# NUMERATION MODELS OF AB-CALCULUS

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## NUMERATION MODELS OF $\lambda\beta$ -CALCULUS

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## Abstract

Numeration models of extensional  $\lambda$ -calculus have been studied (see [5,7]). In this paper, we study numeration models of  $\lambda\beta$ -calculus. Engeler's graph algebra construction [3] is applied to the category of numerations and is used as a tool to obtain numeration models of  $\lambda\beta$ -calculus. Several classes of numeration models are studied and several examples of them are presented.

§1.  $\lambda\beta$ -calculus

The  $\lambda\beta$ -calculus developed by Church [2] is the following formal system: Let V be a countable set of variables. A  $\lambda$ -term is either a variable  $x \in V$ , application (MN) of  $\lambda$ -terms M and N, or abstraction ( $\lambda x.M$ ) of a  $\lambda$ -term by a variable x. T denotes the set of all  $\lambda$ -terms.

We assume a natural meaning of a  $\lambda$ -term occurring in some other  $\lambda$ -term. An occurrence of a variable x in M is *bound* if it is inside a part of M of the form ( $\lambda x.M$ ). Otherwise it is *free*. For any terms M, L and a variable x, the result of substituting L for each free occurrence of x in M (and changing bound variables to avoid clashes) is denoted by M[x:=L].

The calculus has the following two reduction rules:

**Reduction** Rules

 $(\alpha):(\lambda x.M) \to (\lambda y.M[x:=y]) \quad \begin{array}{l} \text{x is not bound in M and} \\ \text{y does not occur in M} \end{array}$  $(\beta):((\lambda x.M)L) \to M[x:=L]$ 

By Gödel numbering variables and  $\lambda$ -terms we can realize constructions of  $\lambda$ -terms as a system of recursive functions. Let  $v:N \to V$  and  $\tau:N \to T$  be computable bijections. The syntax of  $\lambda$ -terms corresponds to the following system of recursive functions:

$$is - var(n) \iff \tau(n) \in V$$
  
 $is - apply(n) \iff \tau(n) = (ML) \text{ for some } M, L \in T$ 

$$is-abst(n) \iff \tau(n) = (\lambda x.M)$$
 for some  $x \in V$  and  $M \in T$ .  
 $\tau(inc(n)) = v(n)$   
 $is-var(n) \implies v(var(n)) = \tau(n)$   
 $is-apply(n) \implies \tau(apply(rator(n), rand(n))) = \tau(n)$   
 $is-abst(n) \implies \tau(abst(bound(n), body(n))) = \tau(n)$ .

#### §2. NUMERATION MODELS OF $\lambda\beta$ -CALCULUS

Definition 2.1. (Ersov [4]).

A numeration (of a set X) is a surjection  $\gamma: N \to X$ . A morphism from a numeration  $\gamma_1: N \to X_1$  to another  $\gamma_2: N \to X_2$  is a function  $f: X_1 \to X_2$  such that for some recursive function  $r_f$ ,  $f: \gamma_1 = \gamma_2 \cdot r_f$ . Such  $r_f$  is called a *realization* of f. In case  $r_f$  is primitive recursive, we say f is primitive.

It can readily be seen that numerations and morphisms form a category. (See Ersov [4]).

Let  $\gamma: N \to X$  be a numeration such that for some numeration  $\gamma \uparrow: N \to Hom(\gamma, \gamma), \ \gamma \triangleright \gamma \uparrow$  in the category of numerations. Let  $\upsilon: N \to V$  be the computable bijection discussed in §1. Furthermore let  $(\Phi: \gamma \to \gamma \uparrow, \Psi: \gamma \uparrow \to \gamma)$  be the retraction pair, i.e.  $\Phi(\Psi(f)) = f$ .

An environment (or valuation) is a primitive morphism from  $\nu$  to  $\gamma$ . We write Env to denote the set of all environments. Using a Gö del numbering  $\langle \psi_1 \rangle$ 

of primitive recursive functions  $N \rightarrow N$ , we can introduce a numeration  $\sigma: N \rightarrow$ Env as follows:

$$\sigma_1 = \rho$$
 where  $r_{\rho} = \psi_1$ 

It can readily be seen that updating an environment

$$\rho[x := d](z) = \text{if } x = z \text{ then } d \text{ else } \rho(z)$$

where  $x \in V$  and  $d \in X$  has a realization, i.e.

$$\sigma_{1}[v(n):=\gamma(m)]=\sigma_{update(1,n,m)}$$

for some recursive function  $update: N^3 \rightarrow N$ . In other word, updating operation is a morphism from  $\sigma \times \nu \times \gamma$  to  $\sigma$ .

