

# CPSC 213

## **Introduction to Computer Systems**

*Unit 0*

***Introduction***



# Reading

- ▶ see web page for exact schedule
- ▶ textbook: Bryant and O'Hallaron
  - also used in CPSC 313 followon course
  - ok to use either 1st or 2nd edition (very little difference for us)

# Course Policies

▶ read <http://www.ugrad.cs.ubc.ca/~cs213/winter11t2/policies.html>

## ▶ marking

- labs: 20%

- 10 labs/assignments (same thing, no separate lab material)
- one week for each, usually out Monday morning and due next Monday 6pm

- quizzes: 30%, best 3 out of 4

- Jan 27, Feb 10, Mar 2, Mar 23: first ~20 min of class

- final: 50%

- date TBD. do not book tickets out of town until announced!

- must pass labs and quizzes and final (50% or better) to pass course

## ▶ regrading

- detailed argument in writing required

- wait 24 hours after work/solutions returned

- email TA first for assignments, then instructor if not resolved

- bring paper to instructor for quizzes/midterms

# Late/Missed Work, Illness

- ▶ late work penalty is 20% each day (or fraction of day)
  - no exceptions
  - handin drafts early, handin often: do not wait until last minute!
  - check what you have handed in!
- ▶ email me immediately if you'll miss lab/exam from illness
- ▶ written documentation due within 7 days after you return to school
  - copy of doctor's note or other proof (ICBC accident report, etc)
  - written cover sheet with dates of absence and list of work missed
- ▶ I'll decide on how to handle
  - might give extension if solutions not out yet
  - might grade you only on completed work

# Plagiarism and Cheating

- ▶ work together and help each other! ***but don't cheat!***
  - never present anyone else's work as your own
  - but, don't let this stop you from helping each other learn...
    - general discussion always fine
    - one-hour context switch rule for specific discussions (Gilligan's Island rule)
      - don't take written notes
      - do something else for an hour
      - then sit down to do the work on your own
    - proper attribution
      - include list of names if you had significant discussions with others
  - not allowed
    - working as a team and handing in joint work as your own
    - looking at somebody else's paper or smuggling notes into exam
    - getting or giving code, electronically or hardcopy
    - typing in code from somebody else's screen
    - using code from previous terms
    - paying somebody to write your code
  - it's a bad idea: you don't learn the stuff, and we'll probably catch you
    - I do prosecute, so that it's a level playing field for everybody else
    - possible penalties: 0 for the work, 0 for the course, permanent notation in transcript, suspended...

A Program is a Machine

But, how does it work?

# Overview of the course

- ▶ **Hardware context of a single executing program**
  - hardware context is CPU and Main Memory
  - develop CPU architecture to implement C and Java
  - differentiate compiler (static) and runtime (dynamic) computation
- ▶ **System context of multiple executing programs with IO**
  - extend context to add IO, concurrency and system software
  - thread abstraction to hide IO asynchrony and to express concurrency
  - synchronization to manage concurrency
  - virtual memory to provide multi-program, single-system model
  - hardware protection to encapsulate operating system
  - message-passing to communicate between processes and machines

**GOAL: To develop a model of computation that is rooted in what really happens when programs execute.**



# What you will get out of this ...

- ▶ Become a better programmer by
  - deepening your understand of how programs execute
  - learning to build concurrent and distributed programs
- ▶ Learn to design real systems by
  - evaluating design trade-offs through examples
  - distinguish static and dynamic system components and techniques
- ▶ Impress your friends and family by
  - telling them what a program *really* is

What do you know now?

# What happens when a program runs

- ▶ Here's a program

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

- ▶ What do you understand about the execution of **insert**?

## ▶ Example

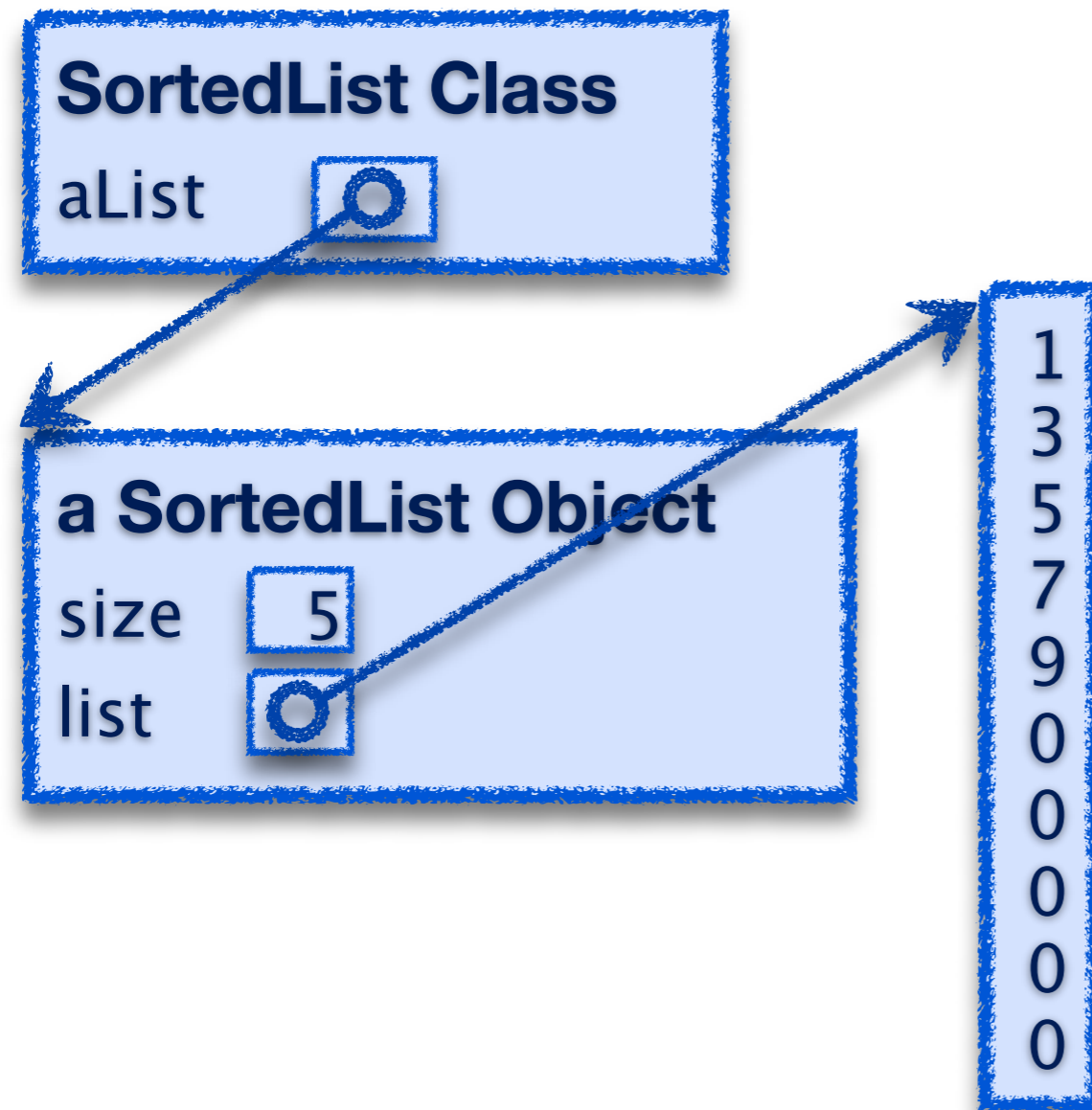
- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called

