

# PSPACE, NPSPACE, Savitch's Theorem

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# True Quantified Boolean Formulas (TQBF)

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$$\forall w \exists x \forall y \exists z (w \vee x \vee \neg y) \wedge (\neg w \vee \neg x) \wedge (x \vee y \vee \neg z) \wedge z$$

- **Instance:** Given a quantified Boolean formula (QBF)  $\phi$
- **Problem:** Is  $\phi$  true?

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- **Instance:** Given a quantified Boolean formula (QBF)  $\phi$
- **Problem:** Is  $\phi$  true?
  
- Find a simple algorithm for TQBF.
- What is its time complexity?

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- **Instance:** Given a quantified Boolean formula (QBF)  $\phi$
- **Problem:** Is  $\phi$  true?
  
- Might TQBF be complete for EXP?
- Compare with Generalized Checkers (GC)
  - Both problems are game-like
  - Both can be modeled by a graph with exponentially many nodes
  - But (unlike GC), the TQBF graph is a tree of polynomial depth
- *TQBF has an algorithm that uses polynomial space*

# Space Bounded Complexity Classes

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- Distinguish between a *read-only input tape* and *work tapes* of a Turing Machine (TM).
- $\text{SPACE}(s(n))$  is the set of languages accepted by deterministic TMs that always halt and use  $O(s(n))$  work tape cells on inputs of length  $n$ .
- $\text{NSPACE}(s(n))$ : replace “deterministic” by “nondeterministic”. (Assume that regardless of nondeterministic choices made, the TM halts.)
- $s(n) \geq \log n$  is *space-constructible* if there is a DTM that on input  $1^n$  computes  $1^{s(n)}$  in  $O(s(n))$  space.

# Space Bounded Complexity Classes

- $\text{PSPACE} = \bigcup_{c>0} \text{SPACE}(n^c)$
- $\text{NPSPACE} = \bigcup_{c>0} \text{NSPACE}(n^c)$

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- Explain why  $\text{PSPACE} \subseteq \text{EXP}$



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- Explain why  $\text{PSPACE} \subseteq \text{EXP}$ 
  - A TM using  $O(n^c)$  space has  $2^{O(n^c)}$  configurations on an input of length  $n$ .
  - If the TM halts, none can be visited more than once on any computation. So the TM uses time  $2^{O(n^c)}$ .

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- Explain why  $PSPACE \subseteq EXP$
- Explain why  $NP \subseteq PSPACE$

