# CPSC 340: Machine Learning and Data Mining

Sequence Mining Fall 2015

#### Admin

- Assignment 5 due now.
- Assignment 6 out: due Friday of next week.
- Practice final coming next week.

## Sequence Mining

- Finding patterns in data organized according to a sequence:
  - Customer purchases:
    - 'Star Wars' followed by 'Empire Strikes Back' followed by 'Return of the Jedi'.
  - Stocks/bonds/markets:
    - Stocks going up followed by bonds going down.
  - Environmental:
    - CO<sub>2</sub> going up is followed by temperatures going up.
  - Website/telephone system navigation.
  - Biological sequences.
    - DNA: ATGCTTCGGCAAGACTCAAAAAATA...
    - RNA: ATGCUUCGGCAAGACUCAAAAAAUA...
    - Protein: GIVEQCCTSICSLYQLENYCN

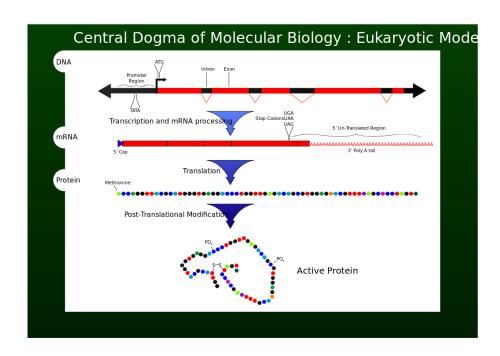
## Sequential Pattern Analysis

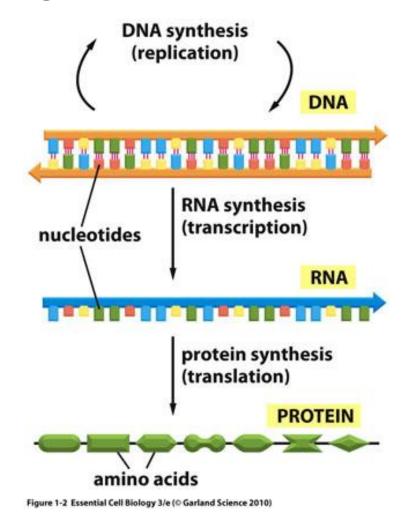
- In data mining, called sequential pattern analysis:
  - If you buy product A, are you likely to buy product B at a later time?
- Similar to association rules, but now order matters.
  - Many issues stay the same.
- Exist sequential generalization of many association rule methods:
  - Generalized sequential pattern (GSP) algorithm is like a priori algorithm.

We're going to instead focus on methods from bioinformatics...

# **Biological Sequences**

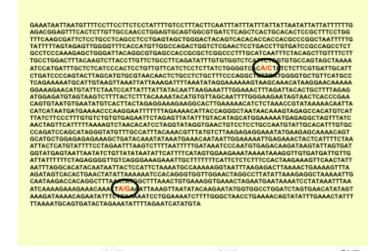
- We are generated huge quantities of biological data.
- Much of it is stored as sequences.
  - DNA, RNA, and proteins.

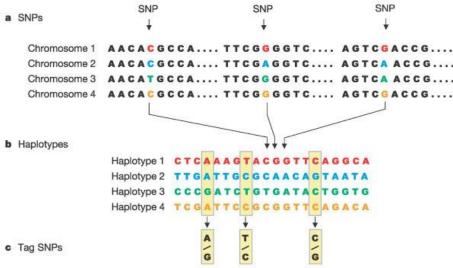




# Whole Genome Sequencing

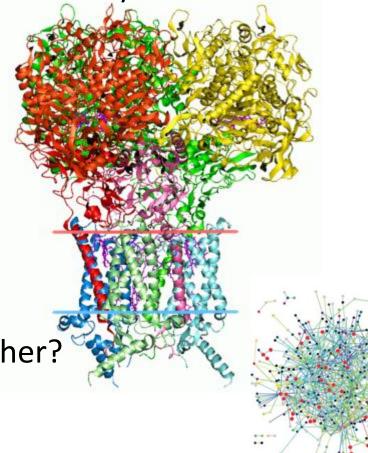
- First single-celled organisms' genomes sequenced in late 90s.
- Many animals/plants in early 2000s.
- Human genome project finished in 2003.
- Late 2000s and early 2010s:
  - Characterizing variation and function.
  - HapMap, ENCODE, 1000 genomes.
  - Potential to study infrequent variations.
  - New insights into rare diseases.
  - Promise of personalized medicine.
- Way more data than understanding:
  - One of most important scientific problems.