## ▶ Data structures

- draw a diagram of the data structures
- as they exist just before insert is called

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```



assuming list[] was initialized to store 10 elements:

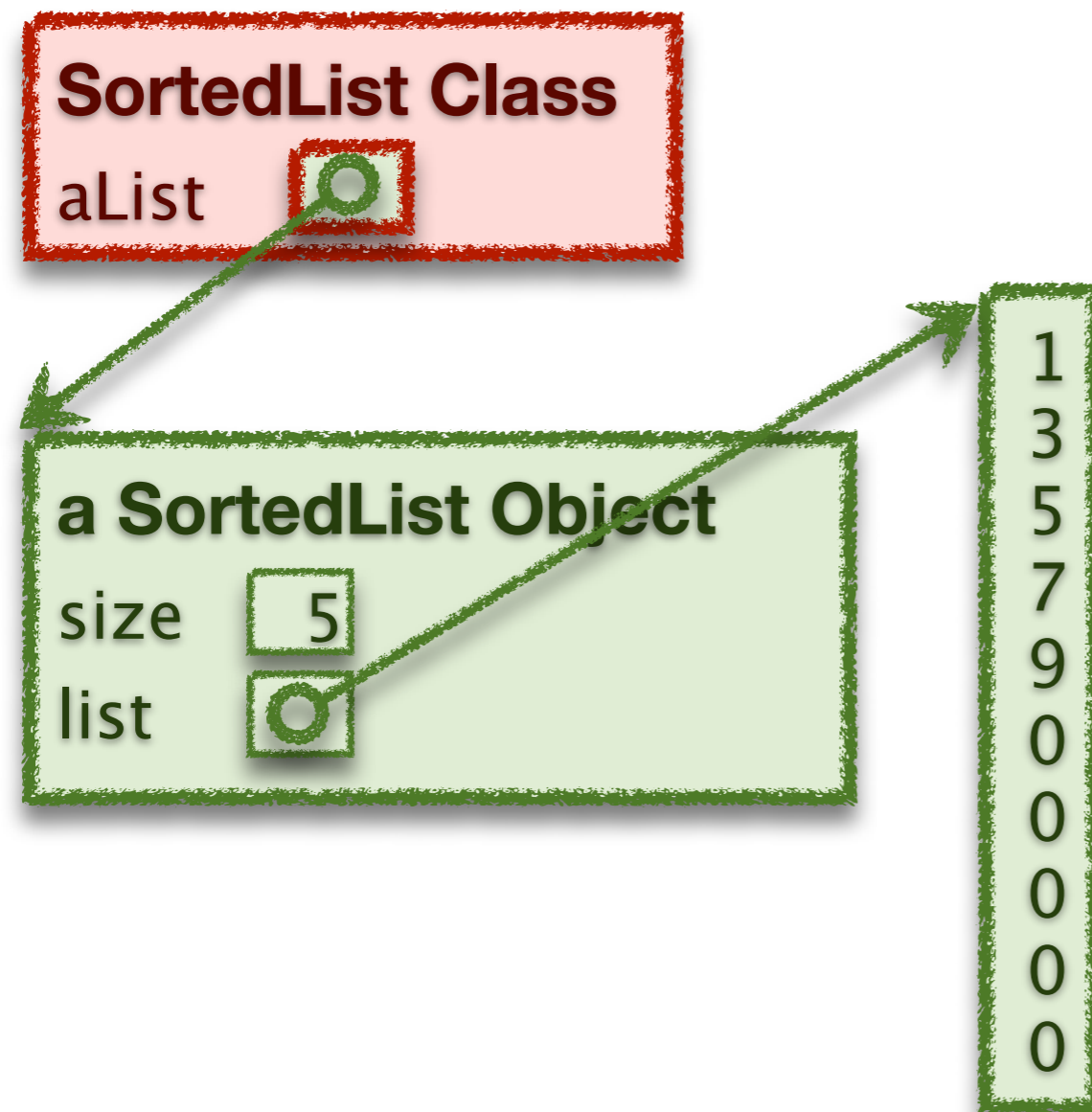
```
list = new Integer[10];
```

# ▶ Data structures

- let's dig a little deeper
- which of these existed before program started?
  - these are the **static** features of the program
- which were created by execution of program?
  - these are the **dynamic** features of the program

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```



## Static:

- \* class and aList variable (sort of – clearer in C)

## Dynamic:

- \* SortedList object
- \* size and list variables
- \* value of aList, size and list
- \* list of 10 integers

## ▶ Execution of insert

- how would you describe this execution?
- carefully, step by step?

## Sequence of Instructions

- \* program order
- \* changed by control-flow structures

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

```
[execute SortedList.aList.insert(6)]
aValue = 6
i = 0
if list[i]>aValue goto end-while (1>6)
i = 0+1 (1)
if list[i]>aValue goto end-while (3>6)
i = 1+1 (2)
if list[i]>aValue goto end-while (5>6)
i = 2+1 (3)
if list[i]>aValue goto end-while (7>6)
end-while: j = size-1 (4)
if j<i goto end-for (4<3)
list[i+1] = list[i] (list[5]=9)
j = 4-1 (3)
if j<i goto end-for (3<3)
list[i+1] = list[i] (list[4]=7)
j = 3-1 (2)
if j<i goto end-for (2<3)
end-for: list[i] = aValue (list[3] = 6)
size = size+1 (6)
[statement after SortedList.aList.insert(6)]
```

1  
3  
5  
7  
9  
0  
0  
0  
0  
0

## Instruction Types?

- \* read/write variable
- \* arithmetic
- \* conditional goto

# Execution: What you Already Knew

## ▶ Data structures

- variables have a storage location and a value
- some variables are created before the program starts
- some variables are created by the program while it runs
- variable values can be set before program runs or by the execution

## ▶ Execution of program statements

- execution is a sequence of steps
- sequence-order can be changed by certain program statements
- each step executes an instruction
- instructions access variables, do arithmetic, or change control flow

