

# CPSC 213

## Introduction to Computer Systems

### Unit 0 Introduction

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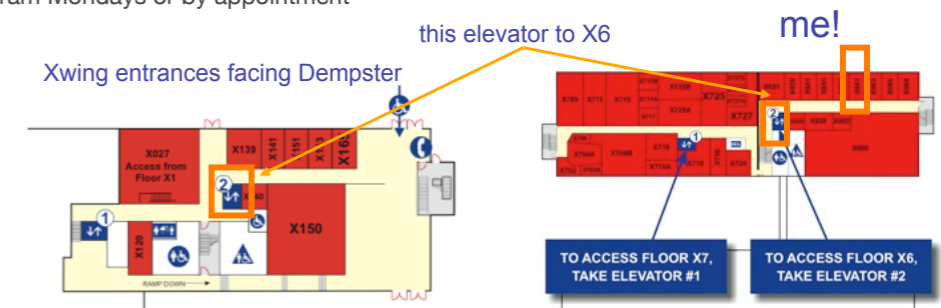
## About the Course

### ▶ it's all on the web page ...

- <http://www.ugrad.cs.ubc.ca/~cs213/winter10t1/>
  - news, admin details, schedule and readings
  - lecture slides (always posted before class)
  - 213 Companion (free PDF)
  - course wiki (coming soon) for discussion
  - marks (coming soon) secure download
- updated often, don't forget to reload page!

### ▶ me

- instructor: Tamara Munzner
  - call me Tamara or Dr. Munzner, as you like
  - office hours X661 9am-11am Mondays or by appointment



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## Reading

- ▶ see web page for exact schedule
- ▶ textbook: Bryant and O'Hallaron
  - also used in CPSC 313 followon course
  - ok to use either 1st or 2nd edition (very little difference for us)
- ▶ UBC Bookstore textbook delay
  - publisher's problem
  - ETA Sep 15
  - catch up as soon as you can!

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## Course Policies

- ▶ read <http://www.ugrad.cs.ubc.ca/~cs213/winter10t1/policies.html>
- ▶ marking
  - labs: 15%
    - 10 labs/assignments (same thing, no separate lab material)
    - one week for each, out Monday morning and due Sunday 6pm
  - quizzes: 15%, best 3 out of 4
    - 10/6, 10/20, 11/3, 11/24: first 20 min of class
  - midterm: 25%
    - Wed 10/27, full class session
  - final: 45%
    - date TBD. do not book tickets out of town until announced!
  - must pass labs and final (50% or better) to pass course
- ▶ regrading
  - detailed argument in writing
  - wait 24 hours after work/solutions returned
  - email TA first for assignments, then instructor if not resolved
  - bring paper to instructor for quizzes/midterms

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# Late/Missed Work, Illness

- ▶ no late work accepted
- ▶ email me immediately if you'll miss lab/exam from illness
- ▶ written documentation due within 7 days after you return to school
  - copy of doctor's note or other proof (ICBC accident report, etc)
  - written cover sheet with dates of absence and list of work missed
- ▶ I'll decide on how to handle
  - might give extension if solutions not out yet
  - might grade you only on completed work

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# Plagiarism and Cheating

- ▶ work together! **but don't cheat!**
  - never present anyone else's work as your own
  - but, don't let this stop you from helping each other learn...
    - general discussion always fine
    - one-hour context switch rule for specific discussions
      - don't take written notes
      - do something else for an hour
      - then sit down to do the work on your own
    - proper attribution
      - include list of names if you had significant discussions with others
  - not allowed
    - working as a team and handing in joint work as your own
    - looking at somebody else's paper or smuggling notes into exam
    - getting or giving code, electronically or hardcopy
    - typing in code from somebody else's screen
    - using code from previous terms
    - paying somebody to write your code
  - it's a bad idea: you don't learn the stuff, and we'll probably catch you
    - I do prosecute, so that it's a level playing field for everybody else
    - possible penalties: 0 for the work, 0 for the course, suspended, permanent notation in transcript...

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# Overview of the course

- ▶ Hardware context of a single executing program
  - hardware context is CPU and Main Memory
  - develop CPU architecture to implement C and Java
  - differentiate compiler (static) and runtime (dynamic) computation
- ▶ System context of multiple executing programs with IO
  - extend context to add IO, concurrency and system software
  - thread abstraction to hide IO asynchrony and to express concurrency
  - synchronization to manage concurrency
  - virtual memory to provide multi-program, single-system model
  - hardware protection to encapsulate operating system
  - message-passing to communicate between processes and machines

**GOAL: To develop a model of computation that is rooted in what really happens when programs execute.**

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# What you will get out of this ...