## Definition 2.2.

Let  $\gamma$  be as above. We say  $\gamma$  is a numeration model of  $\lambda\beta$ -calculus iff the following interpretation function  $\xi$ :

$$\xi(\tau(n),\sigma_1) := \text{ if } is - var(n) \text{ then } \sigma_1(\tau(n))$$
  
else if  $is - apply(n)$  then  
$$\Phi(\xi(\tau(rator(n),\sigma_1))(\xi(\tau(rand(n)),\sigma_1))$$
  
else if  $is - abst(n)$  then  
$$\Psi(\lambda x \in X. \ \xi(\tau(body(n)),\sigma_1[\tau(bound(n)):=x]))$$

is well-defined and it is a morphism from  $\tau \times \sigma$  to  $\gamma$ .

 $\lambda x \in X. \xi(\tau(body(n)), \sigma, [\tau(bound(n)):=x])$  is a morphism from  $\gamma$  to  $\gamma$  realized by  $\lambda m \in N.r_{\xi}(body(n), update(i, bound(n), m))$ . Thus

$$\Psi(\lambda x \in X. \xi(\tau(body(n)), \sigma_1[\tau(bound(n)):=x]))$$

is defined. Furthermore the next theorem supports the relevance of this definition:

#### Theorem 2.3.

Let  $\gamma$  be a numeration model of  $\lambda\beta$ -calculus with an interpretation morphism  $\xi:\tau \times \sigma \rightarrow \gamma$ , then we have:

$$\tau(n) \xrightarrow{\lambda \sigma} \tau(m)$$
 implies for all  $i \in N$ ,  $\xi(\tau(n), \sigma_i) = \xi(\tau(m), \sigma_i)$ 

where  $\tau(n) \xrightarrow{\lambda \beta} \tau(m)$  means that  $\tau(n)$  can be reduced to  $\tau(m)$  by one of the reduction rules of  $\lambda \beta$ -calculus.

## Definition 2.4.

A numeration model  $\gamma$  is  $\lambda$ -representable iff there is a recursive function rep: N $\rightarrow$ N such that

$$\gamma(n) = \xi(\tau(rep(n)), \sigma_i)$$
 for all  $i \in N$ .

A  $\lambda$ -representable numeration model  $\gamma$  is  $\lambda$ -definable iff there is a recursive function *def* such that if a morphism f:  $\gamma \rightarrow \gamma$  is realized by a recursive function  $\phi_m$  then

$$f(\gamma(n)) = \xi((\tau(def(m))\tau(rep(n))), \sigma_i) \text{ for all } i \in N$$

where  $\langle \phi_i \rangle$  is a Gö del numbering of partial recursive functions.

Note. In a  $\lambda$ -representable numeration model  $\gamma$ :  $N \rightarrow X$ , every element of X can be represented by a closed  $\lambda$ -term. If  $\gamma$  is  $\lambda$ -definable then every morphism  $\gamma \rightarrow \gamma$ can be defined by some closed  $\lambda$ -term. Outstanding point here is that we can obtain such  $\lambda$ -term from a Gö del number of a recursive function which realizes the morphism.

#### §3. NUMERATED FUNCTIONAL DOMAINS

This section consists of modification of results in [5,7] for non extensional  $\lambda$ calculus. Proofs of theorems can easily be obtained by suitably modifying proofs in [5,7], thus they are omitted.

Definition 3.1.

Let  $\gamma_1: N \to X_1$  and  $\gamma_2: N \to X_2$  be numerations. A numberation  $\gamma: N \to Hom(\gamma_1, \gamma_2)$ is acceptable iff there are recursive functions realize, numerate:  $N \to N$  such that

(1) 
$$r_{\gamma(n)} = \phi_{realize(n)}$$

(2) if  $\phi_n$  realizes  $f: \gamma_1 \rightarrow \gamma_2$  then  $\gamma(numerate(n)) = f$ .

