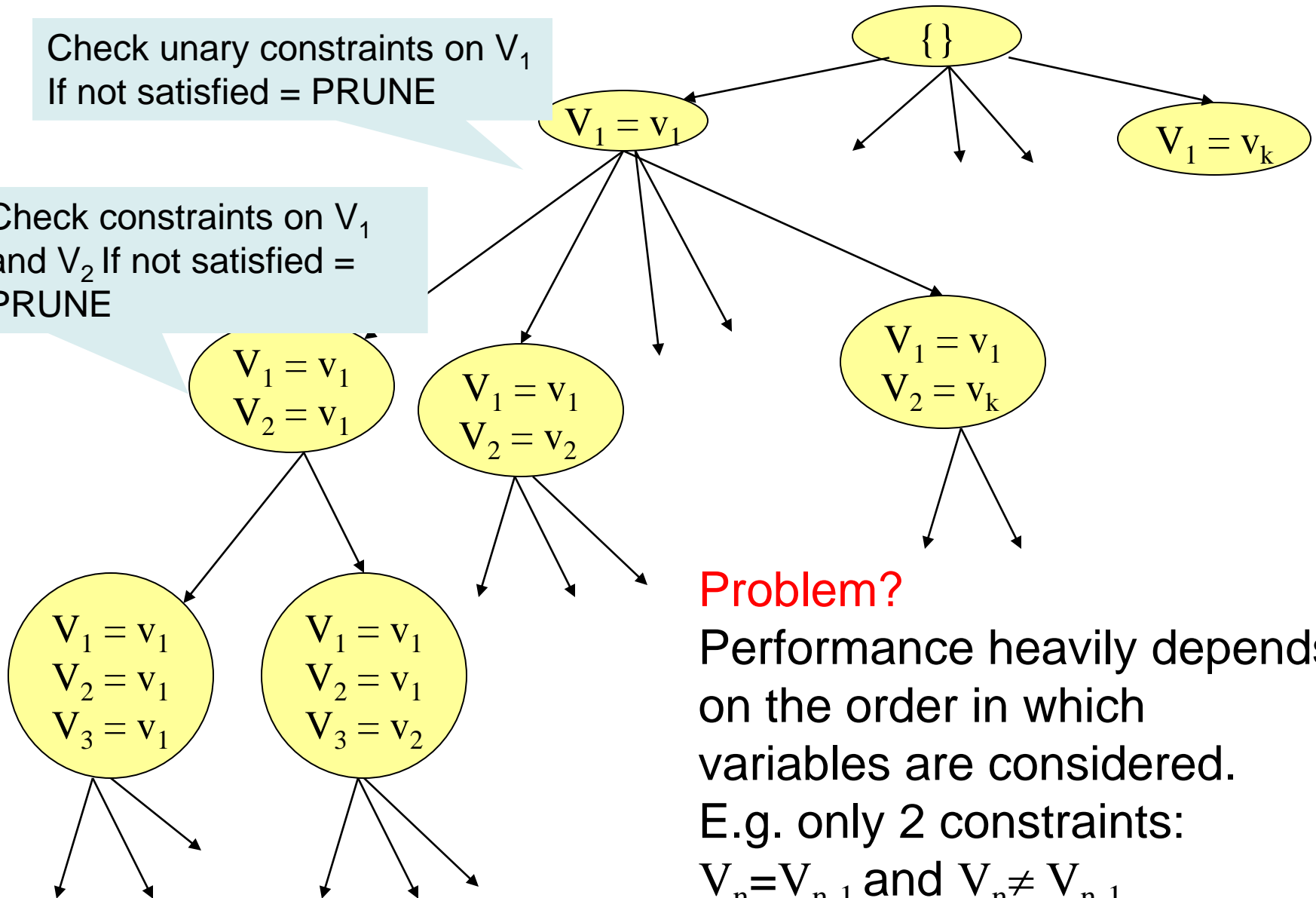




# CSP as Graph Searching

Check unary constraints on  $V_1$   
If not satisfied = PRUNE

Check constraints on  $V_1$   
and  $V_2$  If not satisfied =  
PRUNE



**Problem?**

Performance heavily depends  
on the order in which  
variables are considered.

E.g. only 2 constraints:

