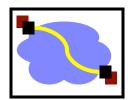


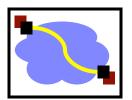
#### Time Synchronization (Part 2: Lamport and vector clocks) Jan 27, 2017

# Important Lessons (last lecture)



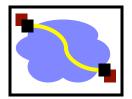
- Clocks on different systems will always behave differently
  - Skew and drift between clocks
- Time disagreement between machines can result in undesirable behavior
  - Clock synchronization
    - Rely on a time-stamped network messages
    - Estimate delay for message transmission
    - Can synchronize to UTC or to local source
    - Clocks never exactly synchronized
- Often inadequate for distributed systems
  - might need totally-ordered events
  - might need millionth-of-a-second precision

# **Today's Lecture**

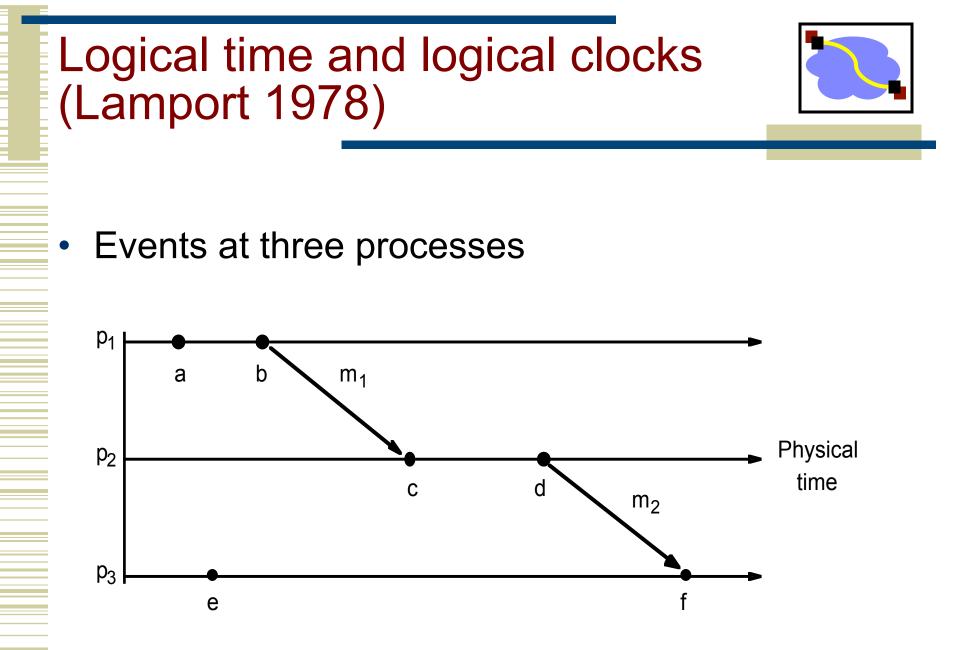


- Need for time synchronization
- Time synchronization techniques
- Lamport Clocks
- Vector Clocks

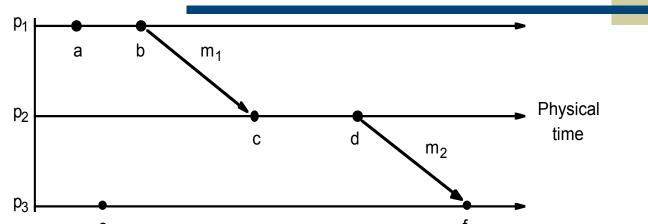
#### Logical time



- Capture just the "happens before" relationship between events
  - Discard the infinitesimal granularity of time
  - Corresponds roughly to causality