It can readily be seen that (1) is equivalent to the existence of a (universal) recursive function  $U: N^2 \rightarrow N$  such that

$$\gamma(n)(\gamma_1(m)) = \gamma_2(U(n,m)).$$

Also it is known that all acceptable numerations of  $Hom(\gamma_1,\gamma_2)$  are recursively isomorphic (see [5]). This means that there is at most one acceptable numeration of  $Hom(\gamma_1,\gamma_2)$ . Thus we write  $(\gamma_1 \rightarrow \gamma_2)$  to denote the acceptable numeration of  $Hom(\gamma_1,\gamma_2)$ , if any.

#### Definition 3.2.

A numerated functional domain (NFD) is a numeration  $\gamma$ : N $\rightarrow$ X satisfying:

- (1) The acceptable numeration  $(\gamma \rightarrow \gamma)$ : N $\rightarrow$ Hom $(\gamma, \gamma)$  exists.
- (2)  $\gamma \triangleright (\gamma \rightarrow \gamma)$  in the category of numerations.

#### Proposition 3.3.

If  $\gamma$ : N $\rightarrow$ X is an NFD then it is a numeration model of  $\lambda\beta$ -calculus.

The converse of 3.3 does not hold. The existence of an interpretation morphism is not strong enough to prove that  $\gamma^{\uparrow}$  is acceptable.

We can given an algebraic characterization of NFD's. A countable applicative system is an algebra  $(X, \cdot)$  where  $\cdot$  is a binary operation over a countable set X. The set T(X) of terms (using countably many variables  $x_0, x_1, ...$ ) over  $(X, \cdot)$  is inductively defined as follows:

 $x_i \in T(X)$ 

 $a \in X \implies a \in T(X)$ 

 $A, B \in T(X) \Rightarrow (A \cdot B) \in T(X).$ 

We assume that  $\cdot$  associates to the left, also we drop  $\cdot$  if it does not cause confusion. To denote that a term A has variables  $x_0, x_1, ..., x_n$ , we write  $A(x_0, x_1, ..., x_n)$ . Let  $\rho: N \rightarrow T(X)$  be a Gö del numbering of terms.

#### Definition 3.4.

A realizably combinatory algebra (RCA) is a 5-tuple  $(X, \cdot, \theta, \gamma, \rho)$  such that:

- (1)  $(X, \cdot)$  is a countable applicative system
- (2)  $\gamma: N \rightarrow X$  is a numeration
- (3)  $\cdot$  is a morphism from  $\gamma \times \gamma$  to  $\gamma$ .
- (4) There is a recursive function  $\lambda$  such that if  $\rho(n) = A(x_1,...,x_n)$  then  $\gamma(\lambda(n))$ = f is a unique element of X satisfying:

$$f y_1...y_n = A (x_1 := y_1,...,x_n := y_n)$$

where  $A(x_1:=y_1,...,x_n:=y_n)$  is the result of substituting  $y_i$  for  $x_i$  in A  $(1 \le i \le n)$ .

 $(5) \quad \theta x_0 x_1 = x_0 x_1$ 

 $\forall x \in X. (x_0 x = x_1 x) \Rightarrow \theta x_0 = \theta x_1$  $\theta \theta = \theta$ 

Definition 3.5.

An RCA  $(X, \cdot, \theta, \gamma, \rho)$  is computationally complete iff there is a recursive function alg such that if  $\phi_n$  realizes f:  $\gamma \rightarrow \gamma$  then  $\sigma(alg(n))$  is a term with a free variable, say x and

$$f(z) = (\sigma(alg(n)))(z := z)$$

Proposition 3.6. (Characterization Theorem I)