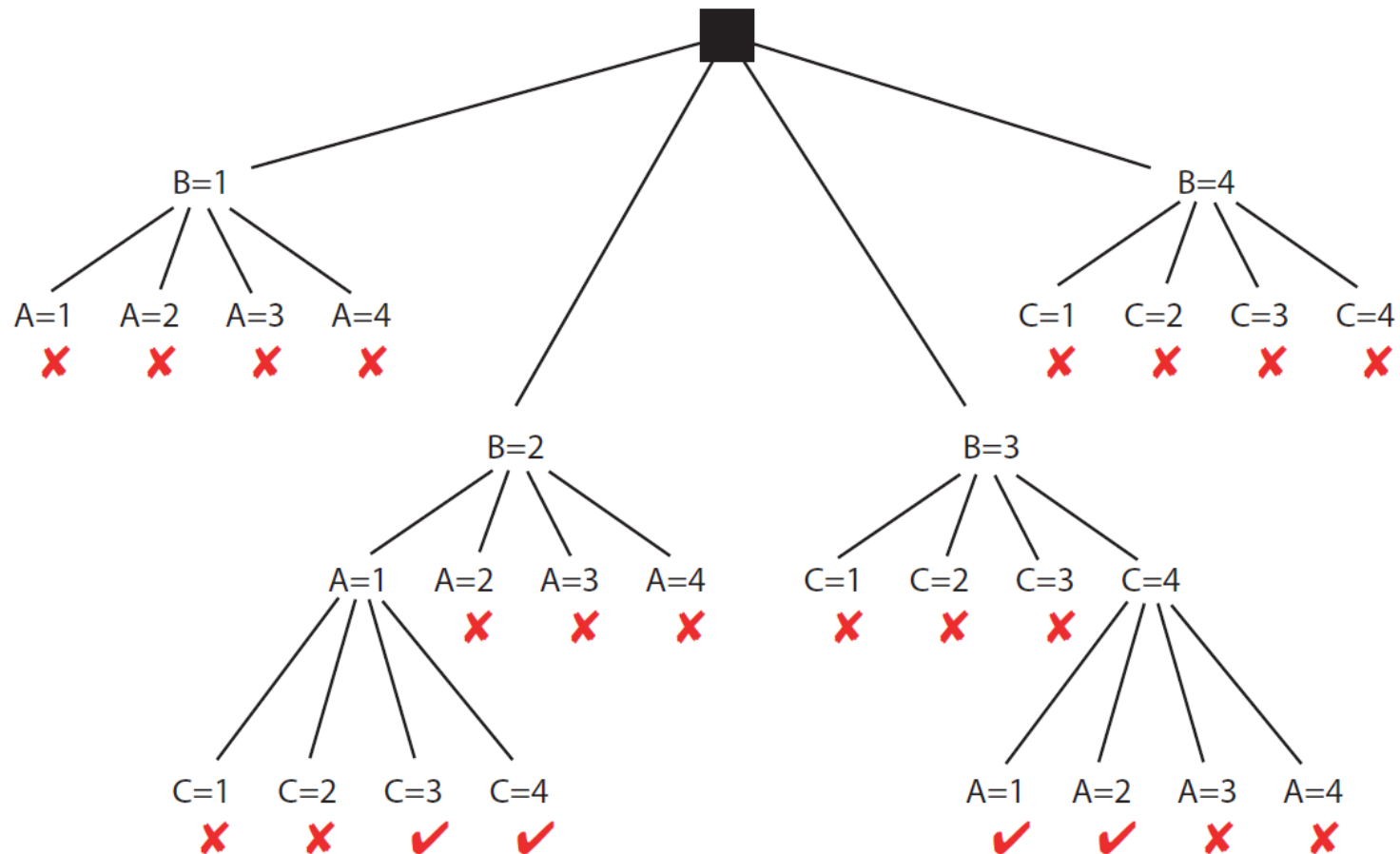
$V_n = V_{n-1}$  and  $V_n \neq V_{n-1}$

# CSP as a Search Problem: another formulation

- States: partial assignment of values to variables
- Start state: empty assignment
- Successor function: states with the next variable assigned
  - Assign **any** previously unassigned variable
  - A state assigns values to **some subset** of variables:
    - E.g.  $\{V_7 = v_1, V_2 = v_1, V_{15} = v_1\}$
    - Neighbors of node  $\{V_7 = v_1, V_2 = v_1, V_{15} = v_1\}$ :  
nodes  $\{V_7 = v_1, V_2 = v_1, V_{15} = v_1, V_x = y\}$   
for any variable  $V_x \in \mathcal{V} \setminus \{V_7, V_2, V_{15}\}$  and all values  $y \in \text{dom}(V_x)$
    - No fixed variable ordering for this search variant
- Goal state: complete assignments of values to variables that satisfy all constraints
  - That is, models
- Solution: assignment (the path doesn't matter)

# CSP Solving as Graph Searching

- 3 Variables: A,B,C. All with domains = {1,2,3,4}
- Constraints:  $A < B$ ,  $B < C$



# Selecting variables in a smart way

- Backtracking relies on one or more **heuristics** to select which variable to consider next.
  - E.g, variable involved in the largest number of constraints:  
“If you are going to fail on this branch, fail early!”
  - Can also be smart about which values to consider first
- This is a **different use of the word ‘heuristic’!**
  - Still true in this context
    - Can be computed cheaply during the search
    - Provides guidance to the search algorithm
  - But not true anymore in this context
    - ‘Estimate of the distance to the goal’
- Both meanings are used frequently in the AI literature.
- ‘heuristic’ means ‘serves to discover’: goal-oriented.
- Does not mean ‘unreliable’!

# Standard Search vs. Specific R&R systems

- Constraint Satisfaction (Problems):
  - State: assignments of values to a subset of the variables
  - Successor function: assign values to a “free” variable
  - Goal test: all variables assigned a value and all constraints satisfied?
  - Solution: possible world that satisfies the constraints
  - Heuristic function: none (all solutions at the same distance from start)
- Planning :
  - State
  - Successor function
  - Goal test
  - Solution
  - Heuristic function
- Inference
  - State
  - Successor function
  - Goal test
  - Solution
  - Heuristic function

# Learning Goals for today's class

- Define possible worlds in term of variables and their domains
    - Compute number of possible worlds on real examples
  - Specify constraints to represent real world problems differentiating between:
    - Unary and k-ary constraints
    - List vs. function format
  - Verify whether a possible world satisfies a set of constraints (i.e., whether it is a model, a solution)
  - Implement the **Generate-and-Test** Algorithm. Explain its disadvantages.
  - Solve a **CSP by search** (specify neighbors, states, start state, goal state). Compare strategies for CSP search. Implement pruning for DFS search in a CSP.
- 
- Coming up: Arc consistency and domain splitting
    - Read Sections 4.5-4.6
  - Assignment 1 is due this Friday