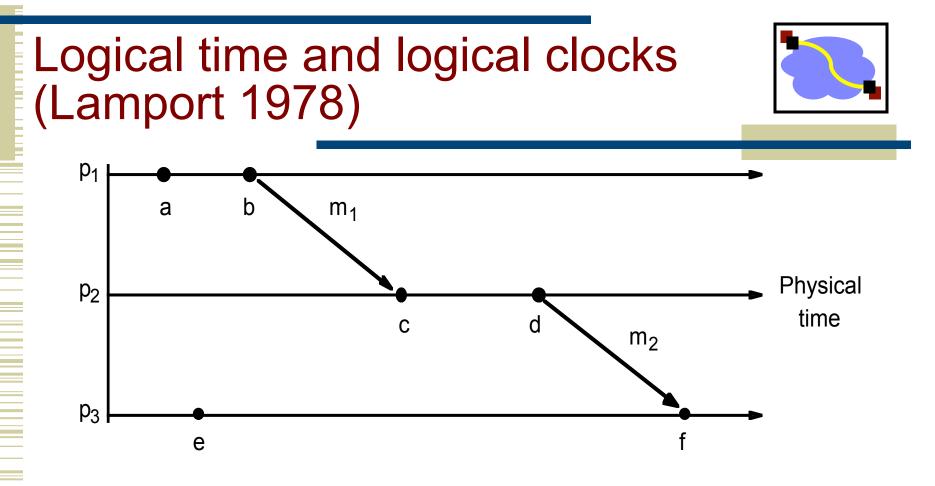


# Logical time and logical clocks (Lamport 1978)

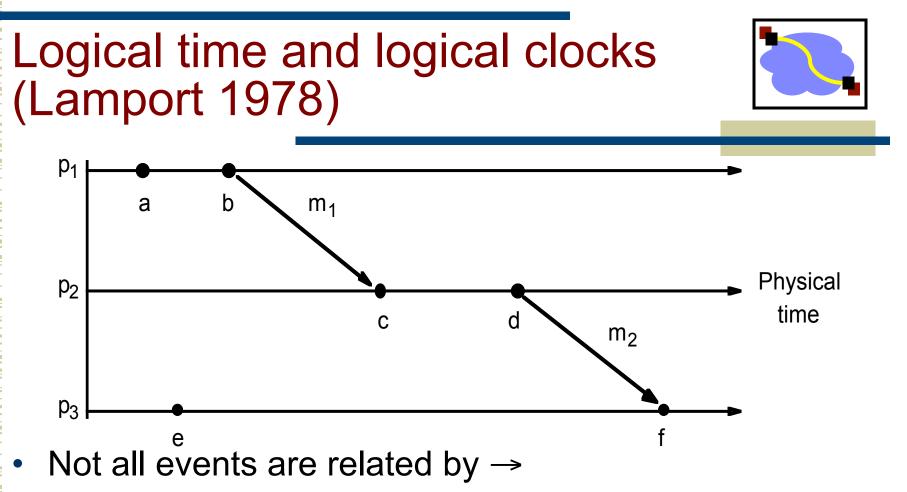


Instead of synchronizing clocks, event ordering can be used

- 1. If two events occurred at the same process  $p_i$  (i = 1, 2, ... N) then they occurred in the order observed by  $p_i$ , that is the definition of:  $\rightarrow_i$
- When a message, m is sent between two processes, send(m) 'happens before' receive(m)
- 3. The 'happened before' relation is transitive
- The happened before relation (→) is necessary for causal ordering

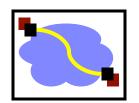


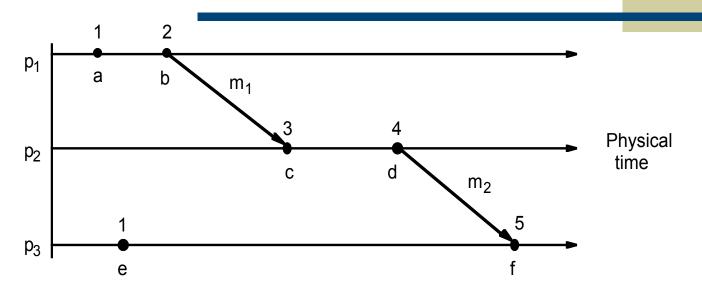
- $a \rightarrow b$  (at  $p_1$ )  $c \rightarrow d$  (at  $p_2$ )
- $b \rightarrow c$  because of  $m_1$
- also  $d \rightarrow f$  because of  $m_2$