- (1) If  $(X, \cdot, \theta, \gamma, \rho)$  is a computationally complete RCA then  $\gamma$  is a NFD, where  $(\gamma \rightarrow \gamma): N \rightarrow Hom(\gamma, \gamma)$  is defined by  $(\gamma \rightarrow \gamma)(n) = \Phi(\gamma(n))$  where  $\Phi$  maps elements of X to functions X $\rightarrow$ X defined by  $\Phi(x)(y) = x \cdot y$ .
- (2) If  $\gamma: N \to X$  is a NFD with a retraction pair  $(\Phi:\gamma \to (\gamma \to \gamma), \Psi:(\gamma \to \gamma) \to \gamma)$  then  $(X, \cdot, \theta, \gamma, \rho)$  is a computationally complete RCA where  $\cdot$  is defined by:

$$x \cdot y = \Phi(x)(y).$$

and

$$\theta = \xi((\lambda x \lambda y. xy), \sigma_1).$$

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This proposition is a numeration version of Barendregt's [1] and Meyer's [9] result. It is very important to notice that the class of computationally complete RCA's (or equivalently NFD's) is not the same as the class of numeration models. This indicates a difference between numeration models and set theoretical models. As shown in Meyer [9], in set theoretical case, models of  $\lambda\beta$ -calculus are the same as combinatory algebras. This difference is due to the following reasons:

(1)  $\gamma \triangleright \gamma \dagger$  being a numeration model is not strong enough to imply

 $\gamma \uparrow: N \rightarrow Hom(\gamma, \gamma)$  being acceptable.

- (2) To obtain the corresponding numerated combinatory algebra from  $\gamma^{\uparrow}$ , it is crucial to have acceptability of  $\gamma^{\uparrow}$ .
- (3) To obtain a numeration model from a RCA, it is crucial to assume the computational completeness of the RCA.

#### §4. CHARACTERIZATION OF $\lambda$ -DEFINABLE NUMERATION MODELS

Even though we can not show good characterization of numeration models of  $\lambda\beta$ -calculus, we can nicely characterize  $\lambda$ -definable models as a sub-class of NFD's.

Definition 4.1.

A NFD  $\gamma$  is  $\lambda$ -representable iff there is a recursive function  $rep: N \rightarrow N$  such that:

$$\gamma(n) = \xi(\tau(rep(n)), \sigma_i) \text{ for all } i \in N.$$

where  $\xi$  is the interpretation morphism which makes  $\gamma$  a numeration model of  $\lambda$ calculus.

By a slite modification of arguments for extensional  $\lambda$ -calculus (see [7]), we have:

Theorem 4.2.

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If a numeration  $\gamma$  is a  $\lambda$ -definable numeration model then it is a  $\lambda$ -representable NFD.

#### Theorem 4.3.

If  $\gamma$  is a  $\lambda$ -representable NFD then it is a  $\lambda\beta$ -definable numeration model of  $\lambda$ -calculus.

Corollary 4.4. (Characterization Theorem II)

A numeration  $\gamma$  is a  $\lambda$ -definable numeration model iff it is a  $\lambda$ -representable NFD.

The proofs for these theorems establish the following relationship between acceptability of  $\gamma\uparrow$  and  $\lambda$ -definability of a numeration model  $\gamma$  of  $\lambda\beta$ -calculus:

(1) If  $\gamma$  is  $\lambda$ -definable then  $\gamma^{\dagger}$  is acceptable.

(2) If  $\gamma \uparrow$  is acceptable and  $\gamma$  is  $\lambda$ -representable then  $\gamma$  is  $\lambda$ -definable.

This correspondance supports the relevance of the concept of acceptable numerations of morphism spaces discussed in [5].

By adding an extra condition to computationally complete RCA, we can characterize  $\lambda$ -definable numeration models. A computationally complete RCA  $(X, \cdot, \theta, \gamma, \rho)$  is  $\lambda$ -representable iff there is a recursive function  $rep : N \rightarrow N$  such that

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$$\gamma(n) = \xi(\tau(rep(n)), \sigma_i)$$
 for all  $i \in N$ .

Theorem 4.5. (Characterization Theorem III)

A numeration  $\gamma: \mathbb{N} \to \mathbb{X}$  is a  $\lambda$ -definable numeration model iff (the corresponding)  $(X, \cdot, \theta, \gamma, \rho)$  is a  $\lambda$ -representable computationally complete RCA.

## §5. A NUMERATION MODEL CONSTRUCTION

A set theoretical construction of models of  $\lambda\beta$ -calculus is known (see Meyer [9] and Engeler [3]). We study a numeration version of this construction.