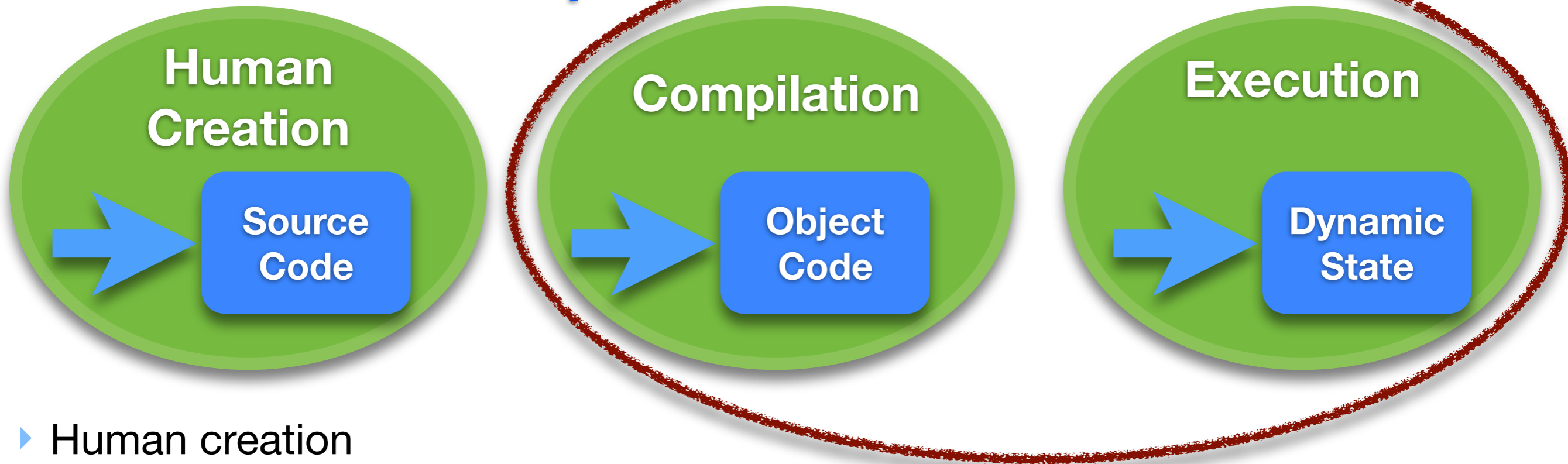
# An Overview of Computation



# Reading

- ▶ Companion
  - 1, 2.1

# Phases of Computation



## ▶ Human creation

- design program and describe it in high-level language

## ▶ Compilation

- convert high-level, human description into machine-executable text

## ▶ Execution

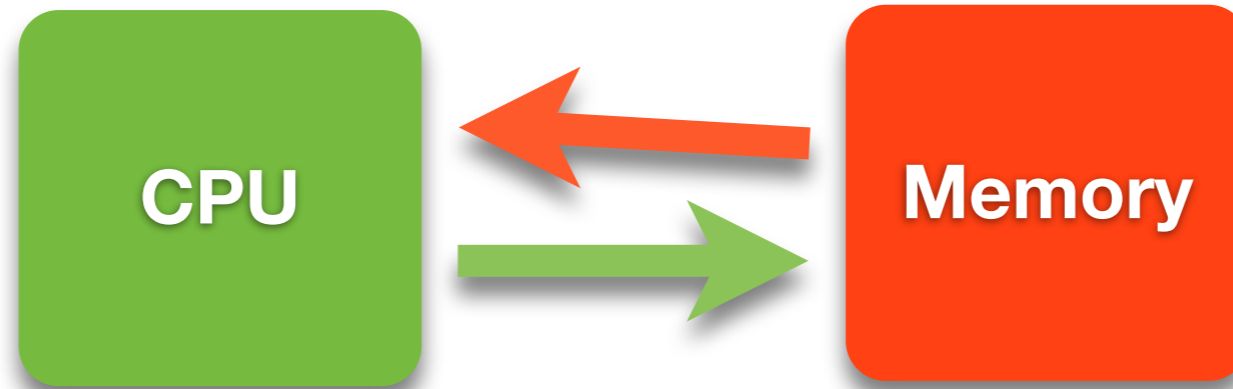
- a physical machine executes the text
- parameterized by input values that are unknown at compilation
- producing output values that are unknowable at compilation

## ▶ Two important initial definitions

- anything that can be determined **before execution** is called ***static***
- anything that can only be determined **during execution** is called ***dynamic***



# A Simple Machine that can Compute



## ▶ Memory

- stores programs and data
- everything in memory has a unique name: its memory location (**address**)
- two operations: read or write value at location X

## ▶ CPU

- machine that executes programs to transform memory state
- reads program from memory on demand one step at a time
- each step may also read or write memory