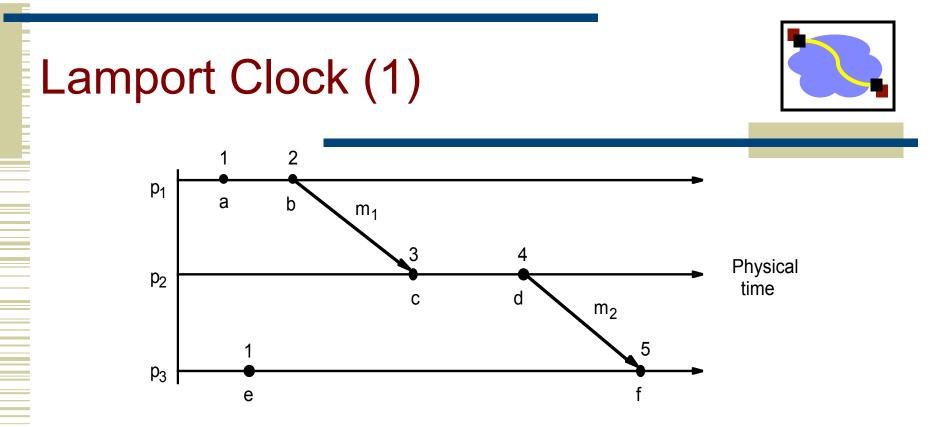
- Consider a and e (different processes and no chain of messages to relate them)
  - they are not related by  $\rightarrow$ ; they are said to be concurrent
  - written as a || e

#### Lamport Clock (1)



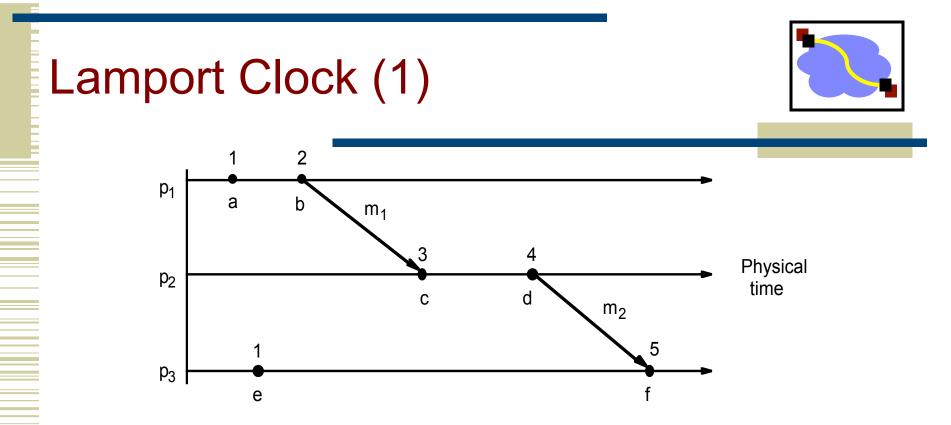


- A logical clock is a monotonically increasing software counter
  - It need not relate to a physical clock.
- Each process p<sub>i</sub> has a logical clock, L<sub>i</sub> which can be used to apply logical timestamps to events
  - Rule 0: initially all clocks are set to 0
  - Rule 1:  $L_i$  is incremented by 1 before each event at process  $p_i$
  - Rule 2:
    - (a) when process  $p_i$  sends message m, it piggybacks  $t = L_i$
    - (b) when p<sub>j</sub> receives (m,t) it sets L<sub>j</sub> := max(L<sub>j</sub>, t) and applies rule 1 before timestamping the event receive (m)

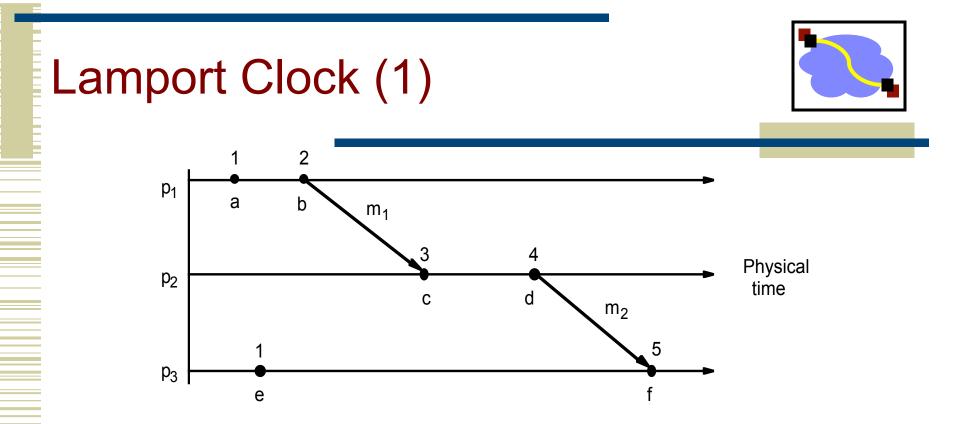


each of p<sub>1</sub>, p<sub>2</sub>, p<sub>3</sub> has its logical clock initialised to zero,
the clock values are those immediately after the event.
e.g. 1 for a, 2 for b.