### Bioinformatics

- Bioinformatics: biology and databases and data analysis.
  - It's a huge area, with many interesting variations on DM/ML methods.
- Big focus on analyzing sequences.
  - We'll discuss some of the classic ideas today.
- But sequences aren't everything:
  - How do molecules 'fold' in three-dimensions?
  - Which molecules can 'fit' together?
  - What genes perform similar functions?
  - How do molecule concentrations affect each other?
  - What are signaling 'pathways'?



# Finding/Testing Similar Sequences

- A classic bioinformatics problem:
  - You find an interesting part of a biological sequence.
    - E.g., this gene makes your mice live much longer or immune to a disease.
  - Do similar sequences appear elsewhere?
    - Either in the same organism, or in other organisms.
- Want to test relatedness of sequences and find related sequences.
  - Heavy use of dynamic programming.
  - Other tricks to handle huge datasets.
- We'll start from simplest case, and get more complicated.

## String Search

- Simplest variant is string search:
  - We have a sequence of length 'n'
  - We have a query of length 'm'.
  - Does query occur in sequence?
- Example:
  - Sequence: "GIVEQCCTSICSLYQLENYCN" (insulin).
  - Query: "TSI".
- Naïve algorithm:
  - For each of 'n' positions, test whether the string starts there.
  - Cost is O(nm).
- Several algorithms reduce this to O(n + m) (e.g., Knurth-Morris-Pratt).

## **Longest Common Substring**

- What if we have multiple queries for same sequence?
  - Sequence: "GIVEQCCTSICSLYQLENYCN".
  - Queries: "TSI", "CCT", "CST" (diabetes).
  - With 'k' queries of length <= 3, cost is O(n + km) with suffix trees.</li>
- A related problem is longest common substring:
  - Sequence 1: "GIVEQCCTSICSLYQLENYCN" (human).
  - Sequence 2: "GIVEQCCASVCSLYQLENYCN" (cow).
  - What is longest string that occurs in both sequences?
    - In this case it's "CSLYQLENYCN".
- Suffix trees solve this problem in O(n + m).

### Longest Common Substring vs. Subsequence

- Consider human/pig/cow insulin:
  - Sequence 1: "GIVEQCCTSICSLYQLENYCN" (human).
  - Sequence 2: "GIVEQCCASVCSLYQLENYCN" (cow).
  - Sequence 3: "GIVEQCCTSICSLYQLENYCN" (pig).
- Longest substring between human/pig is 22 (entire sequence).
- Longest substring between human/cow is 11: "CSLYQLENYCN".
  - But have we really cut the similarity in half?
- Longest common subsequence:
  - Longest exact match by deleting characters.
  - For human/cow it's 20: "GIVEQCCSCSLYQLENYCN" (still 22 for human/pig).

## Longest Common Subsequence

- Longest common subsequence (LCS):
  - Sequence 1: "GIVEQCCTSICSLYQLENYCN" (human).
  - Sequence 2: "GIVEQCCASVCSLYQLENYCN" (cow).
  - LCS: "GIVEQCC[]S[]CSLYQLENYCN".
- Finding LCS by brute force:
  - 2<sup>n</sup> possible deletions in sequence 1.
  - 2<sup>m</sup> possible deletions in sequence 2.
  - $O(min(n,m)2^{n+m}).$
- Can we do better?

## Longest Common Subsequence

- Suppose we have the LCS for two sequences:
  - Sequence 1: "ACE".
  - Sequence 2: "ABCD".
  - − LCS: "AC".
- Key idea: it's easy to update LCS if we append one character.
  - Updated sequence 2: "ABCDE".
  - New LCS: "ACE".
  - Either the new character extends LCS or not: compute this in O(m).
- O(mn)-time Algorithm:
  - 1. Start with all of sequence 1 and empty sequence 2 (LCS = []).
  - 2. Sequentially append to sequence 1, tracking LCS.