- ▶ Become a better programmer by
  - deepening your understand of how programs execute
  - learning to build concurrent and distributed programs
- ▶ Learn to design real systems by
  - evaluating design trade-offs through examples
  - distinguish static and dynamic system components and techniques
- ▶ Impress your friends and family by
  - telling them what a program **really** is

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▶ Here's a program

```

class SortedList {
  static SortedList aList;
  int size;
  int list[];

  void insert (int aValue) {
    int i = 0;
    while (list[i] <= aValue)
      i++;
    for (int j=size-1; j>=i; j--)
      list[j+1] = list[j];
    list[i] = aValue;
    size++;
  }
}

```

▶ What do you understand about the execution of **insert**?

## What do you know now?

▶ Example

- list stores { 1, 3, 5, 7, 9 }
- SortedList.aList.insert(6) is called

▶ Data structures

- draw a diagram of the data structures
- as they exist just before insert is called

```

class SortedList {
  static SortedList aList;
  int size;
  int list[];

  void insert (int aValue) {
    int i = 0;
    while (list[i] <= aValue)
      i++;
    for (int j=size-1; j>=i; j--)
      list[j+1] = list[j];
    list[i] = aValue;
    size++;
  }
}

```

▶ Data structures

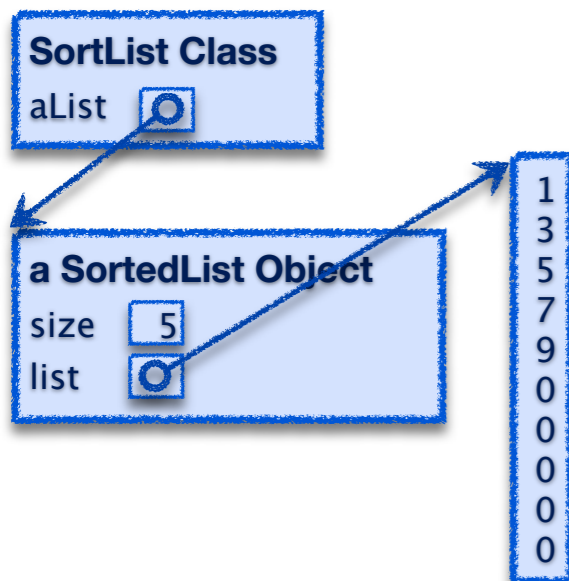
- lets dig a little deeper
- which of these existed before program started?
  - these are the **static** features of the program
- which were created by execution of program?
  - these are the **dynamic** features of the program

```

class SortedList {
  static SortedList aList;
  int size;
  int list[];

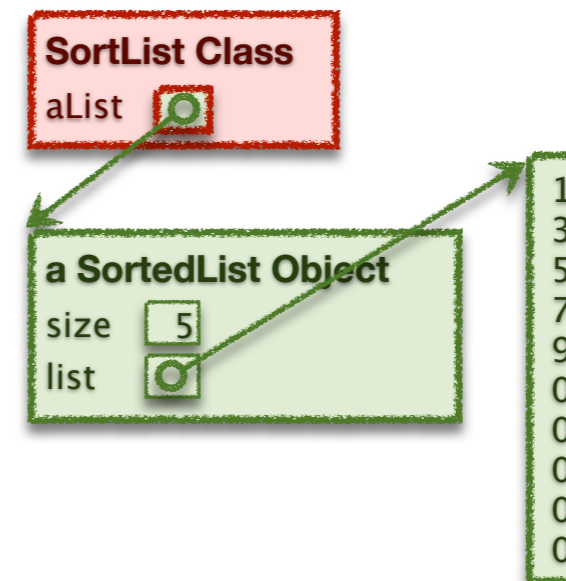
  void insert (int aValue) {
    int i = 0;
    while (list[i] <= aValue)
      i++;
    for (int j=size-1; j>=i; j--)
      list[j+1] = list[j];
    list[i] = aValue;
    size++;
  }
}

```



assuming list[] was initialized to store 10 elements:

list = new Integer[10];



**Static:**

- \* class and aList variable (sort of - clearer in C)

**Dynamic:**

- \* SortedList object
- \* size and list variables
- \* value of aList, size and list
- \* list of 10 integers

## ▶ Execution of insert

- how would you describe this execution?
- carefully, step by step?