## ▶ Not in the Simple Machine

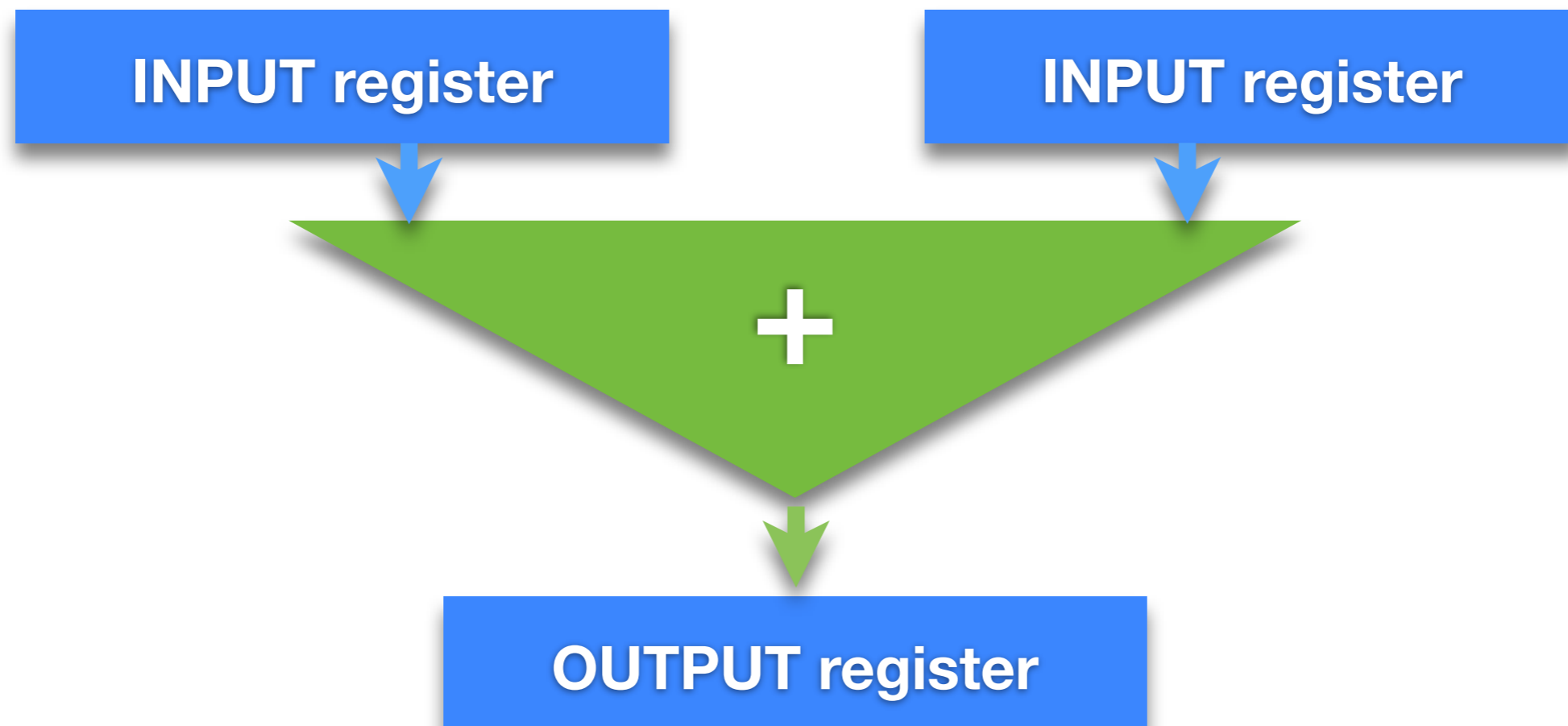
- I/O Devices such as mouse, keyboard, graphics, disk and network
- we will deal with these other things in the second half of the course

# The Simple Machine Model

## A Closer Look

# How do we start?

- ▶ One thing we need to do is add integers
  - you already know how to do this from 121 (hopefully :))
- ▶ A 32-bit Adder
  - implemented using logic gates implemented by transistors
  - it adds bits one at a time, with carry-out, just like in grade 2.





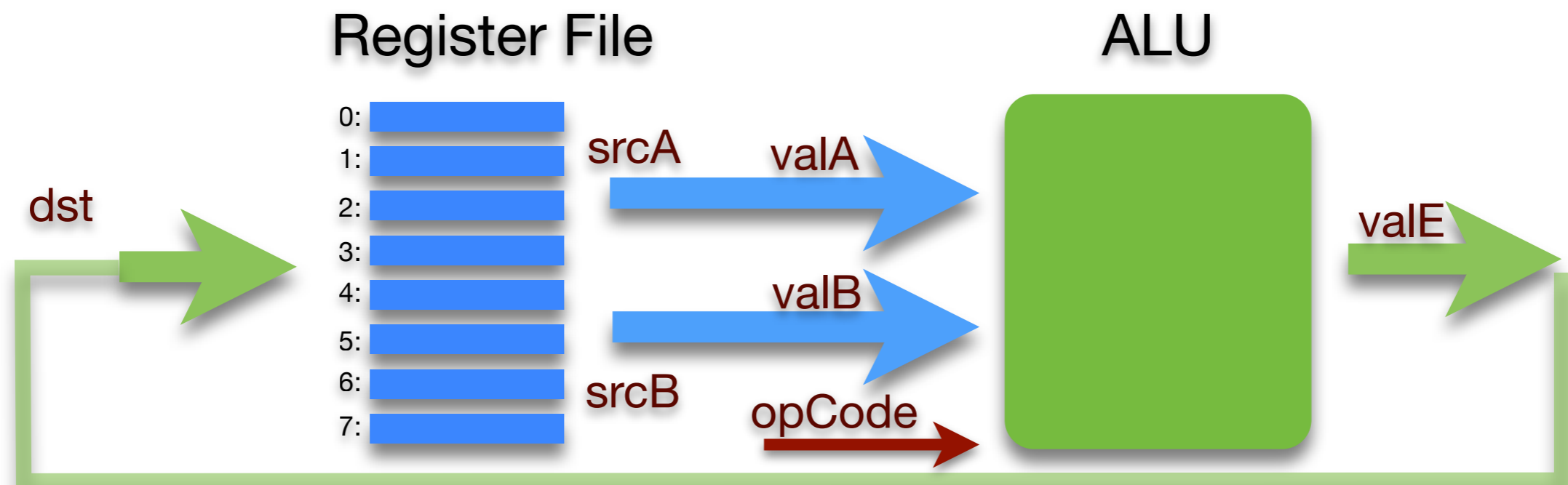
# Register File and ALU

## ▶ Arithmetic and Logic Unit (ALU)

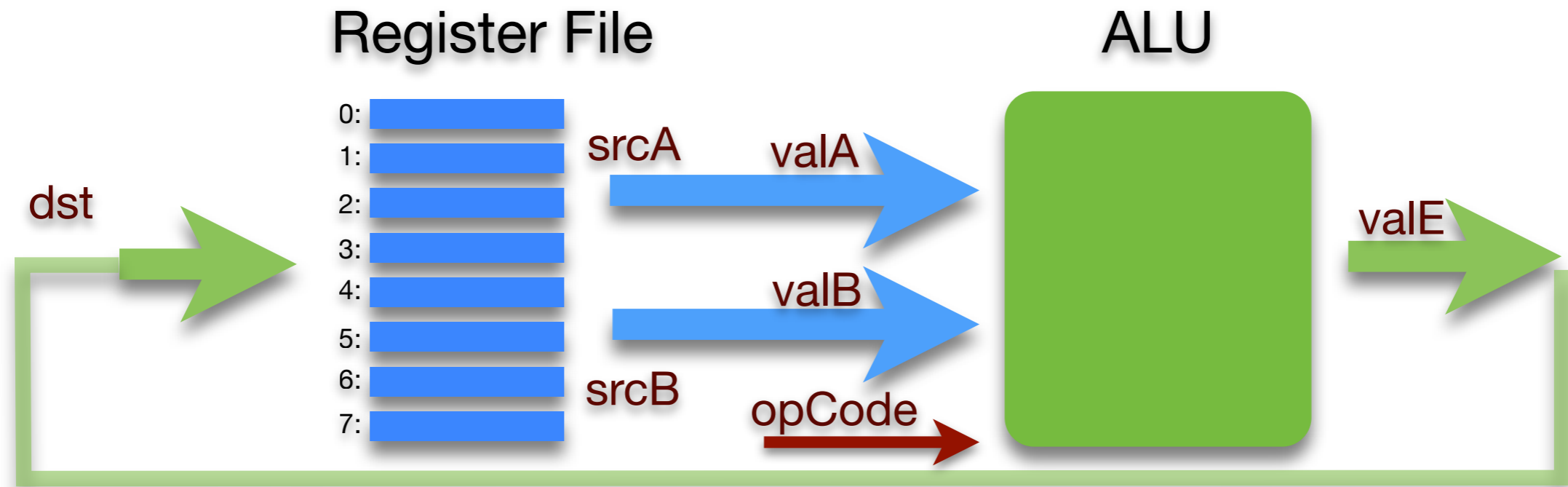
- generalizes ADDER to perform many **operations** on integers
- three inputs: two source **operands** (**valA**, **valB**) and an **operation code** (**opCode**)
- output value (**valE**) = operation-code (operand<sub>0</sub>, operand<sub>1</sub>)

