

# CPSC 213

## Introduction to Computer Systems

### Unit 0 Introduction

## Overview of the course

- ▶ Hardware context of a single executing program
  - hardware context is CPU and Main Memory
  - develop CPU architecture to implement C and Java
  - differentiate compiler (static) and runtime (dynamic) computation
- ▶ System context of multiple executing programs with IO
  - extend context to add IO, concurrency and system software
  - thread abstraction to hide IO asynchrony and to express concurrency
  - synchronization to manage concurrency
  - virtual memory to provide multi-program, single-system model
  - hardware protection to encapsulate operating system
  - message-passing to communicate between processes and machines

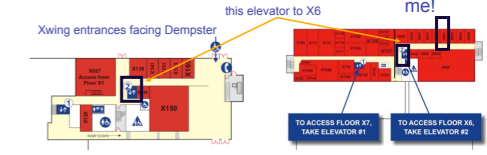
**GOAL: To develop a model of computation that is rooted in what really happens when programs execute.**

## What you will get out of this ...

- ▶ Become a better programmer by
  - deepening your understand of how programs execute
  - learning to build concurrent and distributed programs
- ▶ Learn to design real systems by
  - evaluating design trade-offs through examples
  - distinguish static and dynamic system components and techniques
- ▶ Impress your friends and family by
  - telling them what a program *really* is

## About the Course - Logistics

- ▶ it's all on the web page ...
  - <http://www.ugrad.cs.ubc.ca/~cs213/winter12t1>
    - news, admin details, schedule and readings
    - lecture slides (always posted before class)
    - 213 Companion (free PDF)
    - Piazza for discussion
    - marks (coming soon) secure download
  - updated often, don't forget to reload page!
- ▶ me
  - instructor: Tamara Munzner
    - call me Tamara or Dr. Munzner, as you like
    - office hours in X661 Mon/Fri 9-10am or by appointment



## Reading

- ▶ see web page for exact schedule
- ▶ textbook: Bryant and O'Hallaron
  - also used in CPSC 313 followon course
  - ok to use either 1st or 2nd edition (very little difference for us)
- ▶ 213 Companion
  - additional reading; PDF posted on web page

## Course Policies

- ▶ read <http://www.ugrad.cs.ubc.ca/~cs213/policies.html>
- ▶ marking
  - assignments: 20%
    - 9 labs/assignments (same thing, no separate lab material)
    - usually one week for each, out Monday morning and due next Monday 6pm
      - exceptions for exam weeks, to give you time for studying
  - quizzes: 30%
    - Oct 15, Nov 5
  - final: 50%
    - date TBD, do not book tickets out of town until announced!
  - must pass labs and quizzes and final (50% or better) to pass course
- ▶ assignments
  - critical for learning material
  - they build on each other; don't fall behind
  - come get help if you get stuck - labs, office hours...

## Scaling and Regrading

- ▶ I often scale exams
  - so don't panic if it seems hard while you're taking it!
- ▶ regrading
  - detailed argument in writing required (email or paper)
  - read through solutions first; no requests accepted until 24 hours after work is returned
  - email TA first for assignments, then instructor if not resolved
  - bring paper to instructor for quizzes/midterms

## Late/Missed Work, Illness

- ▶ late work penalty
  - 25% first day (or fraction of day)
  - 50% second day (or fraction thereof)
  - no late work accepted after 48 hrs
    - no exceptions
    - handin drafts early, handin often: do not wait until last minute!
    - check what you have handed in!
- ▶ email me immediately if you'll miss lab/exam from illness
- ▶ written documentation due within 7 days after you return to school
  - copy of doctor's note or other proof (ICBC accident report, etc)
  - written cover sheet with dates of absence and list of work missed
- ▶ I'll decide on how to handle
  - might give extension if solutions not out yet
  - might grade you only on completed work