Before we prove the main result we present another characterization of RCA's.

#### Theorem 5.1.

 $(X, \cdot, \theta, \lambda, \rho)$  is a RCA iff  $(X, \cdot)$  is an applicative system such that  $\cdot$  is a morphism from  $\gamma \times \gamma$  to  $\gamma$  and there exist K,S  $\in$ X satisfying:

 $Kx_0x_1 = x_0 \tag{1}$ 

 $Sx_0x_1x_2 = (x_0x_2)(x_1x_2)$ <sup>(2)</sup>

$$\theta x_0 x_1 = x_0 x_1 \tag{3}$$

$$\forall x \in X. (x_0 x = x_1 x) \Rightarrow \theta x_0 = \theta x_1 \tag{4}$$

$$\theta\theta = \theta \tag{5}$$

*Proof.* Due to the constructiveness of the Curry's proof to establish equivalence between countable applicative system with K,S and combinatory complete applicative systems.

## Definition 5.2. (Engeler)

For any set X, define G(X) as follows:

$$G(X) = \bigcup G_n(X)$$

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where

$$G_0(X) = X$$
  

$$G_{n+1}(X) = G_n(X) \cup \{(\alpha \to b) \mid b \in G_n(X), \alpha: finite \text{ subset of } G_n(X)\}$$

where  $(\alpha \rightarrow b) = (\alpha, b)$ .

It can readily be seen that G(X) is the smallest set satisfying:

$$Y = X \cup \{(\alpha \to b) \mid b \in Y, \ \alpha: \text{ finite subset of } y \}.$$
(5.1)

This construct yields a numeration  $\gamma_{\chi}: N \to G(X)$  for a numeration  $\chi: N \to X$ .

Definition 5.3.

Let  $\chi: \mathbb{N} \to \mathbb{X}$  be a numeration. Define numerations  $\gamma_n: \mathbb{N} \to G_n(X)$  as follows:

 $\gamma_0 = \chi$ 

$$\gamma_{n+1}(2m) = \chi(m)$$

$$\gamma_{n+1}(2m+1) = (\gamma_n(\kappa(m_1)) \to \gamma_n(m_2))$$

where  $m = \langle m_1, m_2 \rangle$  and  $\kappa$  is the standard enumeration of finite sets of natural numbers. Finally let  $\gamma_{\chi}: N \to G(X)$  be the numeration of G(X) obtained by dovetailing  $\{\gamma_n\}$ .

#### Definition 5.4.

Let  $\gamma_X : N \to G(X)$  be as above. We say a subset  $S \subset G(X)$  is computable iff  $\{n \mid \gamma_X(n) \in S\}$  is a recursively enumerable set. We write CP(G(X)) to denote the set of all computable subsets of G(X).

Let  $\{W_m\}$  be a Gödel numbering of recursively enumerable sets. Using this Gödel numbering, we can introduce a numeration  $\pi_X: N \to CP(G(X))$  as follows:

$$\pi_{\chi}(m) = \gamma_{\chi}(W_m).$$

Definition 5.5.

For  $M, N \in CP(G(X))$ , define M\*N by:

 $M*N = \{b \mid \exists \beta.\beta: finite subset of N, (\beta \rightarrow b) \in M\}.$ 

#### Definition 5.6. (Ersov)

For any numeration  $\chi$ , we define an equivalence relation  $=_{\chi}$  over natural numbers by  $i =_{\chi} j$  iff  $\chi(i) = \chi(j)$ . We say  $\chi$  is *positive* if  $=_{\chi}$  is semi-decidable.

It can readily be seen that  $\gamma_{\chi}$  is positive iff  $\chi$  is positive.

Lemma 5.7.

\* is a morphism from  $\pi_{\chi} \times \pi_{\chi}$  to  $\pi_{\chi}$  if  $\chi$  is positive.