## ▶ Register File

- generalizes input and output registers of ADDER
- a single bank of registers that can be used for input or output
- registers **named** by **numbers**: two source (**srcA**, **srcB**) and one destination (**dst**)







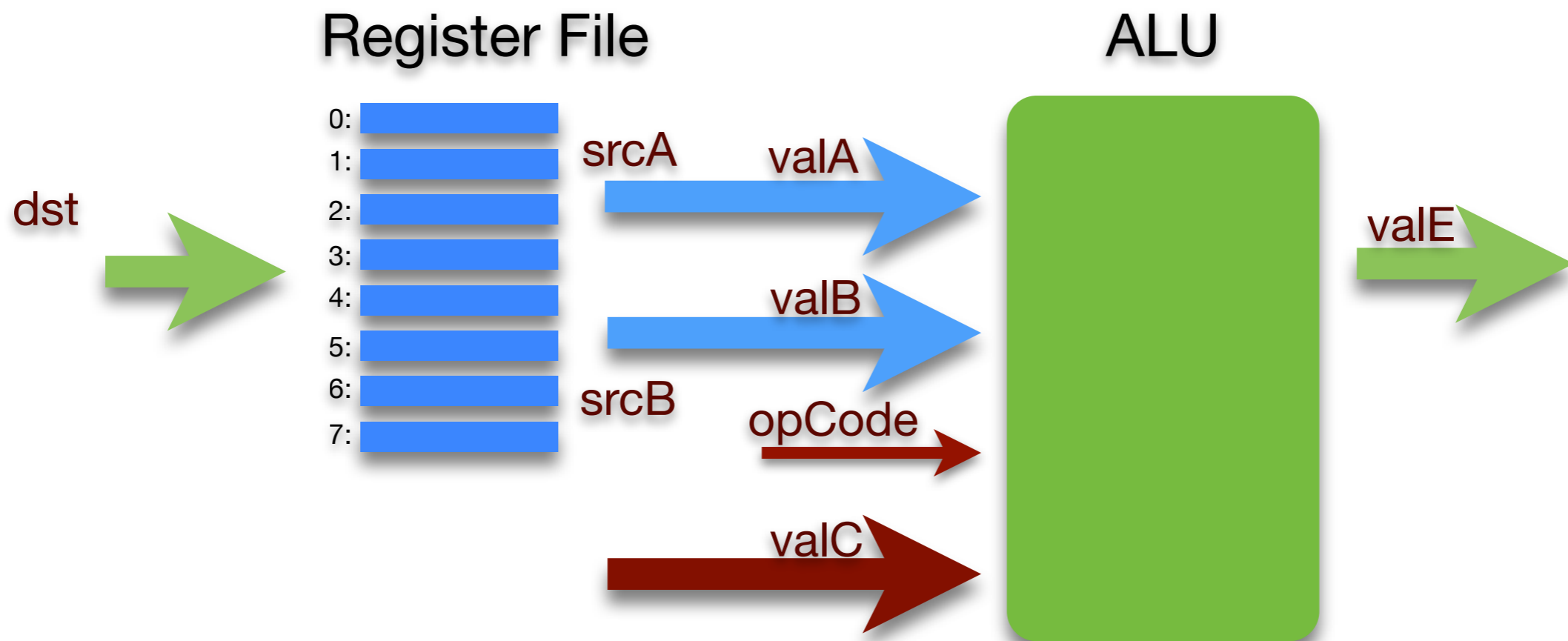
## ▶ Functional View

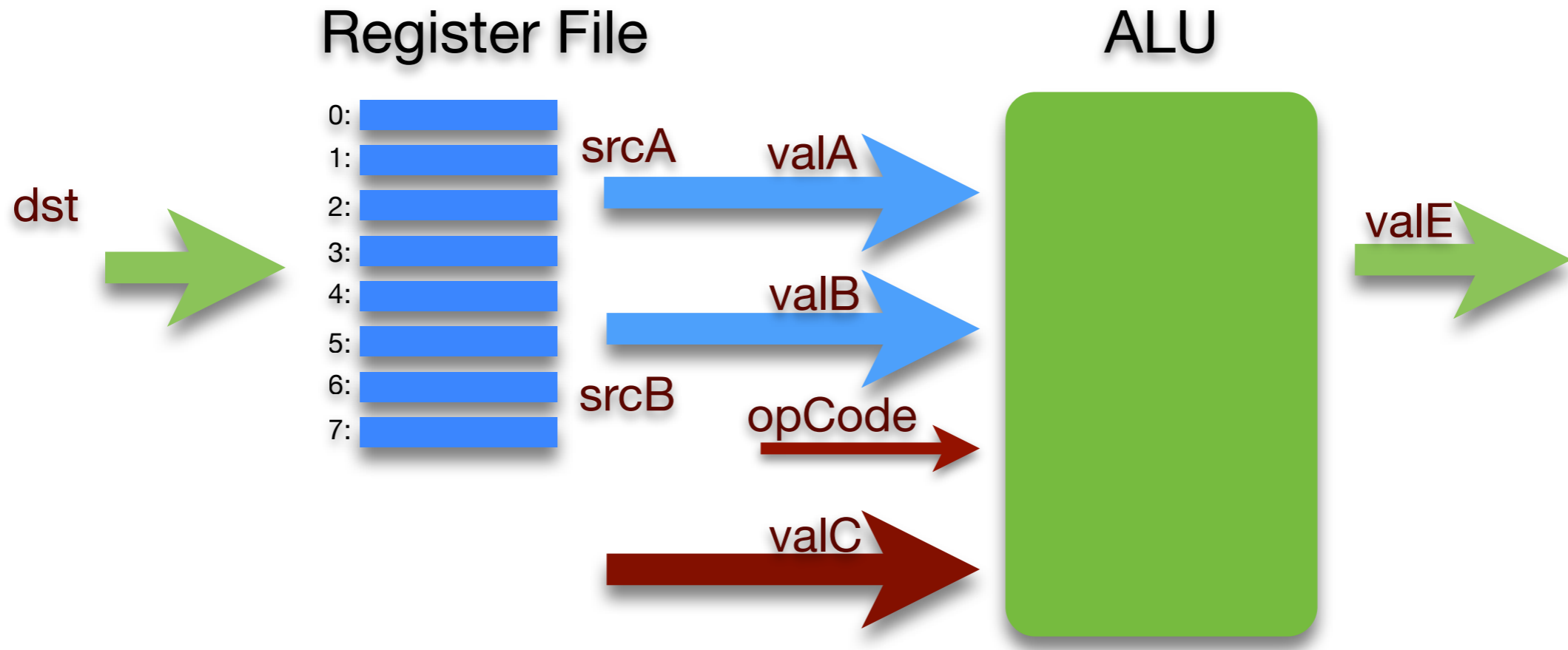
- input for one step: *opCode*, *srcA*, *srcB*, and *dst*
- a program is a sequence of these steps (and others)