## Cheating: Things I Never Want To Hear

- ▶ read <http://www.ugrad.cs.ubc.ca/~cs213/cheat.html>
- ▶ Cheating: The List Of Things I Never Want To Hear Again
  - read this page, ask if you have any questions!
  - you must sign statement that you have read and completely understood this page before turning in assignments
  - <http://www.cs.ubc.ca/~tmm/courses/cheat.html>
- ▶ the bottom line
  - the fundamental reason not to cheat is you don't learn the material
  - you need to work through the labs yourself to learn this stuff!
  - if you cheat on the labs, you will fail the exams

## Course-Specific Guidelines

- ▶ work together and help each other - **but don't cheat!**
  - never present anyone else's work as your own
  - but, don't let this stop you from helping each other learn...
    - general discussion always fine
    - one-hour context switch rule for specific discussions (Gilligan's Island rule)
      - don't take written notes
      - do something else for an hour
      - then sit down to do the work on your own
    - proper attribution
      - include list of names if you had significant discussions with others
  - not allowed
    - working as a team and handing in joint work as your own
    - looking at somebody else's paper or smuggling notes into exam
    - getting or giving code, electronically or hardcopy
    - typing in code from somebody else's screen
    - using code from previous terms
    - paying somebody to write your code
  - it's a bad idea: you don't learn the stuff, and we'll probably catch you
    - I do prosecute, so that it's a level playing field for everybody else
    - possible penalties: 0 for the work, 0 for the course, permanent notation in transcript, suspended...

## What do you know now?

## What happens when a program runs

- ▶ Here's a program

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```
- ▶ What do you understand about the execution of **insert**?

### ▶ Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called
- ▶ Data structures
  - draw a diagram of the data structures
  - as they exist just before insert is called

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assuming list[] was initialized to store 10 elements:  
list = new Integer[10];

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### Data structures

- let's dig a little deeper
- which of these existed before program started?
  - these are the **static** features of the program
- which were created by execution of program?
  - these are the **dynamic** features of the program

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```

**Static:**  
\* class and aList variable (sort of - clearer in C)

**Dynamic:**  
\* SortedList object  
\* size and list variables  
\* value of aList, size and list  
\* list of 10 integers

### Execution of insert

- how would you describe this execution?
- carefully, step by step?

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### Sequence of Instructions

- \* program order
- \* changed by control-flow structures

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[execute SortedList.aList.insert(6)]
aValue = 6
i = 0
if list[i]>aValue goto end-while (1>6)
i = 0+1 (1)
if list[i]>aValue goto end-while (3>6)
i = 1+1 (2)
if list[i]>aValue goto end-while (5>6)
i = 2+1 (3)
if list[i]>aValue goto end-while (7>6)
end-while: j = size-1 (4)
if j<i goto end-for (4<3)
list[j+1] = list[j] (list[5]=9)
j = 4-1 (3)
if j<i goto end-for (3<3)
list[j+1] = list[j] (list[4]=7)
j = 3-1 (2)
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list[j] = aValue (list[3] = 6)
size = size+1 (6)
[statement after SortedList.aList.insert(6)]
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**Instruction Types?**

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```

**Instruction Types?**

- \* read/write variable
- \* arithmetic
- \* conditional goto

## Execution: What you Already Knew

### Data structures

- variables have a storage location and a value
- some variables are created before the program starts
- some variables are created by the program while it runs
- variable values can be set before program runs or by the execution

### Execution of program statements

- execution is a sequence of steps
- sequence-order can be changed by certain program statements
- each step executes an instruction
- instructions access variables, do arithmetic, or change control flow

# An Overview of Computation

## Reading

### Companion

- 1, 2.1

## Phases of Computation

- Human creation**
  - design program and describe it in high-level language
- Compilation**
  - convert high-level, human description into machine-executable text
- Execution**
  - a physical machine executes the text
  - parameterized by input values that are unknown at compilation
  - producing output values that are unknowable at compilation
- Two important initial definitions**
  - anything that can be determined **before execution** is called **static**
  - anything that can only be determined **during execution** is called **dynamic**

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## Examples of Static vs Dynamic State

### Static state in Java

- class and aList variable

### Dynamic state in Java

- SortedList object
- size and list variables
- value of aList, size and list
- list of 10 integers