**Proof.**  $\pi_{\chi}(m) \times \pi_{\chi}(n) = \{\gamma_{\chi}(j) \mid \exists i. \gamma_{\chi}(\kappa(i)) \stackrel{fin}{\subset} \gamma_{\chi}(W_n), (\gamma_{\chi}(\kappa(i) \rightarrow \gamma_{\chi}(j) \in \gamma_{\chi}(W_m))\}$  where  $A \stackrel{fin}{\subset} B$  means A is a finite subset of B. Since  $\gamma_{\chi}$  is positive

$$\{j \mid \exists i. \gamma_{\chi}(\kappa(i)) \stackrel{fin}{\subset} \gamma_{\chi}(W_n), (\gamma_{\chi}(\kappa(i)) \rightarrow \gamma_{\chi}(j)) \in \gamma_{\chi}(W_m)\}$$

is r.e. Also we can compute a Gö del number of it from m and n.

Let  $\rho: N \to T(CP(G(X)))$  be a Gö del numbering of terms over CP(G(X)).

Theorem 5.8.

 $(CP(G(X)), *, \theta, \pi_{\chi}, \rho)$  is a RCA if  $\chi$  is positive

where  $\theta = \{(\alpha \rightarrow (\beta \rightarrow b)) \mid \alpha, \beta: finite subsets of G(X), b \in \alpha * \beta\}.$ 

**Proof.** First notice that  $\theta \in CP(G(X))$ . Define K,S by:

$$K = \{ (\alpha \to (\beta \to b)) \mid \alpha, \beta \subset G(X), b \in \alpha \}$$
$$S = \{ (\alpha \to (\beta \to (\gamma \to b))) \mid \alpha, \beta, \gamma \subset G(X), b \in (\alpha \gamma)(\beta \gamma) \}$$

Since  $\gamma_{\chi}$  is positive it can readily be seen that K,S are computable subsets of G(X). We have:

$$KMN = \{s \mid \exists \beta \subset N. \exists \alpha \subset M. (\alpha \rightarrow (\beta \rightarrow s)) \in K\}$$
$$= \{s \mid \exists \alpha \subset M. s \in \alpha\}$$
$$= M.$$

Similarly we can show:

$$SMNL = (ML)(NL).$$

 $\theta$  satisfying (3)  $\sim$  (5) of 5.1 can easily be checked. Thus by 5.1 we have established the theorem.

#### Theorem 5.9.

Let  $\chi$  be positive then  $(CP(G(X)), *, \theta, \pi_{\chi}, \rho)$  is computationally complete.

*Proof.* Let  $f: \pi_{\chi} \rightarrow \pi_{\chi}$  be a morphism realized by  $r_f = \phi_i$ . We have:

$$f(\pi_{\chi}(m)) = f(\gamma_{\chi}(W_{m}))$$
$$= \pi_{\chi}(r_{f}(W_{m}))$$
$$= \gamma_{\chi}(W_{r_{f}}(m))$$
$$= \gamma_{\chi}(\Phi_{h(1)}(W_{m}))$$

where h is a recursive function and  $\Phi_z$  is an enumeration operator with an index Z. Since  $\chi$  is positive,  $\gamma_{\chi}$  is positive and so there is a recursive function g s.t.

$$\pi_{\chi}(g \cdot h(i)) * \pi_{\chi}(m) = \gamma_{\chi}(W_{r_{f}}(m))$$

The language of  $\lambda\beta$ -calculus is too weak to represent all elements of CP(G(X)) by closed terms.

#### §6. EXAMPLES OF NUMERATION MODELS

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It is known that as an immediate consequence of Church-Rosser Theorem, we can construct a countable model of  $\lambda\beta$ -calculus. The model construction can be sketched as follows: Let  $\equiv$  be the smallest equivalence relation over T, containing reduction rules of  $\lambda\beta$ -calculus. Let  $TM = \{|t| | t \in T\}$  where [t] is the equivalence class of t with respect to  $\equiv$ . A term  $f \in T$  determines a function  $\overline{f}: TM \to TM$  such that

$$\overline{f}([t]) = [(ft)].$$

Let  $(TM \to TM) = \{\overline{f} \mid f \in T\}$ . Then  $\Phi: TM \to (TM \to TM)$  and  $\Psi: (TM \to TM) \to TM$ given by:

$$\Phi([t]) = \overline{t}$$
$$\Psi(\overline{f}) = [f]$$

establish a retraction  $TM \triangleright (TM \rightarrow TM)$ . This retraction allows us to form a model of  $\lambda\beta$ -calculus. For details see Barendregt [1].