## **Dynamic Programming**

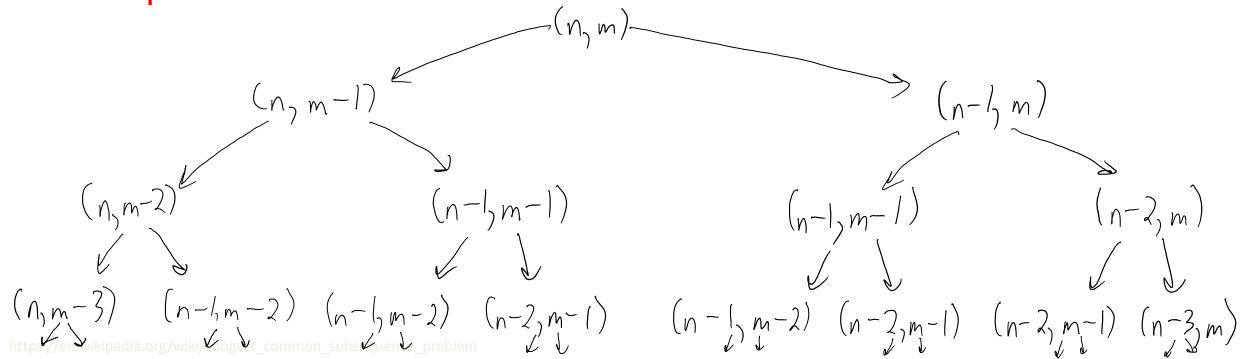
- LCS algorithm is special case of dynamic programming.
- Dynamic programming efficiency requires two ingredients:
  - 1. Optimal substructure:
    - Can efficiently solve the problem given solutions to 'sub-problems' (i.e. recursion).
    - For LCS: we can quickly solve problem of length 'm' given solution of length (m-1).
  - 2. Overlapping sub-problems:
    - Limited of \*different\* possible sub-problems.
    - For LCS: there are only O(mn) possible lengths for the two strings.
- Key trick: store solutions of sub-problems, instead re-computing.
  - Guarantees each sub-problem is solved at most once.

# LCS with Dynamic Programming

• Let's define the LCS recursively:

$$LCS\left(X_{i},Y_{j}\right) = \begin{cases} \emptyset & \text{if } i=0 \text{ or } j=0 \\ LCS\left(X_{i-1},Y_{j-1}\right) \frown x_{i} & \text{if } x_{i}=y_{j} \\ \log \left(LCS\left(X_{i},Y_{j-1}\right),LCS\left(X_{i-1},Y_{j}\right)\right) & \text{if } x_{i}\neq y_{j} \end{cases}$$
• Exponential number of recursive calls in naïve method:

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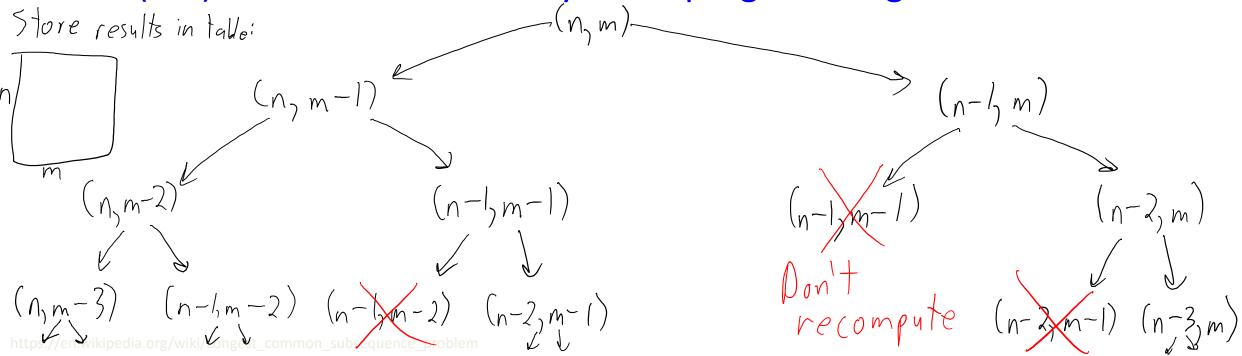


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#### **Edit Distance**

- LCS considers deletions of elements.
- We might also consider replacements:
  - Sequence 1: "GIVEQCCTSICSLYQLENYCN".
  - Sequence 2: "GIVEQCCASVCSLYQLENYCN".

- Where different replacements have different 'costs'.
  - Some proteins can be substituted and molecule will be similar, some are disastrous.

#### • Edit distance:

- Min 'cost' of turning string 1 into 2 via additions/deletions/replacements.
- Can also be compute by dynamic programming:
  - Minimize over the 3 operations.