for  $m_1$ , 2 is piggybacked and c gets max(0,2)+1 = 3



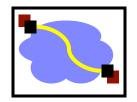
- $e \rightarrow e'$  (e happened before e') implies L(e) < L(e')
- The converse is not true, that is L(e)<L(e') does not imply e →e'. What's an example of this above?



 $e \rightarrow e'$  (e happened before e') implies L(e) < L(e')

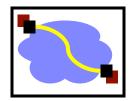
- The converse is not true, that is L(e)<L(e') does not imply e →e'</li>
  - e.g. L(b) > L(e) but  $b \parallel e$

#### Lamport logical clocks



- Lamport clock *L* orders events consistent with logical "happens before" ordering
  - If  $e \rightarrow e'$ , then L(e) < L(e')
- But not the converse
  - L(e) < L(e') does not imply  $e \rightarrow e'$
- Similar rules for concurrency
  - L(e) = L(e') implies e || e' (for distinct e,e')
  - $e \parallel e'$  does not imply L(e) = L(e')
  - i.e., Lamport clocks arbitrarily order some concurrent events

# **Total-order Lamport clocks**

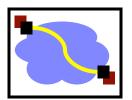


- Many systems require a total-ordering of events, not a partial-ordering
- Use Lamport's algorithm, but break ties using the process ID; one example scheme:

• 
$$L(e) = M * L_i(e) + i$$

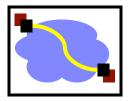
- *M* = maximum number of processes
- i = process ID

# **Today's Lecture**



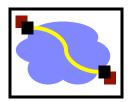
- Need for time synchronization
- Time synchronization techniques
- Lamport Clocks
- Vector Clocks

#### **Vector Clocks**



- Vector clocks overcome the shortcoming of Lamport logical clocks
  - L(e) < L(e') does not imply e happened before e'</li>
- Goal
  - Want ordering that matches happened before
  - V(e) < V(e') if and only if  $e \rightarrow e'$
- Method
  - Label each event by vector V(e) [c<sub>1</sub>, c<sub>2</sub>..., c<sub>n</sub>]
    - c<sub>i</sub> = # events in process i that precede e