# Putting Initial Values into Registers

- ▶ Current model is too restrictive
  - to add two numbers the numbers must be in registers
  - programs must specify values explicitly
- ▶ Extend model to include *immediates*
  - an *immediate value* is a constant specified by a program instruction
  - extend model to allow some instructions to specify an immediate (**valC**)





## ▶ Functional View

- we now have an additional input, the immediate value, valC



# Memory Access

## ▶ Memory is

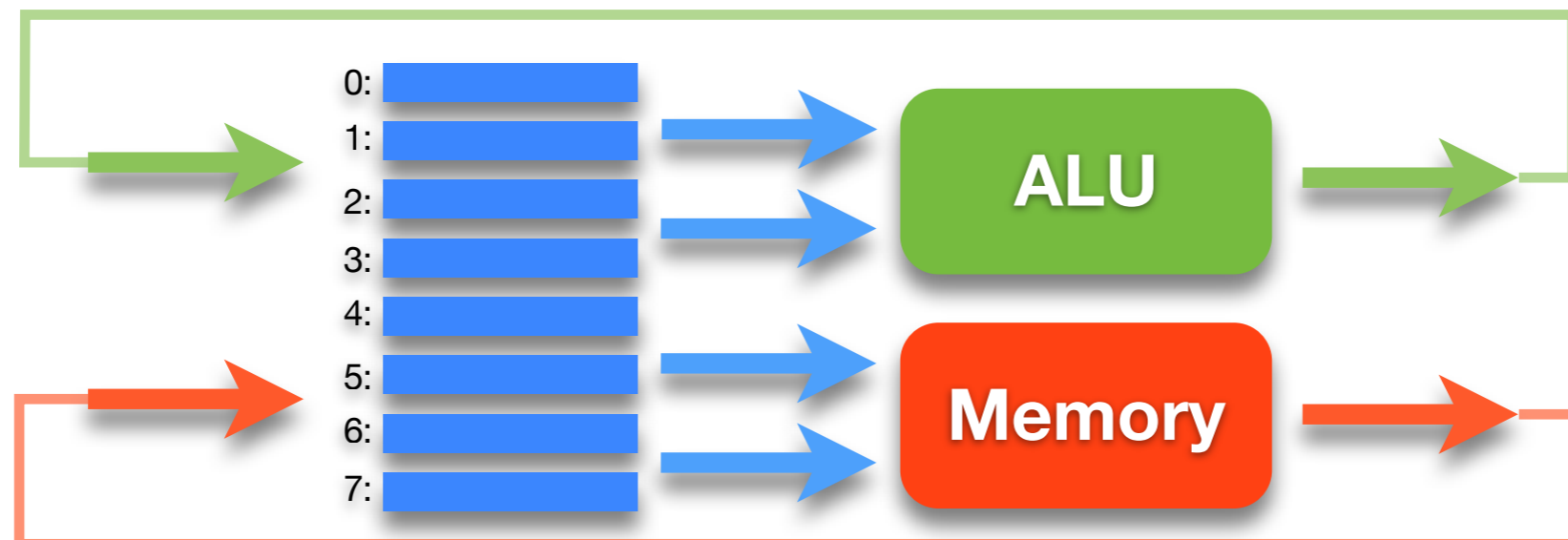
- an array of bytes, indexed by byte **address**

## ▶ Memory access is

- restricted to a transfer between registers and memory
- the ALU is thus unchanged, it still takes operands from registers
- *this is approach taken by Reduced Instruction Set Computers (RISC)*

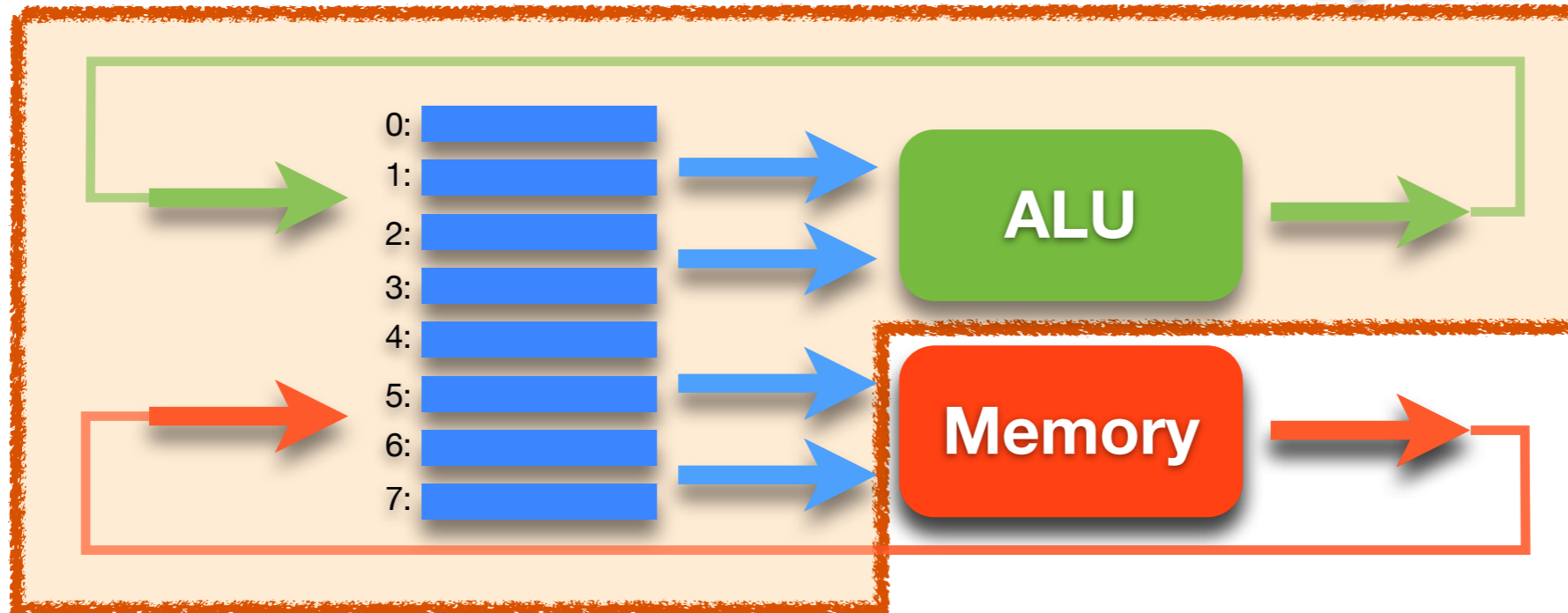
## ▶ Extending model to include RISC-like memory access