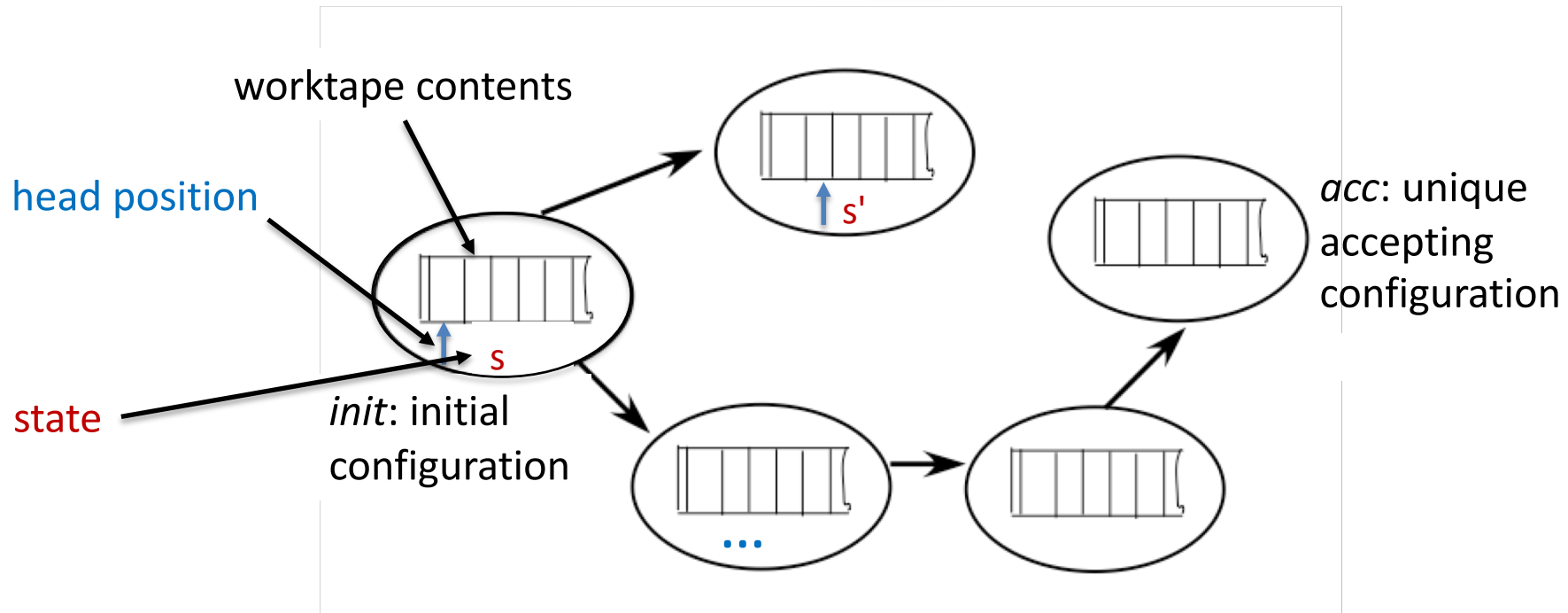
# Space Bounded Complexity Classes

- $PSPACE = \bigcup_{c>0} SPACE(n^c)$
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- Explain why  $PSPACE \subseteq EXP$
- Explain why  $NP \subseteq PSPACE$
- What about co-NP?

# Space Bounded Complexity Classes

- $PSPACE = \bigcup_{c>0} SPACE(n^c)$
- $NPSPACE = \bigcup_{c>0} NSPACE(n^c)$
  
- What about NPSPACE?

# Configuration Graph of NTM $M$ on input $w$



- Nodes represent *configurations* (state, head position, work tape contents) of  $M$  on  $w$
- Edges represent transitions
- Since  $M$  always halts, the graph is acyclic
- If  $M$  is  $s(n)$ -space bounded, can you bound the number of nodes of the graph as a function of  $|w|$ ?

# NPSPACE $\subseteq$ EXP

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- Let  $M$  be a NTM using  $O(n^c)$  space .
- Exp-time algorithm for  $L(M)$ : On input  $w$ :
  - Write down the configuration graph of  $M$  on  $w$ ;  
size of the graph is  $2^{O(|w|^c)}$
  - Check if the accepting configuration can be reached from the initial configuration (use depth first search or breadth first search)

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size of the graph is  $2^{O(|w|^c)}$
  - Check if the accepting configuration can be reached from the initial configuration (use depth first search or breadth first search)
- More generally, if  $s(n)$  is space-constructible then
$$\text{NSPACE}(s(n)) \subseteq \text{DTIME}(2^{O(s(n))})$$



Savitch's Theorem:  $\text{NSPACE}(s(n)) \subseteq \text{DSPACE}(s(n)^2)$

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- Proof idea: Let  $L$  be accepted by NTM  $M$  within  $c \cdot s(n)$  space and  $2^{c \cdot s(n)}$  time. We'll describe a deterministic algorithm that accepts  $L$  in  $O(s(n)^2)$  space.
- Fix input  $w$ , let  $G$  be the configuration graph of  $M$  on  $w$ .

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- Fix input  $w$ , let  $G$  be the configuration graph of  $M$  on  $w$ .
- Let  $\text{Reach}(x, y, i)$  be true if there is a path of length  $\leq 2^i$  from node  $x$  to node  $y$  in  $G$ , and false otherwise.
- On input  $w$ , compute
$$\text{Reach}(\text{init}, \text{acc}, c \cdot s(|w|))$$
and accept if and only if the function returns true

# Savitch's Theorem: $\text{NSPACE}(s(n)) \subseteq \text{DSPACE}(s(n)^2)$

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$\text{Reach}(x,y,i)$  // does  $G$  have a path of length  $\leq 2^i$  from  $x$  to  $y$ ?

# Savitch's Theorem: $\text{NSPACE}(s(n)) \subseteq \text{DSPACE}(s(n)^2)$

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Reach( $x, y, i$ ) // does G have a path of length  $\leq 2^i$  from  $x$  to  $y$ ?