## Sequence of Instructions

- \* program order
- \* changed by control-flow structures

```
class SortedList {
    static SortedList aList;
    int size;
    int list[];

    void insert (int aValue) {
        int i = 0;
        while (list[i] <= aValue)
            i++;
        for (int j=size-1; j>=i; j--)
            list[j+1] = list[j];
        list[i] = aValue;
        size++;
    }
}
```

## Instruction Types?

- \* read/write variable
- \* arithmetic
- \* conditional goto

```
save location of SortedList.aList.insert(6)
aValue = 6
i = 0
goto end-while if list[i]>aValue (1>6)
i = 0+1 (1)
goto end-while if list[i]>aValue (3>6)
i = 1+1 (2)
goto end-while if list[i]>aValue (5>6)
i = 2+1 (3)
goto end-while if list[i]>aValue (7>6)
end-while: j = size-1 (4)
goto end-if if j<i (4<3)
list[j+1] = list[i] (list[5]=9)
j = 4-1 (3)
goto end-if if j<i (3<3)
list[j+1] = list[i] (list[4]=7)
j = 3-1 (2)
goto end-if if j<i (2<3)
end-if: list[i] = aValue (list[3] = 6)
size = size+1 (6)
statement after SortedList.aList.insert(6)
```

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# Execution: What you Already Knew

## ▶ Data structures

- variables have a storage location and a value
- some variables are created before the program starts
- some variables are created by the program while it runs
- variable values can be set before program runs or by the execution

## ▶ Execution of program statements

- execution is a sequence of steps
- sequence-order can be changed by certain program statements
- each step executes an instruction
- instructions access variables, do arithmetic, or change control flow

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## Readings

### ▶ Companion

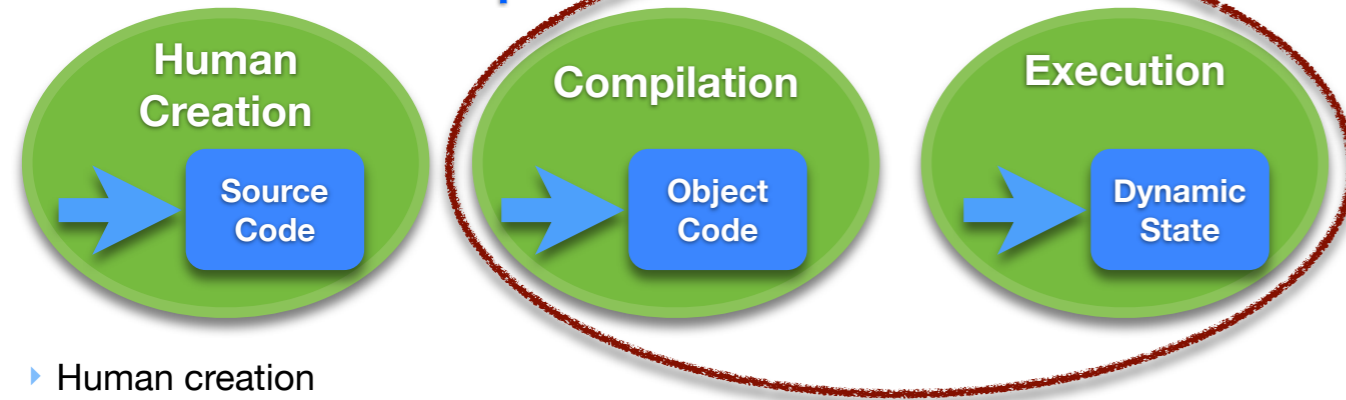
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# An Overview of Computation

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# Phases of Computation



## ▶ Human creation

- design program and describe it in high-level language

## ▶ Compilation

- convert high-level, human description into machine-executable text

## ▶ Execution

- a physical machine executes the text
- parameterized by input values that are unknown at compilation
- producing output values that are unknowable at compilation

## ▶ Two important initial definitions

- anything that can be determined **before execution** is called *static*
- anything that can only be determined **during execution** is called *dynamic*

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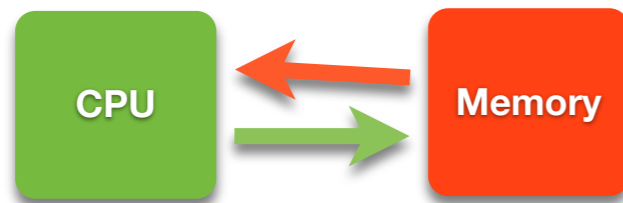
# Examples of Static vs Dynamic State