- opcode selects from set of memory-access and ALU operations
- memory address and value are in registers



# The Simple Machine

CPU/core

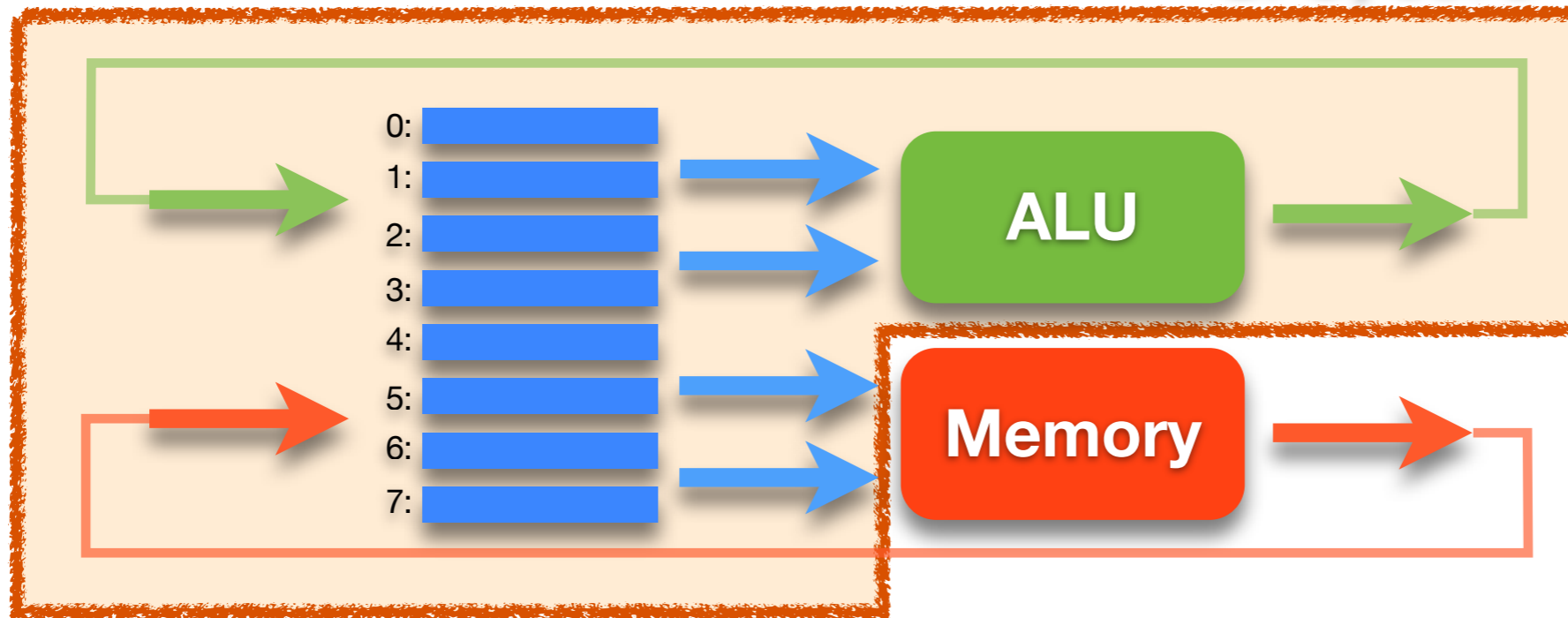


## ▶ Central Processing Unit or Core (CPU)

- a register file
- logic for ALU, memory access and control flow
- a clock to sequence instructions
- memory **cache** of some active parts of memory (e.g., instructions)

## ▶ Memory

- is too big to fit on the CPU chip, so it is stored off chip
- much slower than registers or cache (200 x slower than registers)



## ▶ A Program

- sequence of instructions stored in memory

## ▶ An Instruction

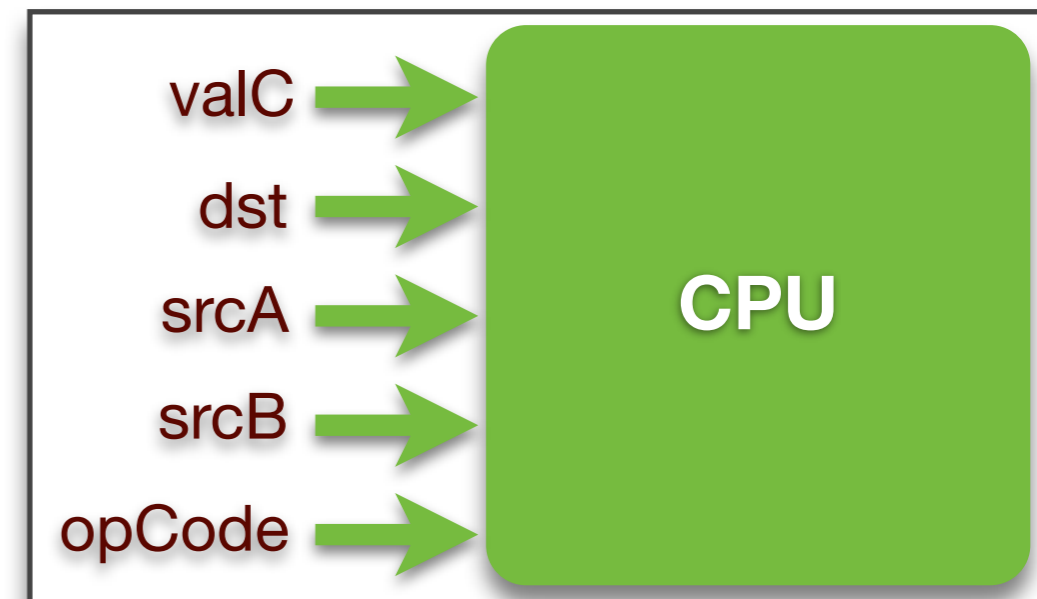
- does one thing: math, memory-register transfer, or flow control
- specifies a value for each of the functional inputs

### A Program

```

0: valC=?, dst=?, srcA=?, srcB=?, opCode=?
1: valC=?, dst=?, srcA=?, srcB=?, opCode=?
2: valC=?, dst=?, srcA=?, srcB=?, opCode=?
3: valC=?, dst=?, srcA=?, srcB=?, opCode=?