If  $i = 0$  then

    If ( $x = y$ ) or ( ( $x, y$ ) is an edge of G) Return True

    Else Return False

Else

    For each node  $z$  of G

        If (Reach ( $x, z, i-1$ ) and Reach( $z, y, i-1$ ) )

            Return True

    Return False

# Savitch's Theorem: $\text{NSPACE}(s(n)) \subseteq \text{DSPACE}(s(n)^2)$

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- The space per recursion level is proportional to the space,  $s(|w|)$ , used by  $M$  on  $w$ .
- The recursion depth is  $i$
- So, the recursion depth is  $c \cdot s(|w|)$  on call  $\text{Reach}(\text{init}, \text{acc}, c \cdot s(|w|))$ , and the total space used is  $O(s(|w|)^2)$ .

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- So, the recursion depth is  $c \cdot s(|w|)$  on call  $\text{Reach}(\text{init}, \text{acc}, c \cdot s(|w|))$ , and the total space used is  $O(s(|w|)^2)$ .
- Note: Space constructability is useful for the proof, to write down the bound  $c \cdot s(|w|)$ . However, the algorithm could be re-run with successively larger bounds until a sufficiently large one is found.

TQBF is PSPACE-complete



# TQBF is PSPACE-complete

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- Let  $L$  be a PSPACE language, accepted by TM  $M$  within space  $c \cdot s(n)$  and time  $2^{c \cdot s(n)}$ .
- Goal: Poly-time reduction  $w \rightarrow \text{QBF}(w)$  such that  
 $w$  is in  $L$  iff  $\text{QBF}(w)$  is true.
- Equivalently, if  $\text{Reach}(x, y, i)$  is as before, then  
 $\text{Reach}(\text{init}, \text{acc}, c \cdot s(|w|))$  iff  $\text{QBF}(w)$  is true.

# TQBF is PSPACE-complete

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Reach( $x, y, i$ ) // does G have a path of length  $\leq 2^i$  from  $x$  to  $y$ ?

If  $i = 0$  [base case omitted]

Else

For each node  $z$  of G

If (Reach( $x, z, i-1$ ) and Reach( $z, y, i-1$ ))

Return True

Return False

First try at expressing this using logic:

$\exists$  config  $z$ : Reach( $x, z, i-1$ )  $\wedge$  Reach( $z, y, i-1$ ) )

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First try at expressing this using logic:

$\exists$  config  $z$ : Reach( $x, z, i-1$ )  $\wedge$  Reach( $z, y, i-1$ ) )

Problem: formula size will blow up when expanding the Reach expressions, because of doubling

# TQBF is PSPACE-complete

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If  $i = 0$  [base case omitted]

Else

For each node  $z$  of G

If (Reach( $x, z, i-1$ ) and Reach( $z, y, i-1$ ))

Return True

Return False

Better way of expressing this using logic:

$\exists \text{ config } z \forall v \in \{\text{True}, \text{False}\} \exists \text{ configs } z', z''$

$(v \Rightarrow z', z'' = x, z) \wedge (\neg v \Rightarrow z', z'' = z, y) \wedge \text{Reach}(z', z'', i-1)$

# TQBF is PSPACE-complete

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Overall QBF:

$$\exists z_1 \forall v_1 \exists z_1', z_1'' \exists z_2 \forall v_2 \dots \exists z_m', z_m'' \\ \phi(z_0', z_0'', z_1, v_1, z_1', z_1'', \dots, z_m, v_m, z_m', z_m'')$$

where  $m = c.s(n)$  and  $\phi$  encodes that

- $z_0'$  and  $z_0''$  are the initial and accepting configs of  $M$  on  $w$
- for each  $i$ , if  $v_i = \text{true}$  then  $z_i', z_i'' = z_{i-1}', z_i$
- for each  $i$ , if  $v_i = \text{false}$  then  $z_i', z_i'' = z_i, z_{i-1}''$
- all of the  $z_i$ ,  $z_i'$  and  $z_i''$  encode valid configurations
- $z_m' = z_m''$  or  $(z_m', z_m'')$  is an edge of  $G$  (base case)

