



2 Phase Commit -> 3PC

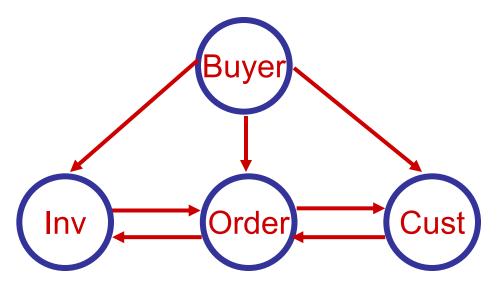
Intel (TX memory): Transactional Synchronization Extensions (TSX)





Trans in Distributed Systems

- A distributed transaction involves
 - * updates at multiple nodes
 - * and the messages between those nodes
- For example, buying widgets





Distributed Atomic Commit Requirements

- 1. All workers that reach a decision reach the same one
- 2. Workers cannot change their decisions on commit or abort once a decision is made
- 3. To commit all workers must vote commit
- 4. If all workers vote commit and there are no failures the transaction will commit
- 5. If all failures are repaired and there are no more failures each worker will eventually reach a decision (In fact it will be the same decision)

Two phase commit variants

- Centralized 2PC: workers only communicate with the coordinator
- Linear 2PC: coordinator, and all workers in a single line/chain
- Decentralized 2PC: all workers can communicate with one another



Process uncertainty in atomic commit

• Uncertainty period for a process

- * Time between the moment a process votes Yes (commit) and the moment it knows the txn decision (tx-abort or tx-commit)
- While process is uncertain it is **blocked**: process cannot make progress
- Blocking also arises when process must wait for failures to be repaired before proceeding



Hard failure constraints on distributed atomic commit with failures

- A non-blocking distributed atomic commit protocol that handles node failures and communication failures is impossible (i.e., none can exist)
- Cannot solve it with communication failures. Why?



Hard failure constraints on distributed atomic commit with failures

- In general, a non-blocking distributed atomic commit protocol that handles node failures and communication failures is impossible (i.e., none can exist)
- Cannot solve it with communication failures. Why?
 - * Cannot eliminate uncertainty periods with comm. failures: process has to cast vote AND learn all other votes simultaneously!
- Therefore, any ACP (atomic commit protocol) may cause processes to become blocked during communication failures

Hard failure constraints on distributed atomic commit with failures

- In general, a non-blocking distributed atomic commit protocol that handles node failures and communication failures is impossible (i.e., none can exist)
- 2PC: can block in both cases (examples?)
 - * And we saw that 2PC topology does not matter
- 3PC: solves atomic distributed commit with node failures (but not communication failures)



2PC is a blocking protocol

 Coordinator could fail after having decided the outcome, which would lead all worker nodes to block

* Key issue: If all nodes are uncertain, then they are blocked



2PC is a blocking protocol

- Coordinator could fail after having decided the outcome, which would lead all worker nodes to block
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- 3PC: solves atomic distributed commit with node failures (but not communication failures)
- How? 3PC satisfies the following key condition:
- Cond: if any operational node is uncertain then no process (operational or failed) can have decided to Commit.
 - * i.e., if working node discovers it is uncertain, it can decide to abort: no blocking!

Why 2PC not satisfy cond

- Coord sends tx-commit to p,q
 - * p receives tx-commit before q
 - * p will decide to commit before q (which is uncertain)
 - * i.e., it's a kind of a race condition!



How 3PC solves this

- Coord sends pre-commit messages if all votes were to commit
- When worker receive a pre-commit it knows that all participants voted to commit. But, it does not commit at this time
- Each worker acks the pre-commit
- Coord receives acks, and when all recvd, knows no node is uncertain
- At this point it decides commit and sends a tx-commit



How 3PC solves this

- Note: acks from nodes and tx-commit from coord is known to nodes ahead of time! Weird..?
- Their purpose is to signal events, not to communicate info
 - * Receipt of ack from p: tells coord that p is not uncertain
 - * Receipt of tx-commit at p: tells p that that no worker is uncertain
 - * This last statement is key: it allows p to commit without violating Cond