#### **Edit Distance**

Edit distance between strings 'X' and 'Y' is ED(X<sub>m</sub>,Y<sub>n</sub>) where:

$$ED(X_{i},Y_{j}) = \left( ED(X_{i-1},Y_{i-1}) \quad \text{if} \quad X_{i} = Y \right)$$

$$\min \left( ED(X_{i-1},Y_{j}) + cost \left( \text{'delete } X_{i} \text{'} \right) \right)$$

$$ED(X_{i},Y_{j-1}) + cost \left( \text{'insert } Y_{j} \text{'} \right)$$

$$ED(X_{i-1},Y_{j-1}) + cost \left( \text{'replace } X_{i} \text{ with } Y_{j} \text{'} \right)$$

$$\sum_{k=1}^{N} cost \left( \text{'delete } X_{k} \text{'} \right) \quad \text{if} \quad j=0$$

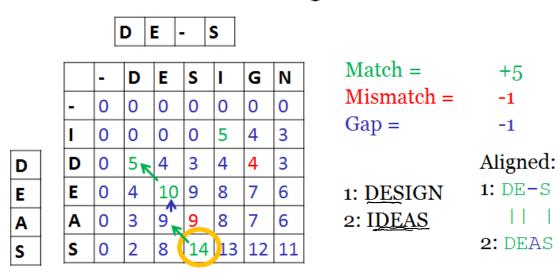
$$\sum_{k=1}^{N} cost \left( \text{'insert } Y_{k} \text{'} \right) \quad \text{if} \quad i=0$$

Cost is still O(mn), and if costs are non-negative this is a distance.

# Local Edit Distance / Local Alignment

- Local alignment (Smith-Waterman):
  - Positive 'score' for matches, negative 'score' for add/delete/replace.
  - Set negative 'd<sub>ij</sub>' values to zero, and maximize d<sub>ij</sub> over 'i' and 'j'.
    - Note that in bioinformatics you maximize 'score' rather than minimize 'distance'.
  - Finds substrings with small edit distance:

#### **Smith-Waterman Scoring**



#### **BLAST**

- Basic Local Alignment Search Tool (BLAST):
  - A method for searching biological sequences.
  - Most cited paper in 1990s of all of science.

#### • Setup:

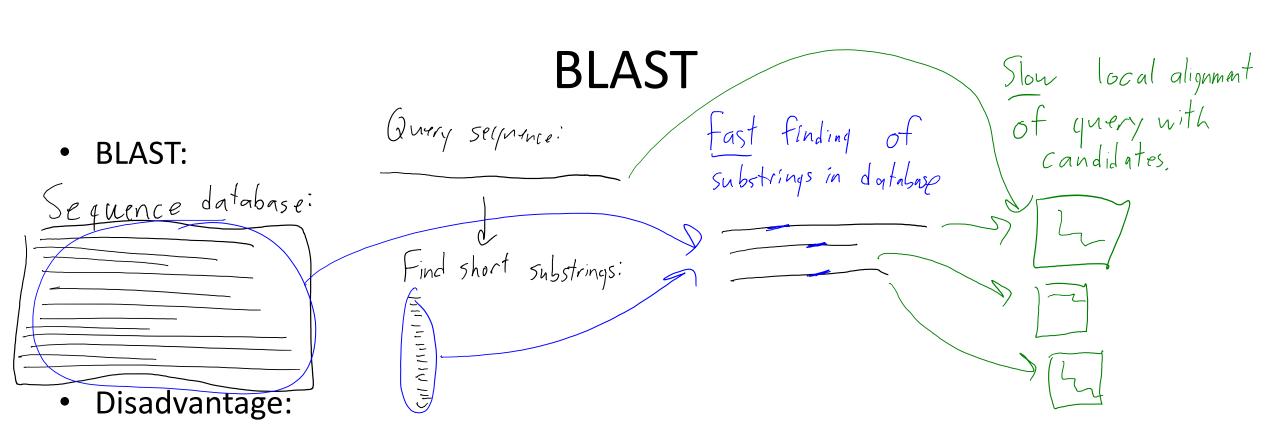
- We have a huge database of sequences.
- Individual sequences may be very long (human genome: ~3.2 billion).
- Quickly find similar sequences to a query sequence.

#### • Key ideas:

- Find interesting and short substrings in query.
- Fast phase: Find 'candidates' that contain any substring.
- Slow phase: apply dynamic programming on the 'candidates'.
- Some other tricks to make it faster.

If there all 'l' strings in database, finding indices of 'k' substrings of length 'm' costs O(kml).