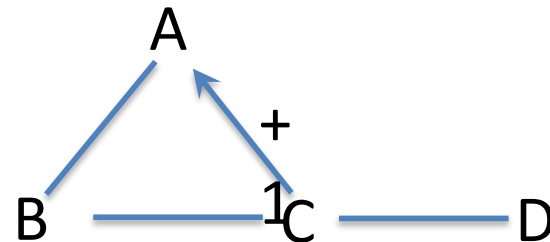
# Periodic Graph Colouring

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- Motivation: schedule jobs at the same time period each day; want to minimize processors
- Example:

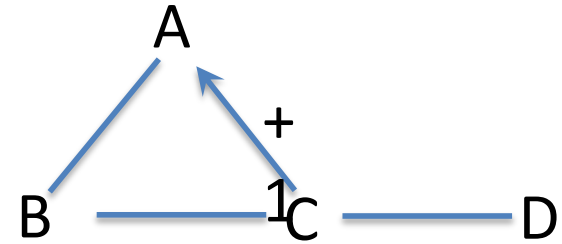


- Succinct representation:

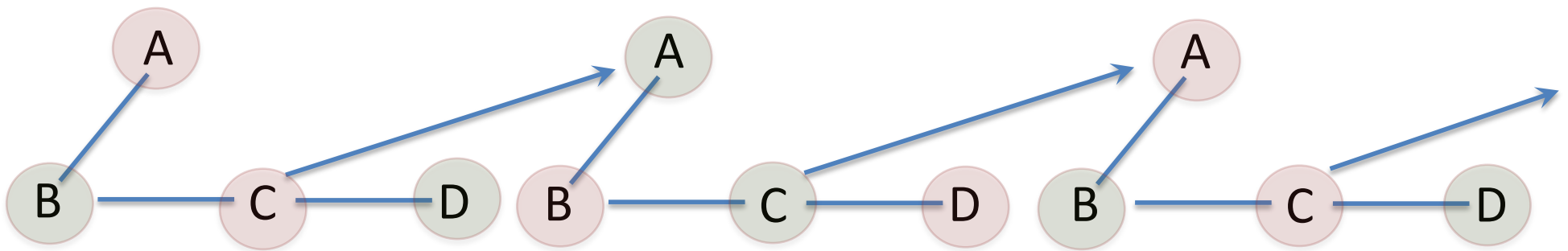


# Periodic Graph Colouring

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- Succinct graph is 3-colourable, suggesting that we need 3 processors (since two jobs in overlapping time intervals cannot be scheduled on the same processor)
- But the infinite graph is actually 2-colourable, and so we can use just 2 processors!



# Periodic Graph Colouring

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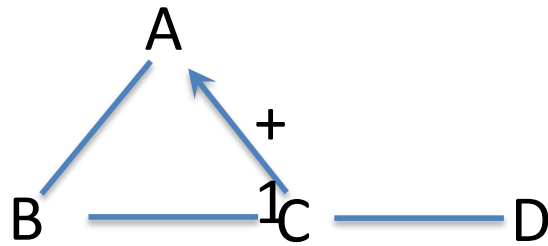
- A *periodic graph*  $G$  is an infinite undirected graph, specified by a triple  $(V, E, E')$
- $G$ 's nodes:
  - $\bigcup V_i$  where  $V_i = \{v_i \mid v \text{ in } V\}$ , for all  $i$  in  $\mathbb{Z}$
- $G$ 's edges:  $(\bigcup E_i) \cup (\bigcup E_i')$ 
  - where  $E_i = \{\{u_i, v_i\} \mid \{u, v\} \text{ in } E\}$
  - and  $E_i' = \{\{u_i, v_{i+1}\} \mid (u, v) \text{ in } E'\}$



# Periodic Graph Colouring

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- **Instance:** A periodic graph  $G = (V, E, E')$  and a positive number  $k$
- **Problem:** Is  $G$   $k$ -colourable?



- Can you suggest a nondeterministic algorithm for Periodic Graph Colouring that runs in polynomial space?