# A Simple Machine that can Compute

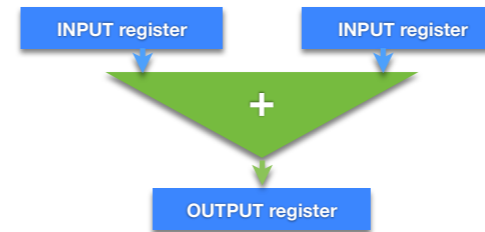


- ▶ **Memory**
  - stores programs and data
  - everything in memory has a unique name: its memory location (**address**)
  - two operations: read or write value at location X
- ▶ **CPU**
  - machine that executes programs to transform memory state
  - reads program from memory on demand one step at a time
  - each step may also read or write memory
- ▶ **Not in the Simple Machine**
  - I/O Devices such as mouse, keyboard, graphics, disk and network
  - we will deal with these other things in the second half of the course

# The Simple Machine Model A Closer Look

# How do we start?

- ▶ One thing we need to do is add integers
  - you already know how to do this from 121 (hopefully :))
- ▶ A 32-bit Adder
  - implemented using logic gates implemented by transistors
  - it adds bits one at a time, with carry-out, just like in grade 2.

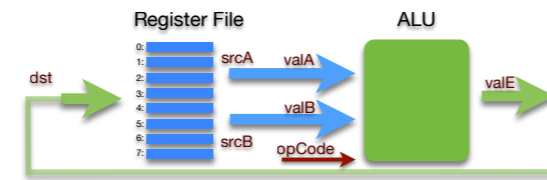


# Generalizing the Adder

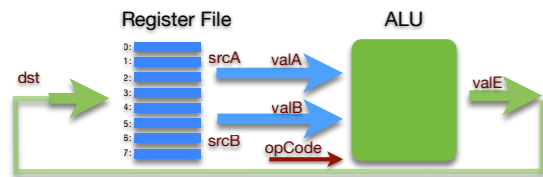
- ▶ What other things do we want to do with Integers
- ▶ What do we do with the value in the output register

# Register File and ALU

- ▶ **Arithmetic and Logic Unit (ALU)**
  - generalizes ADDER to perform many **operations** on integers
  - three inputs: two source **operands** (valA, valB) and an **operation code** (opCode)
  - output value (valE) = operation-code (operand<sub>0</sub>, operand<sub>1</sub>)
- ▶ **Register File**
  - generalizes input and output registers of ADDER
  - a single bank of registers that can be used for input or output
  - registers **named** by **numbers**: two source (srcA, srcB) and one destination (dst)

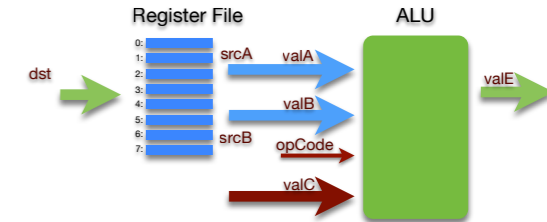
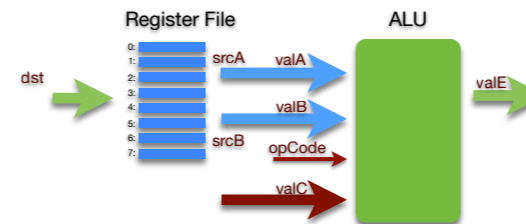


- ▶ **Functional View**
  - input for one step: opCode, srcA, srcB, and dst
  - a program is a sequence of these steps (and others)



# Putting Initial Values into Registers

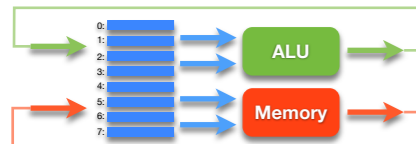
- ▶ Current model is too restrictive
  - to add two numbers the numbers must be in registers
  - programs must specify values explicitly
- ▶ Extend model to include **immediates**
  - an **immediate value** is a constant specified by a program instruction
  - extend model to allow some instructions to specify an immediate (valC)