## ▶ Static state in Java

## ▶ Dynamic state in Java

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# A Simple Machine that can Compute



## ▶ Memory

- stores programs and data
- everything in memory has a unique name: its memory location (*address*)
- two operations: read or write value at location X

## ▶ CPU

- machine that executes programs to transform memory state
- loads program from memory on demand one step at a time
- each step may also read or write memory

## ▶ Not in the Simple Machine

- I/O Devices such as mouse, keyboard, graphics, disk and network
- we will deal with these other things in the second half of the course

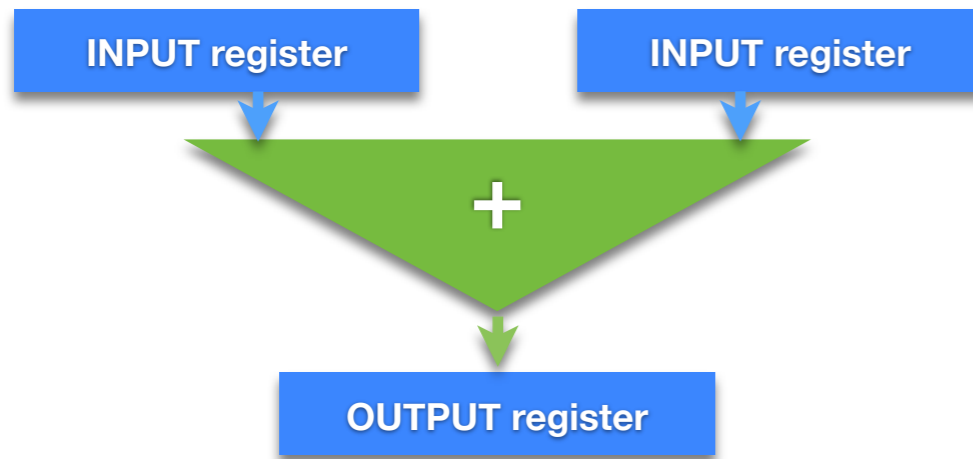
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# The Simple Machine Model A Closer Look

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# How do we start?

- ▶ One thing we need to do is add integers
  - you already know how to do this from 121 (hopefully :))
- ▶ A 32-bit Adder
  - implemented using logic gates implemented by transistors
  - it adds bits one at a time, with carry-out, just like in grade 2.



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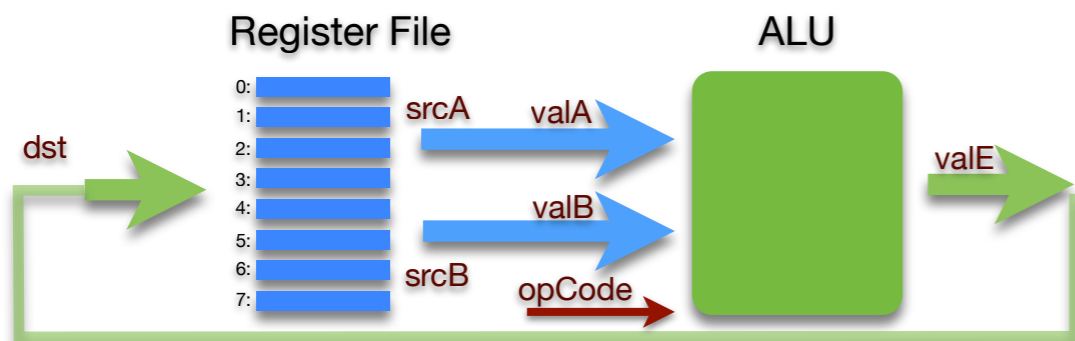
# Generalizing the Adder

- ▶ What other things do we want to do with Integers
- ▶ What do we do with the value in the output register