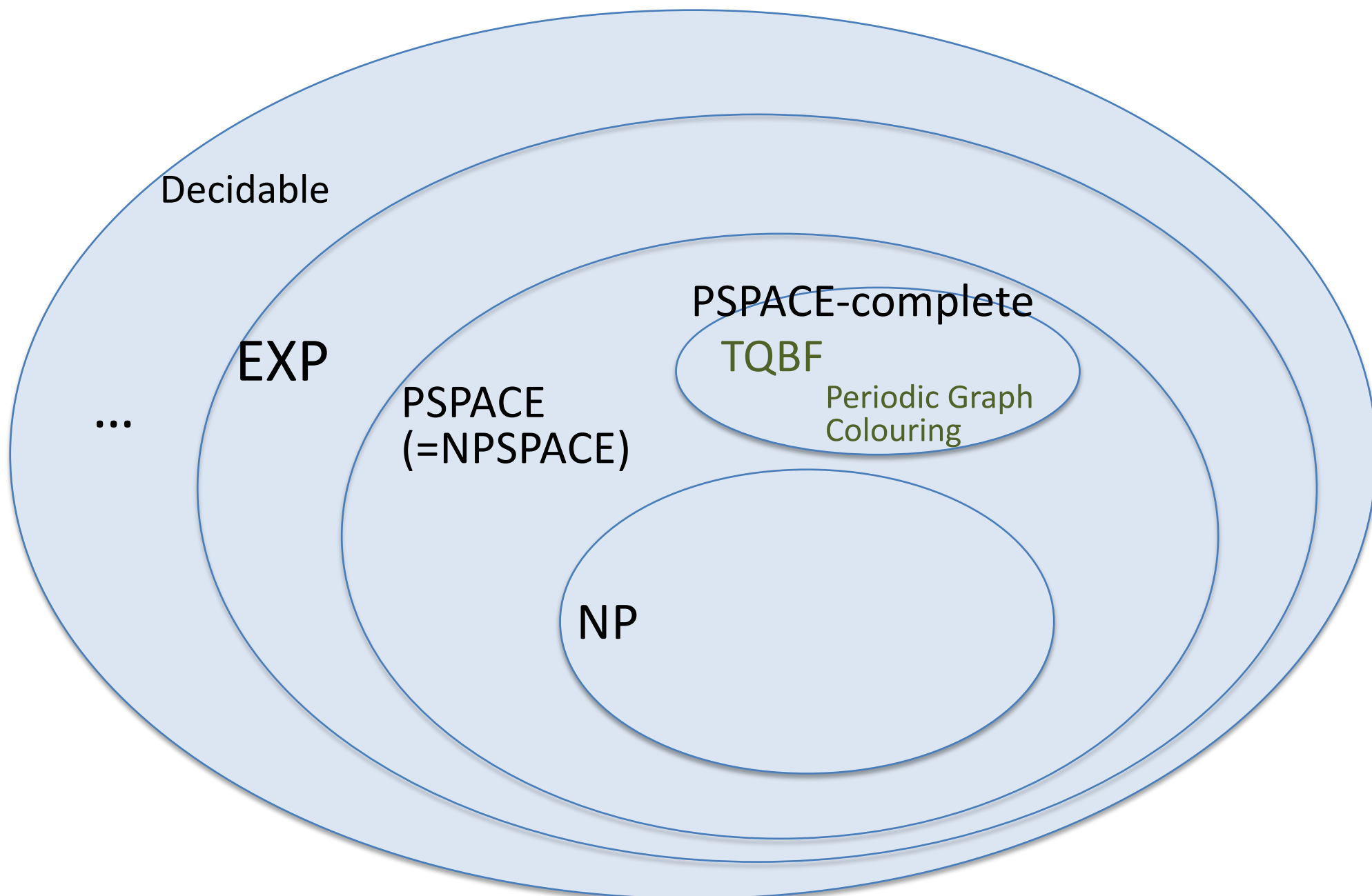
# Summary

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- PSPACE refines the categorization of problems within EXP: those that can be solved with only polynomial space vs those that seem to need both exponential time *and* space
- NPSPACE = PSPACE! (Savitch's Theorem)
- We can leverage Savitch's Theorem to simplify proofs that some problems are in PSPACE (e.g., Periodic Graph Colouring, which also happens to be PSPACE-complete).

# Summary

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# Next Class

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- Space bounded classes within P
- Arora-Barak, 4.1-4.3; 6.5