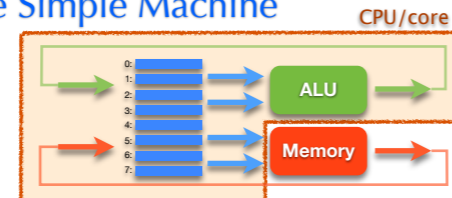
- ▶ **Functional View**
  - we now have an additional input, the immediate value, valC

# Memory Access

- ▶ **Memory is**
  - an array of bytes, indexed by byte **address**
- ▶ **Memory access is**
  - restricted to a transfer between registers and memory
  - the ALU is thus unchanged, it still takes operands from registers
  - *this is approach taken by Reduced Instruction Set Computers (RISC)*
- ▶ **Extending model to include RISC-like memory access**
  - opcode selects from set of memory-access and ALU operations
  - memory address and value are in registers

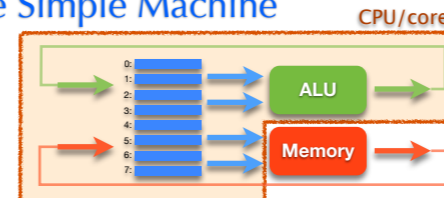


# The Simple Machine

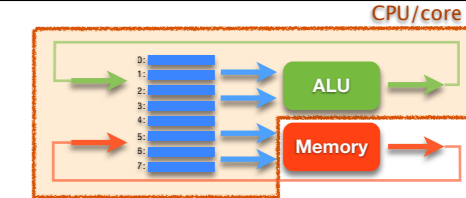


- ▶ **Central Processing Unit or Core (CPU)**
  - a register file
  - logic for ALU, memory access and control flow
  - a clock to sequence instructions
  - memory **cache** of some active parts of memory (e.g., instructions)
- ▶ **Memory**
  - is too big to fit on the CPU chip, so it is stored off chip
  - much slower than registers or cache (200 x slower than registers)

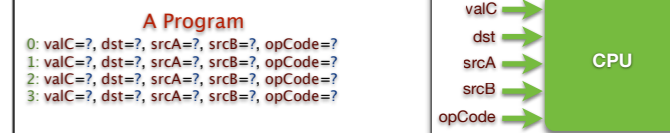
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- ▶ **A Program**
  - sequence of instructions stored in memory
- ▶ **An Instruction**
  - does one thing: math, memory-register transfer, or flow control
  - specifies a value for each of the functional inputs

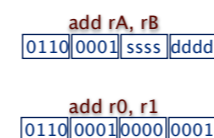


# Instruction Set Architecture (ISA)

- ▶ The ISA is the “interface” to a processor implementation
  - defines the instructions the processor implements
  - defines the format of each instruction
- ▶ **Instruction format**
  - is a set of bits (a number)
  - an opcode and set of operand values
- ▶ **Types of instruction**
  - math
  - memory access
  - control transfer (gotos and conditional gotos)
- ▶ **Design alternatives**
  - simplify compiler design (CISC such as Intel Architecture 32)
  - simplify processor implementation (RISC)
- ▶ **Assembly language**
  - symbolic representation of machine code

# Example Instruction: ADD

- ▶ **Description**
  - **opCode = 61**
  - two source operands in registers: srcA = rA, srcB = rB
  - put destination in register: dst = rB
- ▶ **Assembly language**
  - general form: **add rA, rB**
  - e.g., **add r0, r1**
- ▶ **Instruction format**
  - 16 bit number, divided into 4-bit chunks: 61sd
  - high-order 8 bits are opCode (61)
  - next 4 bits are srcA (s)
  - next 4 bits are srcB/dst (d)



# Simulating a Processor Implementation

- ▶ **Java simulator**
  - edit/execute assembly-language
  - see register file, memory, etc.
- ▶ **You will implement**
  - the **fetch + execute** logic
  - for every instruction in SM213 ISA
- ▶ **SM213 ISA**
  - developed as we progress through key language features
  - patterned after *MIPS* ISA, one of the 2 first RISC architectures