```



# Instruction Set Architecture (ISA)

- ▶ The ISA is the “interface” to a processor implementation
  - defines the instructions the processor implements
  - defines the format of each instruction
- ▶ Instruction format
  - is a set of bits (a number)
  - an opcode and set of operand values
- ▶ Types of instruction
  - math
  - memory access
  - control transfer (gotos and conditional gotos)
- ▶ Design alternatives
  - simplify compiler design (CISC such as Intel Architecture 32)
  - simplify processor implementation (RISC)
- ▶ Assembly language
  - symbolic representation of machine code

# Example Instruction: ADD

## ▶ Description

- `opCode` = 61
- two source operands in registers: `srcA` = rA, `srcB` = rB
- put destination in register: `dst` = rB

## ▶ Assembly language

- general form: `add rA, rB`
- e.g., `add r0, r1`

## ▶ Instruction format

- 16 bit number, divided into 4-bit chunks: 61sd
- high-order 8 bits are `opCode` (61)
- next 4 bits are `srcA` (s)
- next 4 bits are `srcB/dst` (d)

`add rA, rB`



`add r0, r1`

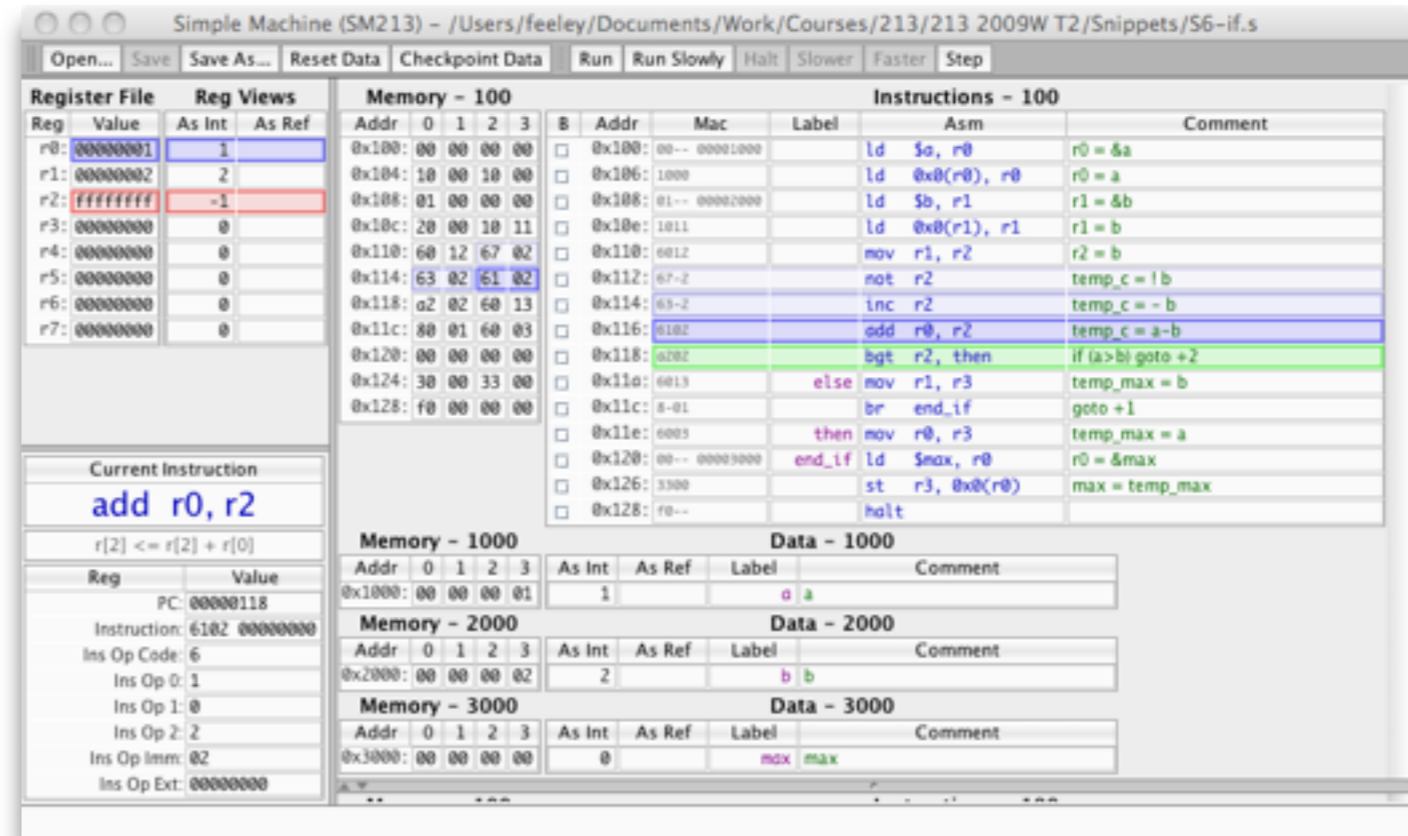




# Simulating a Processor Implementation

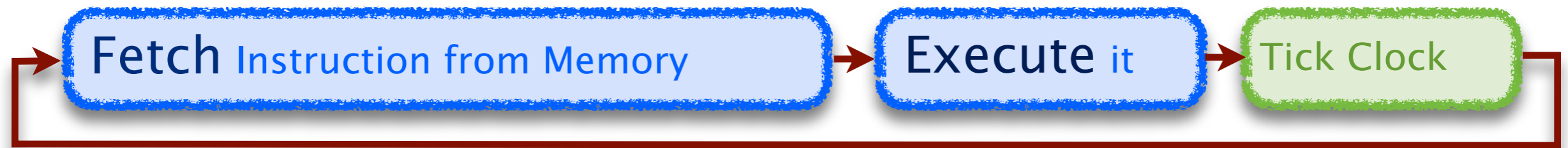
## ▶ Java simulator

- edit/execute assembly-language
- see register file, memory, etc.



## ▶ You will implement

- the **fetch** + **execute** logic
- for every instruction in SM213 ISA



## ▶ SM213 ISA

- developed as we progress through key language features
- patterned after *MIPS* ISA, one of the 2 first RISC architectures