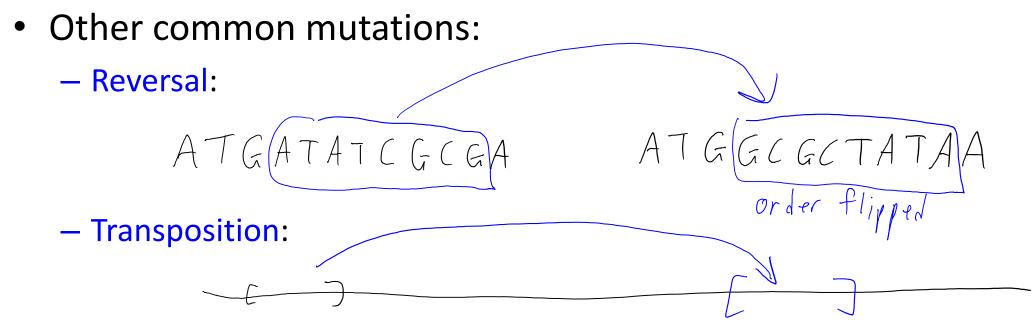
No dependence on length of database Scauences



- You could have false negatives in the first phase (you miss distantly-related sequences).
- PSI-BLAST:
  - Re-run with related sequences to find more distantly-related sequences.
- Related to hashing tricks for finding elements of a set:
  - Bloom filter: guaranteed to have no false negatives.
  - Count-min sketch: more recent probabilistic/online method.

#### Generalizations of Edit Distance

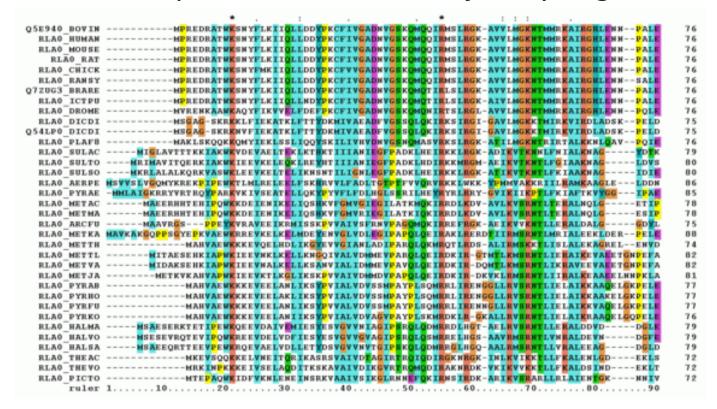
We can have score based on insertion/deletion length ('gap score')



- In general, we can't handle these efficiently: sub-problems don't overlap.
- But some special cases exist:
  - If reversals are 'contained' in each other, solve as 'context-free grammar'.

# Multiple Sequence Alignment

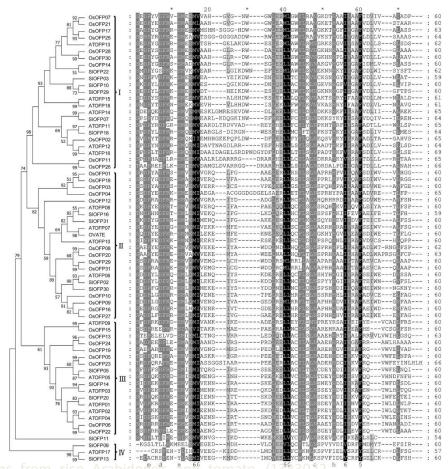
- Multiple Sequence Alignment:
  - We have several sequences and want to jointly align them:



Dynamic programming is exponential in number of sequences.

# Multiple Sequence Alignment and Clustering

- Heuristic to avoid exponential cost of multiple sequence alignment:
  - First perform hierarchical clustering.
    - Clustering coud be interesting on its own.
  - Align sequences as we go up the tree.
- Popular method is Clustal:
  - 3 of top 15 all-time most-cited science papers:
    - BLAST, PSI-BLAST, Clustal.



## Summary

- Sequence data arises in applications involving time/strings.
- Sequential pattern mining: finding association rules in sequences.
- Bioinformatics: biology meets databases and data analysis.
- Common substrings can be found in linear time.
- Edit distance can be found efficiently using dynamic programming.
- BLAST combines the above two with other tricks.
- Multiple sequence alignment considers multiple sequences.

 Next time: predicting whether it will rain, when the stock market will crash, and where the verb in the sentence is.