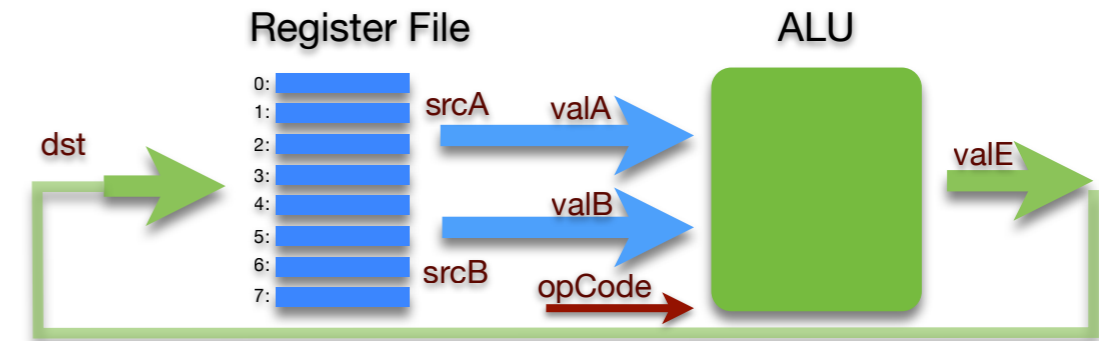
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# Register File and ALU

- ▶ Arithmetic and Logic Unit (ALU)
  - generalizes ADDER to perform many **operations** on integers
  - three inputs: two source **operands** (*valA*, *valB*) and a **operation code** (*opCode*)
  - output value (*valE*) = operation-code (*operand<sub>0</sub>*, *operand<sub>1</sub>*)
- ▶ Register File
  - generalizes input and output registers of ADDER
  - a single bank of registers that can be used for input or output
  - registers **named** by **numbers**: two source (*srcA*, *srcB*) and one destination (*dst*)



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- ▶ Functional View
  - input for one step: *opCode*, *srcA*, *srcB*, and *dst*
  - a program is a sequence of these steps (and others)



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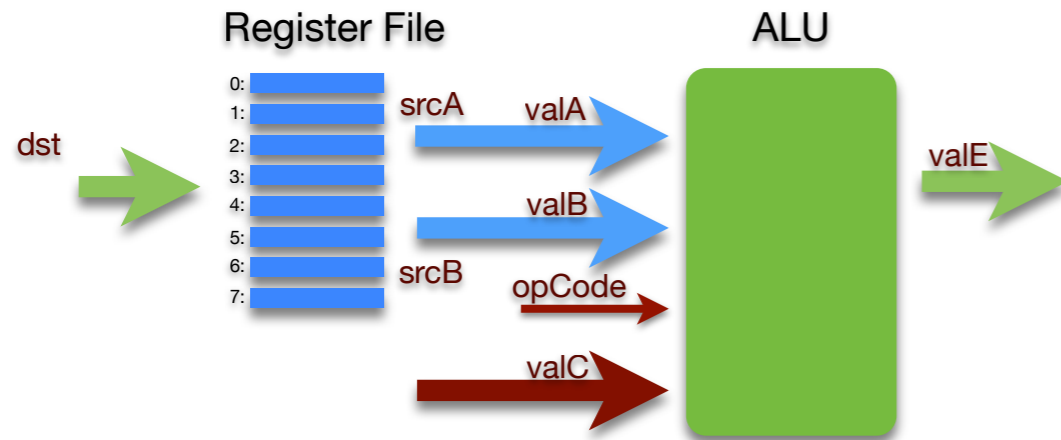
# Putting Initial Values into Registers

## Current model is too restrictive

- to add two numbers the numbers must be in registers
- programs must specify values explicitly

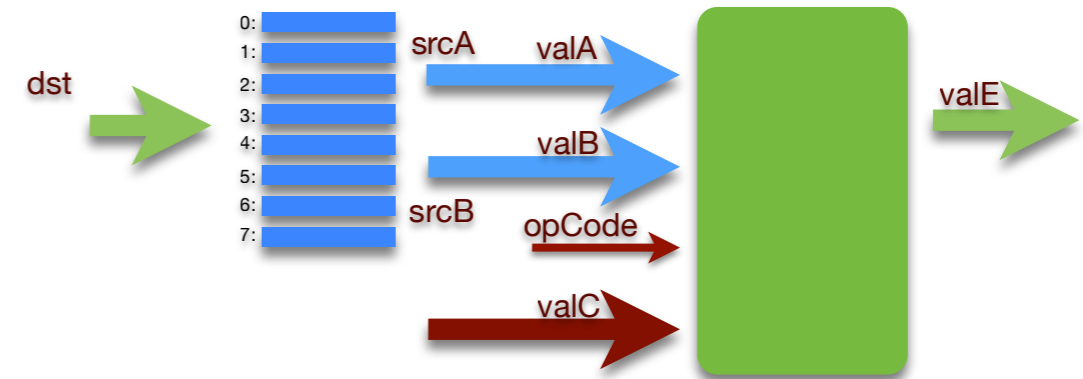
## Extend model to include *immediates*

- an **immediate value** is a constant specified by a program instruction
- extend model to allow some instructions to specify an immediate (**valC**)



