



Transactions

Intel (TX memory) :
Transactional
Synchronization
Extensions (TSX)

PostgreSQL



Goal – A Distributed Transaction

- We want a transaction that involves multiple nodes
- Review of transactions and their properties
- Things we need to implement transactions
 - * Locks
 - * Achieving atomicity through logging
 - Roll ahead, roll back, write ahead logging
- Finally, 2 Phase Commit (aka 2PC) and 3PC
- Lead into Paxos

Transactions - Definition

- A transaction is a sequence of data operations with the following properties:
 - * **A** Atomic
 - All or nothing
 - * **C** Consistent
 - Consistent state in => consistent state out
 - * **I** Independent
 - Partial results are not visible to concurrent transactions
 - * **D** Durable
 - Once completed, new state survives crashes

Transactional API

- Interface

- * `tran = TranMonitor.begin ()`
 - Do some stuff within a transaction session
- * `tran.commit()`
- * `tran.abort()`

```
START TRANSACTION;  
SELECT @A:=SUM(salary) FROM table1 WHERE type=1;  
UPDATE table2 SET summary=@A WHERE type=1;  
COMMIT;
```

Serializability

- A set of transactions is serializable iff
 - * resulting state is equivalent to that produced by **some** serial ordering of those transactions
- They don't actually have to run in serial order
 - * system just ensures that actual outcome is the same as if they had

Importance of independence

- Possible problems if we don't have it
 - * lost update
 - t1 and t2 read x and then write x , t1's update is lost
 - * inconsistent retrieval
 - Intermediate state may be inconsistent (e.g., $\text{sum} = x + y$ violated)
 - * dirty read
 - t1 updates x , t2 reads x , t1 aborts; t2 has dirty value of x
 - * premature write
 - t1 update x , t2 update x , t1 aborts, t2's update is lost

Importance of independence

* lost update

- t1 and t2 read x and then write x , t1's update is lost

Example:

- * One transaction may overwrite the result of another.
- * Example: Transaction T wants to increase b's balance by 10%, transferring from a.
 - `bal = b.getBalance()`
 - `b.setBalance(bal*1.1)`
 - `a.withdraw(bal/10)`
- * Transaction U wants to increase b's balance by 10%, transferring from c.
 - `bal = b.getBalance()`
 - `b.setBalance(bal*1.1)`
 - `c.withdraw(bal/10)`
- * Problem: suppose order is T1, U1, U2, T2, T3, U3. Balance of b is \$220 (not \$242 as it should be).

Importance of independence

* premature write

- t1 update x, t2 update x, t1 aborts, t2's update is lost

Example:

- a - balance is \$100
- T: a.setBalance(\$105) - (before image: 100)
- U: a.setBalance(\$110) - (before image: 105)
- U commits, T aborts and resets to 100 -- should be 110
- If T aborts then U aborts, result will be 105, but should be 100.

Two Possible (pessimistic) Approaches

- Two Phase Locking
- Strict Two Phase Locking

Two Phase Locking

- Locks
 - * reader/writer locks
 - * acquired **as** transaction proceeds
 - * no more acquires after first release
- Phase 1
 - acquire locks and access data, but release no locks
- Phase 2
 - access data, release locks, but acquire no new locks
 - commit/abort transaction at end

Q Semantics of two-phase locking

- Does the Two-Phase Locking protocol ensure
 - * serializability?
 - * independence?

- How?

Semantics of two-phase locking

- Ensures serializability
 - * if transactions have no conflicting lock access
 - order arbitrarily
 - * for any transactions with conflicting lock access
 - order transactions based on order lock is acquired
 - * transactions are serialized
 - because, no lock is acquired after first release
 - deadlocks are still possible
- Does **not** ensure independence
 - * we still have premature write and dirty read problems
 - * E.g., t1 releases x, t2 acquires x, then t1 aborts