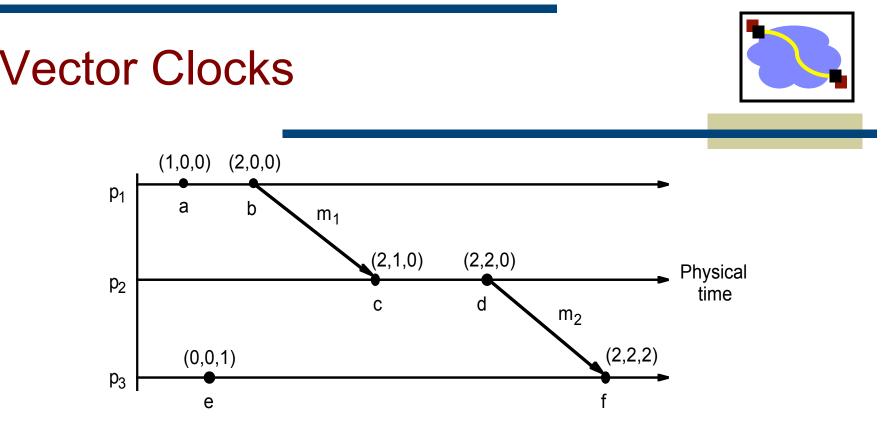
#### Vector Clock Algorithm



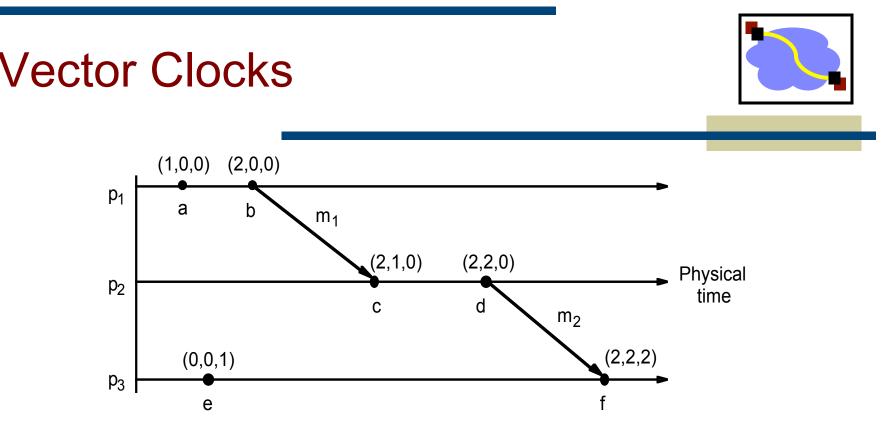
- Initially, all vectors [0,0,...,0]
- For event on process i, increment own c<sub>i</sub>
- Label message sent with local vector
- When process j receives message with vector  $[d_1, d_2, ..., d_n]$ :
  - Set each local vector entry k to  $max(c_k, d_k)$
  - Increment value of c<sub>i</sub>

#### Vector Clocks (1,0,0) (2,0,0)p<sub>1</sub> а b $m_1$ (2,1,0)(2,2,0)Physical p<sub>2</sub> time d С $m_2$ (2,2,2)(0,0,1)p<sub>3</sub> е

- At  $p_1$ 
  - *a occurs at* (1,0,0); *b* occurs at (2,0,0)
  - piggyback (2,0,0) on m<sub>1</sub>
- At  $p_2$  on receipt of  $m_1$  use max ((0,0,0), (2,0,0)) = (2, 0, 0) and add 1 to own element = (2,1,0)
- Meaning of =, <=, max etc for vector timestamps
  - compare elements pairwise

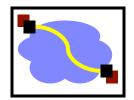


- Note that e →e' implies V(e)<V(e'). The converse is also true
- Can you see a pair of concurrent events; Can you infer they are concurrent from their vectors clocks?



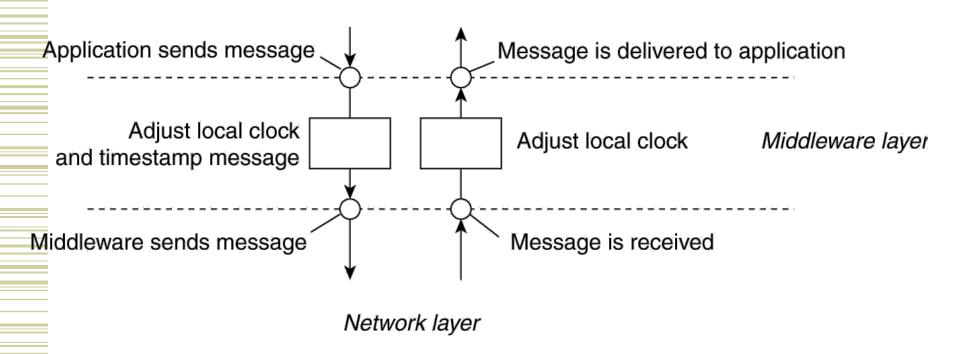
- Note that e →e' implies V(e)<V(e'). The converse is also true
- Can you see a pair of concurrent events?
  - *c* II *e* (concurrent) because neither  $V(c) \le V(e)$  nor  $V(e) \le V(c)$

# Implementing logical clocks



Positioning of logical timestamping in distributed systems.

Application layer



#### **Distributed time**

- Premise
  - The notion of time is well-defined (and measurable) at each single location
  - But the relationship between time at different locations is unclear
    - Can minimize discrepancies, but never eliminate them
- Reality
  - Stationary GPS receivers can get global time with < 1µs error</li>
  - Few systems designed to use this; logical clocks key mechanism for ordering
    - Recent exception: (Spanner system from Google)

#### **Important Points**

- Physical Clocks
  - Can keep closely synchronized, but never perfect
- Logical Clocks
  - Encode happens before relationship (necessary for causality)
  - Lamport clocks provide only one-way encoding
  - Vector clocks precedence necessary for causality (but not sufficient: could have been caused by some event along the path, not all events)