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## Register File



## Functional View

- we now have an additional input, the immediate value, **valC**



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# Memory Access

## Memory is

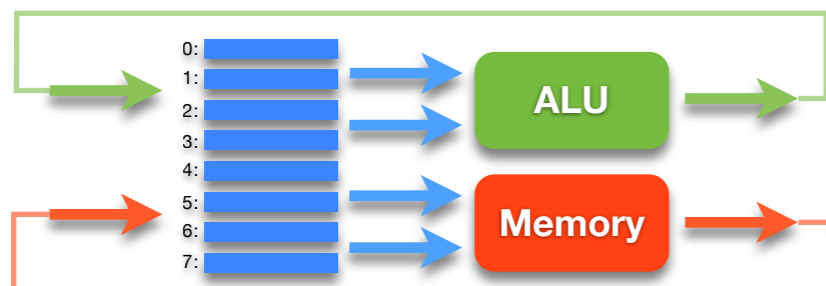
- an array of bytes, indexed by byte **address**

## Memory access is

- restricted to a transfer between registers and memory
- the ALU is thus unchanged, it still takes operands from registers
- *this is approach taken by Reduced Instruction Set Computers (RISC)*

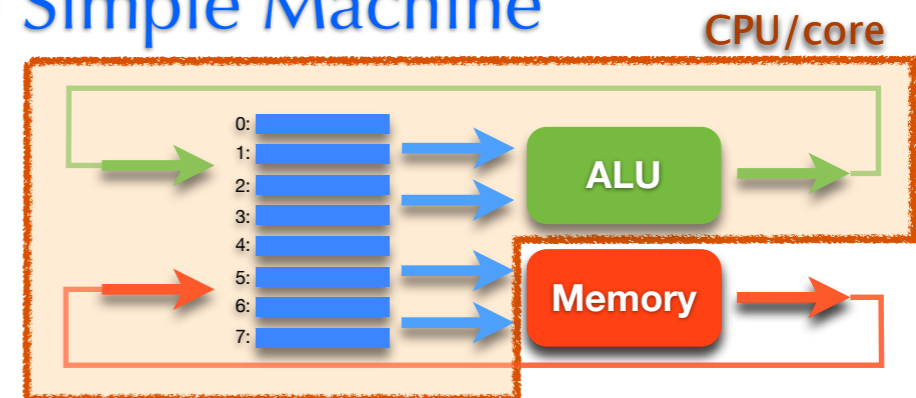
## Extending model to include RISC-like memory access

- opcode selects from set of memory-access and ALU operations
- memory address and value are in registers



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# The Simple Machine



## Central Processing Unit or Core (CPU)

- a register file
- logic for ALU, memory access and control flow
- a clock to sequence instructions
- memory **cache** of some active parts of memory (e.g., instructions)

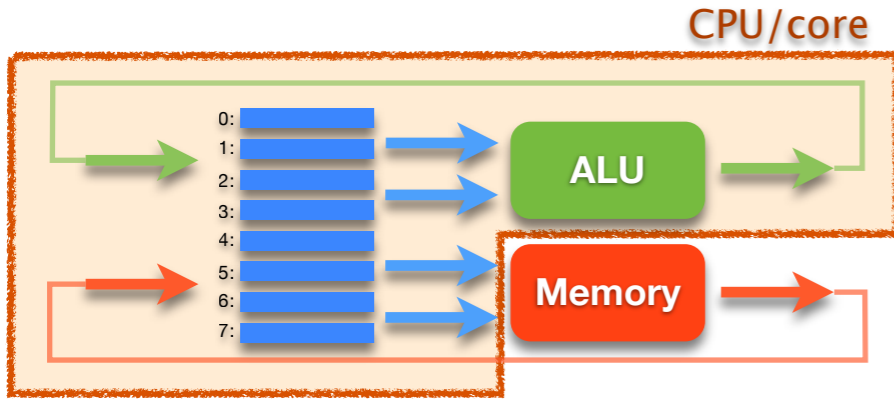
## Memory

- is too big to fit on the CPU chip, so it's stored off chip
- much slower than registers or cache (200 x slower than registers)