Now let  $\gamma: N \rightarrow TM$  be the following numeration of TM:

$$\gamma(n) = [\tau(n)].$$

It can be shown that  $(TM \to TM) = Hom(\gamma, \gamma)$ . Now let  $\gamma \uparrow : N \to Hom(\gamma, \gamma)$  be the following numeration:

$$\gamma^{\dagger}(n) = \overline{\tau(n)}.$$

It can readily be seen that the following holds:

(1)  $\gamma \uparrow: N \to Hom(\gamma, \gamma)$  is acceptable, thus  $\gamma \uparrow = (\gamma \to \gamma)$ .

(2)  $\gamma \triangleright (\gamma \rightarrow \gamma)$  is the category of numeration.

Thus by 3.3  $\gamma \triangleright (\gamma \rightarrow \gamma)$  is a numeration model of  $\lambda \beta$ -calculus.

Notice that this  $\gamma$  is not a  $\lambda$ -definable model, for if t is an open term then [t] can not be represented by a closed term.

Also notice that this numeration model does not follow from the construction of §5.

#### (Example 2): Graph Models

As observed in the previous section, for any positive numeration  $\chi: N \to X$ , (CP (G (X)), \*,  $\theta, \pi_{\chi}, \rho$ ) is a computationally complete RCA.

#### (Example 3): RE model.

RE model is a slight variant of numeration version of Engeler's graph models. This model due to Scott [10] is outstanding because it is  $\lambda$ -definable for a suitably expanded  $\lambda$ -terms.

Let RE be the set of all recursively enumerable sets of natural numbers. Let  $\gamma: N \rightarrow RE$  be a Gö del numbering of recursively enumerable sets. For each  $u \in RE$ , let  $f un(u): RE \rightarrow RE$  be the following continuous function:

$$f un (u)(x) = \{m \mid \exists n. \kappa(n) \subset \chi, (n,m) \in u\}$$

where  $\kappa$  is the standard enumeration of finite subsets of N. Due to u, x being recursively enumerable,  $fun(u)(x) \in RE$ . In fact fun(u) is an enumeration operator. Define a numeration  $\gamma \uparrow: N \rightarrow fun(RE)$  by:

$$\gamma \uparrow (i) = f un(\gamma(i)).$$

It can readily be seen that

$$f un (RE) = Hom (\gamma, \gamma).$$

Furthermore we can show that  $\gamma^{\dagger}$  is acceptable, thus  $\gamma^{\dagger} = (\gamma \rightarrow \gamma)$ . Now let  $graph: fun(RE) \rightarrow RE$  be the following function:

$$graph(f) = \{(n,m) \mid m \in f(\kappa(n))\}.$$

Notice that  $f \in fun(RE)$  implies  $graph(f) \in RE$ . It can readily be seen that fun and graph are morphisms  $\gamma \rightarrow (\gamma \rightarrow \gamma)$  and  $(\gamma \rightarrow \gamma) \rightarrow \gamma$  respectively. Furthermore  $graph \cdot fun = id_{RE}$ . Thus  $\gamma \triangleright (\gamma \rightarrow \gamma)$  in the category of numeration. Thus  $\gamma$  is NFD.

Let us add the following constant symbols to the syntax of  $\lambda$ -terms:

0, s , p , cond ,

and let us interpret them as follows:

 $0 = \{0\}$   $s(x) = \{n+1 \mid n \in x\}$   $p(x) = \{n \mid n+1 \in x\}$  $cond(x)(y)(z) = \{n \mid n \in x, 0 \in z\} \cup \{m \mid m \in y, \exists k.k+1 \in z\}.$ 

As shown in [10], every element of RE and  $Hom(\gamma,\gamma)$  can be denoted by closed  $\lambda$ terms of this expanded language. We can modify the interpretation morphism for this expansion. The results of §3 and §4 hold for this expanded  $\lambda\beta$ -calculus.

Notice that to within isomorphism through Gödel numbering of finite subsets of N and pairing, N satisfies the equation (5.1). Furthermore, CP(N) = RE.

Thus RE model is essentially the same as the graph model obtained from an initial numeration  $id_N: N \rightarrow N$ . An intensive study of relations between RE model and graph models can be found in Longo [8].

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