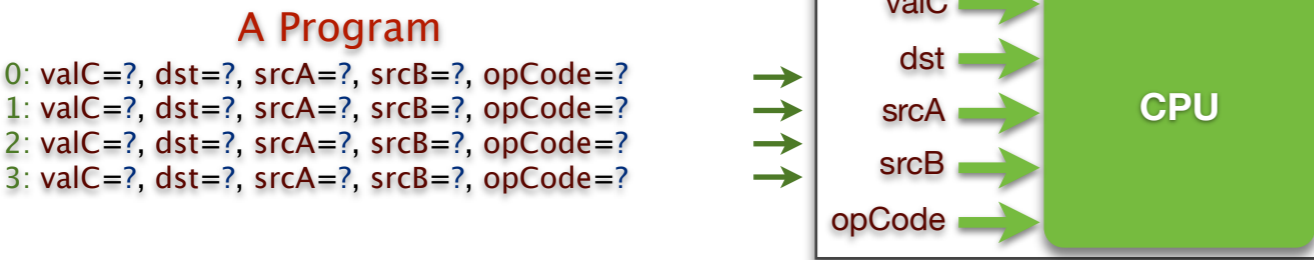
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# Instruction Set Architecture (ISA)

- ▶ The ISA is the “interface” to a processor implementation
  - defines the instructions the processor implements
  - defines the format of each instruction
- ▶ Instruction format
  - is a set of bits (a number)
  - an opcode and set of operand values
- ▶ Types of instruction
  - math
  - memory access
  - control transfer (gotos and conditional gotos)
- ▶ Design alternatives
  - simplify compiler design (CISC such as Intel Architecture 32)
  - simplify processor implementation (RISC)
- ▶ Assembly language
  - symbolic representation of machine code



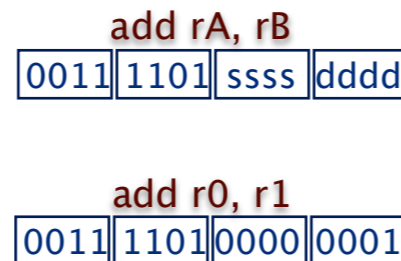
- ▶ A Program
  - sequence of instructions stored in memory
- ▶ An Instruction
  - does one thing: math, memory-register transfer, or flow control
  - specifies a value for each of the functional inputs



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## Example Instruction: ADD

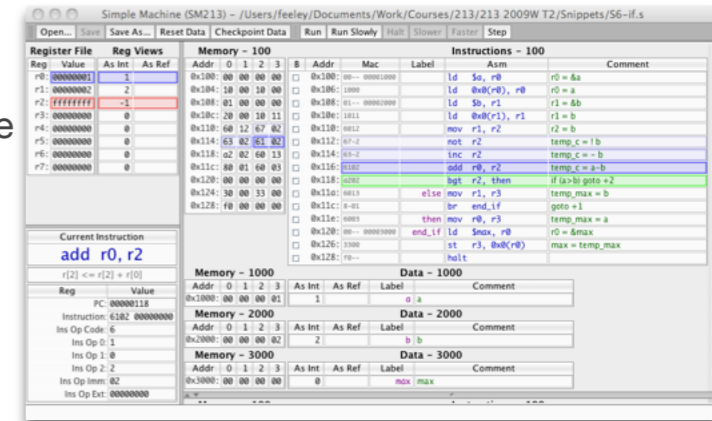
- ▶ Description
  - **opCode** = 61
  - two source operands in registers: **srcA** = rA, **srcB** = rB
  - put destination in register: **dst** = rB
- ▶ Assembly language
  - general form: **add rA, rB**
  - e.g., **add r0, r1**
- ▶ Instruction format
  - 16 bit number, divided into 4-bit chunks: **61sd**
  - high-order 8 bits are **opCode** (61)
  - next 4 bits are **srcA** (s)
  - next 4 bits are **srcB/dst** (d)



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## Simulating a Processor Implementation

- ▶ Java simulator
  - edit/execute assembly-language
  - see register file, memory, etc.
- ▶ You will implement
  - the **fetch** + **execute** logic
  - for every instruction in SM213 ISA



- ▶ SM213 ISA
  - developed as we progress through key language features
  - patterned after *MIPS* ISA, one of the 2 first RISC architectures

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